# **Appendix 2**

#### **Consultation Statement**

Summary of consultations received with respect of Dudley MBC's draft revised Planning Obligations Supplementary Planning Document (SPD) (January 2009) and the Council's responses

Summary of consultations received to Dudley MBC's draft revised Planning Obligations Supplementary Planning Document (SPD) (January 2009) and the Council's proposed responses to the consultations.

### 1. Natural England

<b>Summary of Comments</b>	Council's Response	Council's Further Action
Nature Conservation - Paragraphs 2.76 – 2.81 Fully support the proposed additional wording for the Nature Conservation Section.	Support is noted.	None
RSS Policy QE10 is clear that local authorities need to plan for the protection and enhancement of biodiversity. The proposed measures are essential, proportionate and consistent with PPS9 and its best practice guidance.		
Support the proposed contribution level of 50p per square metre as a reasonable and proportionate cost which matches the amounts needed to practically deliver nature conservation outcomes on the ground.		

#### 2. Network Rail

<b>Summary of Comments</b>	Council's Response	Council's Further Action
Request addition of specific wording so as not	It is considered that Strategic infrastructure is	None
to exclude improvements to passenger	subject to the consideration of that responsible	
facilities at railway stations from s.2.136	authority, in this case Network Rail and	
(DTS5). These may be improvements to	Central Government, and as such is beyond	
access (ramps/footbridges etc), security	the Council's powers.	
measures, information (PA/CIS), waiting		
facilities, passenger car parking and		
environmental improvements, as well as		
longer platforms (to accommodate longer		
trains) and other items as appropriate.		

### **3. CABE**

<b>Summary of Comments</b>	Council's Response	Council's Further Action
No specific comments, rather some general	The comments are noted, however do not	None
comments on the importance of design issues.	relate specifically to the review of the SPD	

#### 4. William Davis Limited

<b>Summary of Comments</b>	Council's Response	Council's Further Action
Welcome the Council's recognition of the	The introduction of calculations for Public	
difficult economic climate, however object to	Realm and Nature Conservation Enhancement	

the overall principle of the revised SPD as do not believe that appropriate changes have been made to the SPD to ensure the obligations required by the Council are realistic and reasonable. It is considered that the revised SPD will significantly constrain development in the Borough with sites becoming unviable and therefore putting housing delivery at risk.

It is considered that no such reductions have been made and instead further costs have been added to potential developments through additional obligations including Air Quality, Nature Conservation Compensation, Public Realm Enhancement and Transport Infrastructure Improvements.

provide for a standarised approach to be adopted. Currently this is undertaken by negotiation. The SPD simply makes clear this role.

With regards Nature Conservation, the proposed changes put forward a benchmark for levels of compensation. It does not add or reduce protection to existing or future designated nature conservation sites. Instead they reflect the current compensation rates generally used within the borough for such approved developments.

It is therefore not envisaged that they will add additional burden onto developers. Instead it aims to clarify these rates to developers, at an early stage, to enable them to factor this into their viability studies in combination with preapplication discussions (where it can be advised whether or not the application would be important enough to override the relevant nature conservation protection).

Air Quality requirements are currently undertaken by negotiation. The SPD simply makes clear this role. Accept that additional clarification would be useful in this section of the SPD

	The Transport Infrastructure Improvements contribution has been significantly reduced.	
Object to the Planning Obligation Monitoring Fees established in Table 1 of the revised SPD. It is considered unreasonable for the Council to expect developers to pay for the monitoring of Planning Obligations and are unaware of any planning policy supporting such a requirement. These additional costs directly conflict with the Council's aim of making the required obligations reasonable and realistic.	The Monitoring Fees were approved by the Council's Development Control Committee in January 2007 and is now embedded as established practice.	None

# **5.** Advantage West Midlands

<b>Summary of Comments</b>	Council's Response	Council's Further Action
Welcomes the Council's well-timed intention	Support is noted.	None
to revise and update its SPD, and supports the		
Council's intention to ensure such obligations		
remain reasonable and realistic.		
Welcomes the inclusion of guidance on	Support is noted	None
Unilateral Undertakings to help generate a		
more flexible and expedient way for		
developers and investors to engage with the		
Council at an early stage in agreeing required		
funding.		

The inclusion of improved guidance on the	Support is noted.	None
circumstances under which an obligation,		
undertaking or legal agreement will be		
required will also offer greater certainty at an		
earlier stage on what will be required from		
applicants.		

# **6. The Inland Waterways Association**

<b>Summary of Comments</b>	Council's Response	Council's Further Action
Considers the document to be well written	Support is noted	None
and relatively clear to potential users, some of		
whom may not be familiar with the planning		
system and thus the inclusion of additional		
information may be helpful to them		
Para. 1.26 Policy DD7: Whilst the Historic	This element of the SPD stems from adopted	None
Environment would include the canal system	UDP Policy DD7. To change the SPD in line	
those who have little knowledge of the	with comments received would require a	
historical importance of the canal network	change in UDP policy. This is not the role of	
may overlook their historical importance in	this UDP. As and when this policy is reviewed	
development areas where all that remains is	the suggestion put forward will be considered	
just a ribbon of water. Given the Council's		
commitment to the preservation of the canal		
network within the Borough and that		
developers will probably be unaware of that, it		
may be wise to specifically include canals as		

part of the 4 <sup>th</sup> bullet point.		
Para. 2.58 Historic Environment: There is no mention of Dudley's Canal Policy – or, given that this is over ten years old, has this been superseded?	Comment Noted. Para 2.58 makes reference to local historic environment policies in the UDP – and one of those policies is specific to canals (see Policy HE7).	None
Para. 2.60 Historic Environment: Welcome the Council's desire that developers will have to address the impact that their proposals will have on the canal network	Support is Noted	None
Para. 2.61 Historic Environment: Welcome the Council's desire that developers may have to contribute towards the improvement of historic assets like canals when they are adjacent to their development.	Support is Noted	None
Appendix 6 Glossary: Given that the importance that the Council places on the canal network it should be specifically included in the examples of Historic Assets	Agree.	To amend the Glossary accordingly by adding under 'Historic Asset' another bullet point:-  • Canal network
Appendix 6 Glossary: Whilst Listed and Locally Listed Buildings are defined there is no mention of Scheduled Ancient Monuments. Because they legally differ from Listed Buildings they should be separately defined.	Agree.	To make the following addition to the Glossary:-  Scheduled Ancient Monuments A scheduled monument is a 'nationally important' archaeological site or historic building, given protection against unauthorised change. Scheduled Monuments are defined in the Ancient Monuments and Archaeological Areas Act 1979. The carrying

	out of works to a scheduled monument, both above and below ground level, requires prior written permission from the Secretary of State. This is known as scheduled monument consent (SMC). 'Works' are defined by the 1979 Act as: demolishing, destroying, damaging, removing, repairing, altering, adding to, flooding or tipping material onto the monument. To avoid the possibility of damaging a monument, and therefore carrying out unlawful works, consultation should take place with English Heritage while in the planning stages of any intended works.
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# 7. The Tyler Parkes Partnership (on behalf of West Midlands Police Authority)

<b>Summary of Comments</b>	Council's Response	<b>Council's Further Action</b>
It is considered that many development	This is a partial review of the SPD. Whilst the	None
schemes place increased demands on police	Council recognises that this is an issue, it is	
resources, both in terms of additional capital	considered that it would be better dealt with in	
investment in new police facilities and of	a full scale review and after an evidence base	
funding for additional police officers and	(and appropriate costings) provided by the	
support staff, thus it is important that the	police are made available.	
planning system is geared to ensure that such		
resources are delivered.		

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	In light of this the Police Authority is
ļ	disappointed that the Council has not
	acknowledged the additional costs likely to be
	borne by the Force nor included Policing in
	the list of potential beneficiaries of financial
	contributions set out in part 2 of the SPD.
	Request that the needs of the police, in terms
	of both capital and revenue funding, arising
	from new developments is acknowledged and
	that the SPD makes reference to such
	requirements in Part 2.

#### 8. EcoLine

<b>Summary of Comments</b>	Council's Response	Council's Further Action
Nature Conservation Section: PPS9 states that	The Council recognises that Restoration is an	Amend and restructure text of Nature
the Government's objectives for planning is to	important issue and supported by PPS9.	Conservation Section to provide additional
conserve, enhance and restore the diversity of	However it is an activity which is linked to	clarification, including the provision of an
England's wildlife and geology. The policy as	past or present neglect of habitat management.	Appendix to provide further information.
stated only aims to conserve and enhance. No	It should not fall to developers enhancement	
mention of restoration is included and is a	contributions to fund external land	
major omission and undermines the	owners/manager's neglect. There may be	
Government's objectives. There are many	occasions where this could possibly be	
examples of where restoration is required as a	appropriate on key sites, however it is	
result of development but they often only	considered that including this expressly, in	
manifest themselves after time has elapsed	brief overview, may lead to confusion. There	

from development. This includes draining of wetlands, stocking of ponds with fish and the release of alien plants/animals, increase in visitor pressure, disturbance of nesting sites and general neglect of formerly rich habitats that require annual maintenance.

EcoLive consider that this omission is fundamental to the section on Nature Conservation, and have made a number of suggestions of specific wording additions to incorporate restoration are also concerns that this approach could also encourage the neglect of wildlife habitat, which would undermine the purpose of this Planning Obligation.

Agree with restoration being kept within the Nature Conservation Compensation element. In the constraints of an urban borough where creation of large areas of habitat is not always possible. Restoration, although certainly not the preferred option, may be the only mechanism available in some circumstances to compensate effectively for the loss of habitat.

The Nature Conservation Enhancement contributions should provide tangible capital works to the benefit of the borough's wildlife, geology, residents and visitors. Management planning and survey work may need to be carried out to facilitate these works, however they should not form a significant proportion of the total cost. This sum will be dependant on site circumstances and therefore a general estimate of this proportion is not possible.

The recommendation in the representation includes the breaking up of the contribution into smaller proportions for differing uses. It is not considered that the element this would deliver is needed in principle. The procedure set out in the Draft Planning Obligations SPD

has to a great degree been designed to aid	
clarity and simplicity for the benefit its users.	
The mechanism proposed by EcoLine would	
add some complexity to a new procedure for	
both developers and Development Control	
staff. It also puts constraints on the use of	
funds which could limit appropriate onsite	
delivery.	

### 9. Tetlow King Planning (on behalf of West Midlands RSL Planning Consortium)

<b>Summary of Comments</b>	Council's Response	Council's Further Action
Welcome the Council's intention to revise the	Noted. However the principle reason for the	None
SPD in light of the current economic climate	review of the SPD is to aid clarity on existing	
and would like to emphasise the importance of	planning obligations.	
working with RSLs at this time, given the		
increased difficulties associated with home		
ownership and rental		
Note that RPG is now out of date and should	Noted. Policy context to be amended	Amend policy context to incorporate updated
be revised in light of the emerging RSS inc.	accordingly	information in relation to RSS and the Black
the guidance of the Phase Two revision. The		Country Core Strategy.
aims of this guidance to address affordability		
problems should be referenced to demonstrate		
the Council's compliance with up to date		
guidance and the approval of housing targets.		
Section on Local Guidance is out of date,	Noted. Policy context to be amended	Amend policy context to incorporate updated
containing no information on the Black	accordingly	information in relation to RSS and the Black

Country Core Strategy.		Country Core Strategy.
Background to Planning Obligations Section: Welcome the explanation of planning obligations and in particular support the introduction of the section 'What is a Planning Obligation?', however object to wording in para. 1.30 as it does not portray planning obligations as in Circular 5/05 (Planning Obligations) which states that "Planning Obligations can be positive (requiring the covenantor or his/her successors in title to do a specific thing) or negative (restricting)".	Agree. This information would aid clarification of the term 'Planning Obligation'	Add sentence to Para. 1.30 stating that 'Planning Obligations can be positive(requiring the covenantor or his/her successors in title to do a specified thing in, on, under or over the land) or negative (restricting the covenantor or his/her successors from developing or using the land in a specified way). (Circular 5/05 Para. A3)
Unilateral undertakings and S106 Agreements Sections: Object to wording in paragraphs 1.36 and 1.41 as unnecessarily restrictive, the requirement to produce either set of agreements places a significant burden on both parties to resolve all matters pertinent to an application prior to submission,	The Council is committed to providing preapplication advice, and actively encourages issues surrounding planning obligations to be resolved as early as possible in the process. This is confirmed in Para. 1.38 of the revised SPD. The Council only requires Unilateral on minor residential developments between 1-9 dwellings where there are unlikely to be major issues to be resolved. It is accepted that unilaterals are not appropriate for major developments.	None
The section should be amended to set out the justification for these requirements.	Agree. The Council's requirement for Unilaterals to be submitted at the same time as the planning application is in line with Circular 5/05 which sates at Para. B47 that "LPAs may wish to encourage developers to	Add additional sentence to the end of para. 1.36 stating: "The Council's requirement for Unilaterals in these instances is in line with Circular 5/05 (Para. B47)."

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	submit unilateral undertakings with their	
	planning application in the interest of speed)	
Unilateral undertakings and S106 Agreements	In order to successfully monitor and manage	Add additional sentence to para. 1.41 as
Sections: Need to justify the point made in	the agreed planning obligations, and ensure	follows:
para. 1.37 stating that financial contributions	that they are paid and spent on community	"In exceptional circumstances on larger
are to be paid prior to commencement of	infrastructure accordingly, it is necessary for	schemes, it may be possible for S106
development. Object to the requirement as the	the contributions to be paid prior to	Agreements to include phased payments of
significant financial burden placed on	commencement. In exceptional circumstances	planning obligations."
developers at a time where economic viability	on larger schemes it may be possible for the	
is already under severe pressure is contrary to	S106 Agreement to include phased payments.	
guidance that planning obligations are to be	However this would not be appropriate for	
reasonable and realistic, necessary to make the	those smaller schemes which are covered by	
proposed development acceptable in planning	Unilaterals.	
terms, only ever prepared when a development		
will have negative impacts that cannot be dealt		
with through conditions.		
Financial Contributions Section: Object to the	The S106 Agreement process requires legal	None
inclusion of the point "Within 30 days of	and monitoring fees to be paid on the signing	
receiving planning permission (for Unilateral	of the S106 Agreement, thus the process for	
Undertakings)" at para. 1.69 as this places an	Unilaterals actually introduces a more flexible	
unnecessary extra burden on a development in	approach for applicants.	
the early stages of delivery. Given the SPD is		
under revision as a result of the challenging	Legal and Monitoring work is incurred by the	
economic climate, enforcing such a rigid	Council whether or not the planning	
trigger could put developments at risk of	permission is implemented thus the Council's	
becoming unviable.	stance is considered appropriate.	
Education: Para. 2.22 – Object to the omission	Noted. However individual circumstances and	None
of affordable housing from the list of	viability of schemes are considered on their	
residential accommodation not subject to	merits on a case by case basis.	

educational contributions. It is considered that as affordable housing schemes within Dudley is required to meet local needs they should not be liable for the same level of contributions as		
general market housing schemes.		
Libraries, Public Realm and Public Art	Noted. However individual circumstances and	None
Contributions: Recommend that the Council	viability of schemes are considered on their	
offer an exemption or reduction in the level of	merits on a case by case basis.	
contributions required from RSL		
development, as it is considered that		
affordable housing schemes are aimed at		
persons already residing within the Borough.		
It is considered that such an amendment		
would bring the policy in line with Circular		
5/05 guidance that a contribution should be		
fairly and reasonably related in scale and kind		
to the proposed development.		
Transport Infrastructure Improvements: paras.	The Council considers that the SPD makes	None
2.139-2.141 indicate that contributions will be	clear that monies received for Transport	
responsible for funding all the objectives of	Infrastructure Improvements will be spent in	
the Dudley Transport Strategy. It is considered	the local area in relation to the development.	
that the Council should make clear how these		
specific projects will make development		
proposals acceptable in planning terms as even		
pooled contributions should be reasonably		
related to new development.		
Transport Infrastructure Improvements:	The TRICs data in the SPD refers to a	None
Consider that as TRICs data sets a lower	difference in rented and private dwellings	

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number of vehicular movements for affordable	however the trip rate remains consistent for	
housing than private housing, and that	both tenures.	
affordable housing residents are unlikely to		
commute longer distances to their place of		
employment, recommend that RSL		
developments should be given a reduction in		
the level of contribution to be made.		
Note that Plymouth City Council and	Noted.	None.
Maidstone District Council have already		
adopted the approach of exempting RSL		
developments from nearly all obligations.		
Noted that in order to meet the affordable	Noted. The Council recognises the importance	
housing targets associated with RSS, the	of RSLs in the delivery of its affordable	
Council will need a large number of RSL	housing element of its housing stock. Each	
developments to help deliver the required	case's circumstances will be looked on on its	
numbers. Recommend that the Council adopts	merits.	
a similar approach of offering a reduced rate		
of contributions for RSL development which		
would encourage organisations to bring		
forward 100% affordable housing schemes.		

### 10. British Waterways

<b>Summary of Comments</b>	Council's Response	Council's Further Action
Para. 1.33 – Appreciate the benefits of using	Noted. The Council has a planning obligations	None

Unilateral Undertakings to speed up the planning process but hopes that the Council is able to monitor these agreements to ensure that benefits attributable to the canal infrastructure are secured.	monitoring system in place to monitor all aspects of S106 Agreements and Unilateral Undertakings	N
Financial Contributions: Contact with a dedicated Planning Obligations Officer at the Council is welcomed.	Noted	None
Unilateral Undertakings: It is hoped that the introduction of Unilaterals will encourage developers and the Council to include British Waterways when undertaking pre-application discussions.	Noted. The Council recognises the importance of pre-application discussions. Where deemed appropriate by both parties (LA and Developer), the Council regularly discusses schemes with key appropriate stakeholders. In cases where a developer is unwilling to allow the Council to discuss schemes direct with stakeholders, developers are encouraged to contactstakeholders directly.	None
Environmental Protection: Para 2.37 – recognise the benefits of improved air quality through specific works undertaken by developers, however British Waterways is keen to ensure that this does not prejudice the potential for the regeneration and development of the land adjacent to the canal itself, not sterilise or limit activity along the corridor.	Noted.	None
Site Specific Measures: Para. 2.52 – Recognise the benefits of improved air quality through air quality monitoring, however British Waterways is keen to ensure that this	Noted	None

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	advised whether or not the application would	
	be important enough to override the relevant	
	nature conservation protection and make the	
	mitigation acceptable to the Council).	
Public Realm: Para. 2.104 – This paragraph	Existing policy states that public realm	None
states that the public realm is only found in the	contributions will be spent in one of the	
town, district and local centre. However	Borough's centres. However, where	
British Waterways contends that the canal can	development directly fronts onto a canal,	
be identified as public realm due to its	public realm improvements should be	
accessibility to the public, albeit controlled	developed through the scheme itself, or	
access.	through nature conservation contributions.	
Transport Infrastructure Improvements: This	If it can be demonstrated that a particular	None
requirement indicates that the canal as	development would result in additional trips	
transport infrastructure could be improved	being generated on the canal network then it	
through developer contributions. This is	would be appropriate to use transport	
welcomed by British Waterways who would	infrastructure contributions in such a way.	
embrace the opportunity to work with the	However in the vast majority of cases the	
Council to identify canal infrastructure	impact will be on the highway network and	
improvements within the Borough which	thus the contributions which is where the	
could be secured from such contributions.	obligations will accordingly need to be spent.	

# 11. Turley Associates (on behalf of London & Cambridge Properties)

<b>Summary of Comments</b>	Council's Response	Council's Further Action
Concerned that some of the obligations set out	The obligations are established in the original SPD	None
in the draft SPD do not accord with the	and are rooted in adopted UDP Policy. The Council	

requirements of Circular 5/05. The purpose of
planning obligations is to mitigate the impact
of a particular development and to make it
acceptable in planning terms. Contributions
should not be sought for matters that are not
necessary. The SPD includes a number of
obligations that are not aimed at mitigating a
particular impact, but at improving social,
environmental and economic conditions
generally in the Borough. It is considered that
this is contrary to Circular 5/05.

considers that all planning obligations identified are necessary and evidence based. The need and justification for them was established in the adoption of the first SPD in 2007.

Although some reductions are proposed in the	Noted. The Council recognises viability issues on	None
level of contribution sought, in particular with	sites and the SPD confirms that if a developer	Tione
regard to transport infrastructure, the revised	considers the level of obligations required would	
SPD does not fully recognise the problems	render their development unviable, then the	
faced by developers in bringing forward viable	developer will be expected to provide full financial	
developments in the current economic climate.	details to the Council for consideration.	
de veropinente in the current economic cimiate.	details to the council for constactation.	
The SPD still includes a long list of matters		
where the Council may require a planning		
obligation ranging from site specific issues to		
funding nature conservation enhancement and		
public art. There needs to be a stronger		
recognition that developers can only		
contribute to so much before a proposed		
development becomes unviable. The focus		
should be on those planning obligations that		
are required to mitigate the impacts of a		
particular scheme. Greater flexibility should		
be displayed with regard to contributions that		
may be desirable (like public art), but are not		
essential or necessary to make a development		
acceptable in planning terms.		
The SPD needs to set out clear priorities and	This is undertaken on a case by case basis, it is not	None
mechanisms for negotiating a reduction in the	considered appropriate to include this level of detail	
level of planning obligations based on the	within the SPD	
viability of a particular scheme.		
The Council should consider the potential	Accept. In exceptional circumstances on larger	Add additional sentence to para. 1.41 as
phasing of obligations as part of this revision	schemes it may be possible for the S106 Agreement	follows:
to facilitate viability of development in these	to include phased payments. However this would	"In exceptional circumstances on larger

difficult economic times.	not be appropriate for those smaller schemes which	schemes, it may be possible for S106
	are covered by Unilaterals.	Agreements to include phased payments
		of planning obligations."
Nature Conservation: Although the SPD	It is acknowledged that smaller developments,	Introduce threshold of 100 square metres
clearly states that a development needs to	especially extensions should not contribute a	or 1 dwelling for nature conservation
contribute £0.50 per sq. m of development	disproportionate amount. Floorspace is not a good	enhancement contributions
towards nature conservation enhancement, the	measure of the capacity of a site to accommodate or	
document does not explain why this particular	provide nature conservation benefit, for example a	Introduce minimum triggers for this
amount has been chosen.	several storey building would generate a much	planning obligation of 100 square
	higher contribution, which proportionately generate	metres area for non-residential
The SPD needs to make clear that the	less impact due to its physical footprint.	developments and a minimum of 1
contribution will be calculated based on the		dwelling to bring it in line with other
new floorspace to be created. This will ensure		planning obligations within the SPD.
that proposals involving small extensions or		
the refurbishment/re-modelling of existing		
premises are not required to pay a disproportionate amount. Using the site area		
as the basis for calculating a contribution		
could disadvantage such development		
proposals.		
Public Realm: Acknowledge that public realm	Public Realm contributions for non-residential	None
improvements will benefit both existing and	developments will only be sought on net new build,	
proposed developments. However, requesting	not conversions.	
a contribution of £35.37 per sq. m of existing		
and proposed floorspace will place a		
disproportionate burden on existing businesses		
seeking to extend or redevelop their premises.		
A contribution should only be sought in		

relation to the new floorspace to be created.		
Public Realm improvement could have a	Public Realm Contributions are only required on	None
positive impact on commercial and retail	non-residential developments that fall within, or	
development. However, it is unclear what	close to, the Borough's designated centres. It is	
impact developments in industrial/business	reasonable to anticipate, therefore, that employees	
estates would have and what benefit they	of such developments will use the local centre(s) on	
would derive from improvements to public	a regular basis to meet their local needs. This impact	
realm in existing centres. Circular 5/05	needs to be mitigated against in order to improve the	
requires planning obligations to be directly	quality of the public realm to encourage their	
related to the proposed development. It is	greatest possible use, hence minimising	
therefore considered that no contribution	unsustainable journeys to other shopping and	
should be sought from industrial/warehousing	service centres.	
developments, or at the very least, the SPD		
needs to set out a different approach for		
industrial/warehousing developments.		
Concerned that the rate for public realm	The Council recognises the current economic	None
improvements could increase to make up the	circumstances and does not request disproportionate	
shortfall arising from the downturn in new	contributions. Cases are looked at on their merits in	
development. The SPD needs to clearly state	terms of viability and in scale to the proposed	
when and how the SPD will be updated to take	development as set out in the policy framework.	
account of changed circumstances.		
Transport Infrastructure Improvements: This	Support in noted.	None
section states that the Council will calculate		
the contribution based on the net trip		
generation of a proposed development. The		
level of contribution has been reduced to		
£61.74 per additional trip. This system appears		
transparent and will give developers the		
required certainty about the level of		

contribution required. The reduced level of		
contribution is welcomed as it is more realistic		
and will ensure that developers are not		
discouraged by the disproportionate cost of		
transport infrastructure provision.		
Transport Infrastructure Improvements: The	The type of information required will depend very	None
Draft SPD states that a developer may seek a	much on specific development proposal and will	
reduction in additional trip rate generation. It	need to be discussed with the relevant planning	
is unclear, however, what evidence will be	officer on a case by case basis. It is considered	
taken into account. This should be clearly set	inappropriate therefore to specify within the SPD	
out in the SPD	what information would be required. The onus is on	
	the developer to provide this information and make	
	their case relating to a specific circumstance.	

# 12. RPS (on behalf of London & Cambridge Properties)

<b>Summary of Comments</b>	Council's Response	Council's Further Action
Economic and Community Development:	This planning obligation was established in	None
Concerns as to the manner in which a planning	the original adopted SPD and is not being	
obligation or indeed a planning condition can	considered as part of the partial review of the	
be used to determine the construction and	SPD	
labour supply from future competitive contract		
tendering procedures. Planning cannot be used		
to determine the manner in which future		
contracts are awarded or tenders prepared.		

This is beyond its remit and can be considered social engineering as opposed to land use spatial planning. Consequently this cannot be considered reasonable in terms of Circular 5/05.  However should the Council continue with this obligation it should have regard to the potential of the applicant in providing employment opportunities in other means. It is thus not considered appropriate to place an additional burden upon the applicant to provide localised employment opportunities through planning obligations when the applicant is already seen to provide significant levels of employment opportunities in the area. This issue should be acknowledged in	The Council welcomes employment generating development in the Borough. Specific circumstances are considered on their merits.	
the SPD.  Education: The current Council school review, within the context of the direction of the emerging Black Country Core Strategy may now result in less available capacity in existing schools, and thus fundamentally passes liability to the developer for the creation of addition school places.  Clarification is required as to how the current educational review seeks to accommodate the wider growth proposals of the Black Country	Noted. The Council continually monitors the future need for school places. As and when circumstances change the education section of the SPD will be reviewed.  Noted. The section on Education is not being reviewed as part of the Draft Revised SPD. The representation is therefore not duly made at this time. Planning Officers and Education Officers within the Council are working	None

assumptions that are to be used. A developer should only be expected to provide for the need of the development as in accordance with the advice contained in Circular 5/05.	housing growth and distributions being put forward in the drafting of the Core Strategy on educational requirements and pupil numbers. There is also provision for developers and planning applicants to negotiate planning contributions towards education provision on a case by case basis if there is reasonable justification for its reduction or omission.  As and when circumstances change the education section of the SPD will be reviewed accordingly.	
Highway Infrastructure/ Travel Plan and Transport Infrastructure: Support for the amended approach to calculating Transport Infrastructure Contributions, however it is considered that any transport contribution needs to be considered in the context of the wider transport issues/solutions which surround a proposal.	The SPD clearly sets out the context within which contributions are required. Contributions are calculated on a site by site basis depending on the impact of a development.	None
Open Space, Sport and Recreation: Concerned that despite PPG17 being published in 2002 that requires LPAs to move away from the National Playing Field Association (NPFA) standards to more locally derived standards, the Council are still basing their requirements on the outdate NPFA	The Council has recently published the draft Parks and Green Space Strategy which PPG17 Compliant. It is anticipated that this Strategy will be adopted in the summer of 2009.  The Council maintains its view that its existing approach for seeking open space	None

standards.	planning obligations meets national planning	
A strong possibility exists of double counting	guidance set out in Circular 5/05, and will only seek obligations for open space	
by using the NPFA standards and seeking	improvements where in accordance with the	
significant provision of children's play	tests set out in the Circular. Further detail on	
facilities on top of this standard.	the Council's approach to this issue can be	
	found by referring to Chapter 8 of the	
	Council's adopted Open Space, Sport and	
	Recreation SPD.	
Concerns over the current approach to	Noted. Comments passed to appropriate case	Comments on specific development passed to
calculation Open Space, Sport and Recreation	officer.	appropriate case officer.
requirements in relation to a specific		
development at Tansey Green Road and Oak		
Lane, Pensnett. Further specific comments are		
provided in relation to that development		
Public Art: General support for the approach	The Council considers that Street Furniture	None
but would recommend that a wider definition	and Public Realm improvements would be	
of public art is adopted, particularly with	delivered through Public Realm contributions	
regard to larger schemes which would be seen	rather than Public Art contributions.	
to incorporate street furniture and public realm		
improvements etc.		
Public Art: As Circular 5/05 requires planning	Noted. The Council recognises on larger sites	None
obligations to be directly related to the	the first preference is for the developer to	
proposed development it would be prudent to	provide onsite public art rather than an offsite	
adopt an appropriate ceiling to this	contribution	
contribution. Whilst 1% of a small scale		
development proposal is likely to be an		
appropriate contribution to public art 1% of a		
£20 million scheme would result in an		

unbalanced public art contribution.		
Public Realm: Concerns over the manner in which public realm contributions are calculated. There is no evidence presented to illustrate that the public realm improvements scheduled within the SPD are part of a public realm improvement programme. It is also questionable as to whether the programme of renewal is in fact deliverable in the first instance. Contingencies need to be factored in to this policy to ensure that if the programme of works is not delivered as is set out in the SPD then there is sufficient scope for the money to be returned back to the developer, as required through Circular 5/05.	The Council does have a mechanism whereby unspent monies can be clawed back by the developer. This is stated in paragraph 1.36 of the SPD	None
The money also needs to be ring fenced for such work as indicated in the case for commercial contributions.	Monies, once received, are ring fenced and allocated to the community infrastructure that it was required for.	
Nature Conservation: Due to the requirement to avoid or mitigate the impacts in respect of nature conservation, planning obligations are determined on a single site by site basis. Support for nature conservation obligations as long as an appropriate proportion of this is directed towards an element of mitigation.	The proposed obligations within the SPD relate both to compensation and enhancement. PPS9 is clear that compensation should deliver recompense for unavoidable loss of habitat or features of importance. The SPD looks to provide clear guidance on minimum levels appropriate for this. As RPS state mitigation will always need to be calculated on an individual basis to neutralise the specific impacts of a development. PPS9 is however	None

clear that development should provide more than this neutralisation of impact but rather enhancement of the natural environment. This is the basis of the Nature Conservation Enhancement element within the SPD which looks to formalise this requirement which is separate to mitigation and compensation.
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# 13. Centro

<b>Summary of Comments</b>	Council's Response	Council's Further Action
Welcome the SPD, particularly in its aim to	Support is noted.	None
set how planning obligations will be used to		
promote sustainable development and ensure		
that the infrastructure necessary to support		
future residential and commercial growth is		
delivered.		
Welcome the inclusion of Section 2.13	Support is noted.	None
Transport Infrastructure Improvements and in		
particular the intention of the planning		
obligations to contribute towards the		
objectives in the Dudley Transportation		
Strategy.		
Para. 2.134 point B: It would be helpful to	It is recognised that the use of Accession	None
identify the work which is looking at	based information may be an appropriate form	
developing Accession based criteria for aiding	of evidence for larger developments that form	

in the development control process. It is understood that, subject to approval of the process via PAG, Dudley Council (and other Metropolitan Authorities) will use this work to help identify which sites are highly accessible (and therefore will not require significant developer contributions) and which sites are not accessible and will need significant transport contributions.	part of a Transport Assessment, however it would be beyond the resources available for smaller developments, which make up the vast majority of developments.	
Para. 2.135: Reference is made to Metro in the context of Wednesbury-Brierley Hill. Centro request that this should refer to 'rapid transit' and should consider the wider public transport network by referring to the corridor from Wednesbury (or even potentially Walsall) to Stourbridge Corridor including the key centres of Dudley and Brierley Hill.	Agree	Change reference in para. 2.135 from 'Metro' to 'Rapid Transit'
Particularly welcome DTS4 and DTS5 (paras. 2.135 and 2.136) and the intended involvement of Centro. Good public transport provision from the outset will help to ensure that people establish sustainable travel patterns from the outset. It would however be helpful to refer to bus partnership work between Centro and operators, Centro's Transforming Bus Travel programme and the rail network development plan, in conjunction with Network Rail's business plan proposals.	The SPD is a strategic document, and as such it is considered inappropriate to include details of individual partnerships. The Council will work with the relevant partners in operation at the time. Reference is already made in the SPD to the fact that the Council will continue to work with West Midlands partners, this is considered to be appropriate for the purposes of this SPD.	None
The overall approach for securing transport	The Council is happy to discuss with Centro	None

related planning obligations outlines how trip rates are derived from TRICs but does not make any statement on mode split targets by public transport. The latest Centro annual statistics outlines overall mode split for Dudley Borough as 86% car, 14% Public Transport and Brierley Hill 88% Car and 12% Public Transport. In order for Centro to fully assess the data and methods used, an appendix outlining which sites from the TRICs database have been used to derive the trip rates, and where and to what extent the discount factors for pass by and linked trips have been applied should be made available.	the basis of the information used by the Council to derive the trip rates and discounts applied, however due to the detailed and technical nature of this information it is considered inappropriate to include this information within the SPD.	
Concerns regarding the trip rates used by applicant/ developer. It is unclear whether Developers/ applicants have to use the exact trips rates as listed in the report, or whether, subject to agreement with Dudley MBC, the applicant can use more up to date local data (as and when it becomes available). If there is flexibility in the derivation of trip rates it is possible that depending on the assumptions applied using TRICS for existing and proposed uses it can be demonstrated that the overall net impact of a development is zero additional trips, when in reality there is a significant impact on transport demand. The trip rates used would have implications on the final agreed contribution.	Depending on the individual circumstances of the development. Para. 2.130 of the SPD states that a developer may seek a reduction by providing robust and detailed evidence.	None

Centro seeks clarification on the sources of data for new trips identified in paragraph 2.140, particularly whether it is TRICS trip rates and the time frame used i.e. for the year 2007 or longer. Additionally, whether the 5668.94 two way trips is from TRICS forecasts for the 2007 sites and if so does this represent an 'average' year in terms of mixture of development in the borough and therefore whether it will reflect expectations for future years.	The Council used TRICs data to calculate information on two-way daily flows on an average weekday, based on actual implemented applications. The SPD will be reviewed accordingly and will include updated TRICs information.	None
Centro would also like to question whether there are any proposed monitoring budgets or policies in place to measure the actual impact of developments in terms of new trip making, and if so is this linked to a regime of securing additional funding if the actual trips exceed forecasts. It is noted that 'the transportation element of the Planning Obligations SPD should generate an average £3,500,000 per annum which is approximately 10% of the annual cost of delivering the objectives of the Dudley Transportation Strategy'. Centro seeks clarification on how the cost of the Dudley Transport Strategy will recognise any increase in demand related to proposed development per annum.	Monitoring will take place within the context of the emerging new spatial strategy for the Borough (as set out in the Black Country Core Strategy)	
It is noted that the total cost per trip has been calculated at £61.74 which is based on	The rate set out in the revised SPD has been set to meet current demands. As with all other	None

1 1 2 2005 ~		
planning application data from 2007. Centro	planning obligations within the SPD, the rate	
again seeks clarification on how the cost per	will reviewed annually in line with inflation.	
new trip will reflect inflation in future years.		
Centro would also welcome the opportunity to	Noted. The Council already works closely	None
work with Dudley MBC in developing any	with Centro for this purpose, as always	
future agreements. Additionally Centro	welcomes further discussions.	
requests the opportunity to further discuss how		
planning obligations for larger proposals will		
be obtained and used. Centro wil be seeking to		
secure contributions towards larger project		
with significant lead times and therefore a		
pooled contribution is likely to be required in		
order to support the 10% contribution for a		
major scheme (25% for Metro)		
It is however considered that the SPD should	Noted. The Council recognises the importance	None
give more emphasis to the importance of early	of pre-application discussions. Where deemed	
engagement by the developer and the Planning	appropriate by both arties (LA and	
Authority with external partners and	Developer), the Council regularly discusses	
stakeholders such as Centro who have a direct	schemes with key appropriate stakeholders. In	
interest in the development. Pre application	cases where a developer is unwilling to allow	
discussions are crucial to ensure that provision	the Council to discuss schemes direct with	
for high quality public transport facilities and	stakeholders, developers are encouraged to	
services are made from the outset. This will	contact stakeholders directly.	
ensure that people do not establish	, and the second	
unsustainable travel patterns due to the initial		
absence of good public transport in these areas		
and that any 'planning loss' arising from the		
development is minimised.		

# 14. RPS (on behalf of Westfield)

<b>Summary of Comments</b>	Council's Response	Council's Further Action
Welcome the Council's recognition that	Support is noted	None
changing economic circumstances create		
considerable difficulties for the		
implementation of necessary development and		
support the general intention behind the		
revisions to the SPD to ensure unnecessary		
economic burdens related to Planning		
Obligations are not placed on developments.		
Support the refinement of the categories of	Support is noted	None
development for the purposes of estimating		
trip rates in respect of Transport Infrastructure		
Improvements.		
Welcome the Council's recognition that	Noted, and accepted that close working is an	None
viability is an important factor in the	effective tool to be utilised. However the	
consideration of the need for any planning	Council has to be convinced of the	
obligations. However, do not consider that an	information put before them to justify a	
'open book' approach is appropriate other than	departure from the policy.	
in exceptional circumstances given the		
confidential nature of information in many		
cases. It is feasible to reach a satisfactory		
understanding on viability issues through the		
close working between the local authority and		
the applicant and their respective advisors.		

Not convinced that the significance of the policy guidance of Circular 5/05 and the adopted Unitary Development Plan is adequately carried through to the detailed provision of the draft revised SPD.	The Council considers that the SPD is rooted in national guidance and the Council's adopted UDP.	None
Consider that the SPD should make clearer that the Council will guard against the potential for the formulaic approach of the SPD to put unjustified pressure on developers to enter into obligations to provide resources and facilities when a proposed development itself is making direct provision for major elements of public gain, for example public realm and other infrastructure improvements, on or off-site. This applies particularly in relation to major regeneration schemes.	The Council recognises the current economic circumstances and does not request disproportionate contributions. Cases are looked at on their merits in terms of viability and in scale to the proposed development as set out in the policy framework.	None
Para. 1.36 Unilateral Undertakings: The requirement for Unilateral Undertakings on applications for 1-9 dwellings will inappropriately pre-judge whether a given development gives rise to significant planning implications requiring compensation or mitigation, that can only be secured by a planning obligation. This will not necessarily be the case and to suggest so would run counter to Circular 5/05 and UDP Policy DD7.	Circular 5/05 encourages Councils to use Unilateral Undertakings. The Council actively encourages pre-application discussions to consider the impacts of prposed developments.	None
Para. 1.68 – Financial Charges: Question the rationality and fairness of charging automatically for unilateral undertakings and	The Council is required to exercise its legal duties with regard to Unilaterals. The monitoring element is required irrespective of	None

S106 Agreements, since these are documents	the type of legal agreement being used or	
usually prepared by the applicant. With regard	whether the development is implemented. The	
to the process of considering whether the	SPD seeks to clearly set out the true costs of	
obligation addresses the specific planning	infrastructure arising from a proposed	
issue, this would be integral to the Council's	development. Such costs should be borne by	
overall appraisal of the application which	the developer.	
would be covered by the planning application		
fee. Thus charging for obligations should be		
dealt with in a discretionary way, without any		
presumption that there will automatically be a		
charge. This approach would assist in		
reducing the adverse implications for		
development of the economic downturn.		
Para.2.81: Paragraph 2.81 - Calculation of	The 50p figure is derived from trialling the	None
Nature Conservation contributions:	factor on differing, but	
The proposed formula indicates that the	representative, schemes which have been	
contribution will be calculated by means of	submitted as planning applications within the	
the area of the development in square metres,	borough. The contribution was chosen	
minus the area of semi-natural vegetation on	for both its reasonableness and its capacity	
site following development, multiplied by	to provide real enhancements for nature	
£0.50. We suggest the revision document	conservation	
should make clearer how the £0.50 factor is		
derived, which otherwise appears arbitrary.		
2.81 Examples of Nature Conservation	Part b. paras 13 and 14	Amend and restructure text of Nature
Contributions Question how this example	This comment has highlighted a	Conservation Section to provide additional
justifies the applicant entering into a planning	confusion within the revised text of the SPD.	clarification, including the provision of an
obligation in respect of nature conservation	Enhancements are required by all	Appendix to provide further information, and
enhancement.	developments above a minimum threshold.	additional references of nature conservation
	The text of the SPD will be amended to fully	terminology in the glossary.

First, Government planning guidance and the UDP plainly encourage residential development on brownfield land, such as the former industrial site in the example. Since there is no semi-natural vegetation on the example site, there is no loss of this resource and hence no requirement to mitigate for this kind of planning harm. There would, therefore, be no justification for a planning contribution in this respect. We note in this context that the Trigger for Obligation in relation to Nature Conservation (para. 2.77) is 'where new development will have an impact on the natural environment' (our emphasis). It is where these circumstances arise that 'measures will be required to address this impact'.

Secondly, in removing the former industrial development and the potential associated dereliction and pollution, combined with fulfilling normal requirements for landscaping in the development, the proposal would be likely to be providing planning advantages of benefit to nature conservation.

clarify this position and provide further guidance to aid developers.

Part b. para 15
Brownfield land can have significant nature conservation value and under certain circumstances can receive high statutory protection for its importance to wildlife.
Therefore although on certain development sites this statement in para 15 can be correct, it would certainly not be so for others, and cannot be assumed as so.

None

Part b. para 16

As stated above the enhancement contribution has been chosen in part with economic viability in mind. It is very unlikely that the sums asked for would realistically impact on the viability of a development. The nature conservation enhancement requirement is in part a response to RSS policy QE10 which sees the improvement of the Black Country

None

Thirdly, if a requirement for a contribution in respect of nature conservation is not justified, then this would be likely to act as an inappropriate disincentive to the development of brownfield land compared to any more straightforward alternatives.	environment as being important to benefit the economy by increasing investment and skill retention within the area. The SPD amendment's preferred option, of using the contribution to provide onsite improvements, should (as in other areas) benefit the developer in terms of the increased desirability and potentially sale/rent price of the development.	
Para. 2.104 Public Realm: The revisions indicate that public realm obligations will be required under all circumstances for residential development, or other development over 100 sq m in or near centres. This appears unjustified in going against the advice of Circular 5/05 that standard charges and formulae should not be applied in blanket form regardless of actual impacts. The text also appears to ignore the public realm benefits that the development may intrinsically bring about such as removing dereliction. In addition there is no clear explanation of the planning harm to be mitigated. If unjustified, such obligations would act as a disincentive to development within or adjacent to centres, running counter to the strong thrust of government and UDP policy to promote such development.	Noted. Each development would be considered on its merits and the improvements to public realm applied through the development itself. Individual circumstances and viability of schemes are considered on their merits on a case by case basis. This is set out in the SPD.	None

Para. 2.123 Transport Infrastructure Improvements: The draft revised SPD states that contributions towards transport infrastructure will be sought in the Borough 'on any developments that generate a net increase in the number of trips from a site'. Again, this appears to be at odds with the advice of Circular 05/2005 (para. B35) that standard charges and formulae should not be applied in a blanket form. Whether a standard charge is sought should depend on the nature of the proposed development.

The blanket approach implied by the text would be particularly inappropriate for development within and adjoining designated centres. Town centre type development is encouraged in such locations by Planning Policy Statement 6 and the UDP for reasons including that it will benefit from the availability of existing transport infrastructure, will support the further use of public transport and increase the viability of potential new provision. The development itself thus stimulates the market for transport provision. It is not evident, therefore, what clearcut harm would be caused by the development in these circumstances that must be mitigated by a planning obligation. This applies particularly in the case of small new, or change of use, developments.

centres creating an uncertain, or at most only a small, potential increase in trips. In these cases, the planning harm in transport terms is very intangible and typically would be outweighed by the environmental and economic benefits of the speedy reuse of the

The calculation for Transport Infrastructure Improvements does not apply a blanket approach, rather it relates specifically to the mitigation of the impact of a particular development on the surrounding highway network.

Individual development proposals are looked at on their merits and in terms of viability and in scale to the proposed development as set out within the policy framework. None

Viability factors can also be critical. Changes of use of small vacant premises, for example, which are commonly needed in routine property management, often generate little or no increased rental income and any unnecessary planning obligation can seriously jeopardise the viability of bringing the resource back to use.	The Council recognises the current economic circumstances and does not request disproportionate contributions. Cases are looked at on their merits in terms of viability and in scale to the proposed development as set out in the policy framework.	None
Accordingly, in our view, it would be appropriate to exclude small new or change of use developments from the automatic application of the obligations formulae.		
In relation to larger scale development, we consider the draft revised SPD should also clarify that in considering obligations full account would be taken of measures potentially being taken by the developer which would already bring about a package of significant public benefits, of which improvements in transport infrastructure may be an element, associated with a major planning proposal or other binding initiative.	Noted. This is accepted practice. Negotiations regularly require onsite or directly implemented improvements. The SPD sets this out.	None
Paragraph 2.141 - Use of transport contributions In order to fulfil the essential principles of Government and UDP guidance on the justification and use of planning obligations, the Borough Council in any given case would need to indicate sufficiently	Monies for all contributions are ring fenced and spent on the community infrastructure for which they were required, and are monitored against outputs.	None

tangibly what the contribution would be used	
for and how it would mitigate the particular	
planning harm potentially caused by the	
proposal. The revision text at paragraph 2.141	
provides inadequate confidence that this	
requirement can be fulfilled, particularly in the	
case of a smaller development.	

# 15. Environment Agency

<b>Summary of Comments</b>	Council's Response	Council's Further Action
No further comments to make on revisions to	Comments made on the initial SPD were dealt	None
the SPD. However, would wish to see changes	with accordingly at the time.	
made to those sections on which comments		
were made in August 2007 in relation to the		
initial SPD consultation		

### 16. St. Modwen

<b>Summary of Comments</b>	Council's Response	Council's Further Action
Suggest the enhancement of the section on	The Council considers that the viability issue	None
'Impact on Viability'. The current conditions	is adequately referenced within the SPD	
in the property market are such that previously	currently, and recognises issues of individual	
viable schemes can no longer be delivered	circumstances and viability.	
because of the implications of a significant		
reduction in base line land values. It would be		

All planning obligations need to be secured	None
	None
	None
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an appropriate specification.	
Where this is not possible, a restoration of	
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	All planning obligations need to be secured through a legal agreement, either a S106 Agreement or Unilateral Undertaking  The Nature Conservation Compensation element sets a benchmarked volume of like for like replacement of any acceptable habitat which would be lost through development e.g. if an area of SLINC is lost it would be expected that the same area to be recreated to an appropriate specification.  Where this is not possible, a restoration of existing habitat will be considered. This second option would obviously create a loss of overall habitat. Therefore it is proposed in the SPD that if restoration is used to compensate for loss of habitat, the area should be a minimum of twice the area lost. This is not the preferred option as the total provision of habitat would be reduced within the borough. Under neither option would there be an increase in provision, providing an adequate quality of resulting habitat could be reasonably ensured.

Para. 2.81: Nature Conservation Enhancement	The 50p figure is derived from trialling the	
– It is not clear where the calculation of £0.50	factor on differing, but	
comes from.	representative, schemes which have been	
	submitted as planning applications within the	
	borough. The contribution was chosen	
	for both its reasonableness for the developer,	
	during the current less favourable economic	
	climate, and its capacity to provide real	
	enhancements for nature conservation.	
Fear that with every single Council	The Council considers each development	None
department looking for their share a number of	proposal on its merits and has regard to issues	
contributions will not proceed unless the	of viability and individual circumstances. The	
policy is implemented in a flexible and	Council actively encourages and welcomes	
realistic way.	regeneration benefits delivered through	
	developments.	

### 18. Gough Planning Services (on behalf of quadrant Land Partnership and Revelan Group plc))

<b>Summary of Comments</b>	Council's Response	Council's Further Action
(Paras. 1.57 and 1.58) The recognition that the	Support is noted.	
seeking of planning obligations can have a		
significant detrimental impact on scheme		
viability is welcomed.		
Para. 2.5 Affordable Housing. In order to	Accepted.	Add an additional sentence at the end or para.
provide the SPD with flexibility and		2.5 as follows:
longevity, it is suggested that a statement be		"Any revision to the needs analysis may result
added to the effect that the basis of the		in a revision to this policy in the SPD."

affordable housing requirement calculation and the calculation itself will be updated over time as further Housing Needs Surveys are undertaken		
Education: The methodology advanced for the calculation of contributions to fund additional school places is considered deficient. It is recognised that where a development is likely to generate a requirement for school places which cannot be met other than by the expansion of school facilities, a contribution should be sought. However, the basis for assessing the number of school places should be the net addition generated by the development concerned, after taking into account any losses to dwelling stock, whether as a result of the development or other proposals, within the catchment area.	The education planning obligation is not being reviewed as part of the SPD review. These issues can be considered at a later date as part of a wider review of the SPD.	None
Library Service: The same comments as are advanced in response to Education in previous comment equally apply to library services.	The library services planning obligation is not being reviewed as part of the SPD review.  These issues can be considered at a later date as part of a wider review of the SPD.	None
Transport Infrastructure Improvements: It is considered that the basis of assessing whether a contribution is required is too simplistic. It is recognised and accepted that, in circumstances where a development would generate a net increase in the number of trips from a site, there may be a transport impact. However, the	Noted. However individual circumstances and viability of schemes are considered on their merits on a case by case basis.	None

trip rates generated may not be appropriate to		
apply where good pedestrian, cycling, and		
public transport access to the site is available		
or is proposed to be improved. In such		
circumstances, lower trip rates may reasonably		
be expected to be generated.		
The trip rates actually generated may not have		
a detrimental impact on the capacity or safety		
of the local transport infrastructure. Proposals		
to relocate facilities, such as a medical		
practice, may increase trips generated at the		
new location but will reduce trips generated at		
the present location. The relevant net impact		
in such cases is not that at the development		
site, but at both sites, taking into account		
existing permitted and proposed uses.		
Whilst it is recognised that many components	The purpose of the Transport Infrastructure	None
of transport infrastructure are at or near	Contribution is to mitigate against the general	
capacity in the Borough, not all components	increase in demand on the wider highway	
are. In circumstances where transport	network	
infrastructure is capable of accommodating		
any net additional trips generated by a		
development, without detriment to flows or		
safety, no contributions towards transport		
infrastructure improvements should be sought.		
In other case, unrelated development		
proposals could reduce impact on the local		
transport infrastructure, for example by		
redeveloping a site with a use with a use with		

a lower level of traffic generation. This would	
effectively provide additional capacity to	
accommodate further development.	

### 19. Highways Agency

<b>Summary of Comments</b>	Council's Response	Council's Further Action
No further comments to make on revisions to	Comments made on the initial SPD were dealt	None
the SPD. However, reiterate the comments	with accordingly at the time.	
made in respect of the previous draft		
consultation document in 2007.		

### **20.** The Coal Authority

<b>Summary of Comments</b>	Council's Response	Council's Further Action
No Comments	N/A	None

### 21. The Hurlstone Partnership

<b>Summary of Comments</b>	Council's Response	<b>Council's Further Action</b>
Para. 1.68 Financial Contributions – a specific	The Monitoring Fees were approved by the	None
formula and relationship for monitoring fees	Council's Development Control Committee in	
relative to the sum of the obligation in	January 2007 and is now embedded as	
inappropriate as it may be that a costly item	established practice.	

would require minimal monitoring. The monitoring fees should be negotiated on a case by case basis taking into account the nature of the obligation and monitoring requirement. Para, 2.37 Environmental Protection – In the The Council agrees that it would be normal for Add additional paragraph in the section on Air event a development is likely to have a appropriate mitigation to be agreed prior to Quality in Environmental Protection to clarify significant impact on air quality, it would be granting planning permission. Examples could the current situation as follows: normal for appropriate mitigation to be agreed include provision of mechanical ventilation prior to granting planning permission. The for proposed developments in close proximity "Work is currently in progress by the Council suggested wording specifically relates to extra to a congested road or setting back the to develop a standardised system for air traffic movements and increase in pollution building line of properties to reduce residential quality contributions. Once in place this will levels. Any development that results in any exposure to traffic pollutants. Routine issue provide increased clarity on the level of increase in traffic could be frustrated by this such as these would normally be dealt with by requirements set out within a Planning planning condition as specified in para 2.33 of Obligation and offer fairer evaluation between paragraph. the draft consultation document. developments." Planning Policy Statement (PPS) 23: Planning and Pollution Control Annex 1 establishes the broad principles of using Section 106 contributions to improve air quality where it is inappropriate to use planning conditions but does not specify trigger levels where obligations would be required. The Council has therefore based its approach on national Best Practice Guidance such as the document published by Environmental Protection UK (2006): Development Control: Planning for Air Quality (2006). The Council is currently in the process of developing an air quality

supplementary planning document and has

also produced an internal guidance document for development control officers to assess the significance of potential air quality impacts of new development:

http://www.dudley.gov.uk/environment-planning/pollution-control/air-quality/development-control-and-air-quality

There is no benefit of providing monies to the Council for equipment and staff to monitor the effect that the development will have on air quality in the area. This would not solve a problem or mitigate the effects of development. Should a significant development trigger a requirement for such monitoring, the agreed mitigation would address the appropriate strategy in any event.

The Council declared the whole borough as an air quality management area in December 2007 and is currently developing a borough wide air quality action plan. In some areas we have clearly defined exceedences of the government's annual mean objective for nitrogen dioxide and in other areas there are borderline exceedences. With the inherent inaccuracies associated with atmospheric dispersion modelling, there have been examples of where some air quality assessments submitted in support of planning applications have been inconclusive. Requesting monies to the Council for equipment and staff to monitor the effect that the development will have on air quality in the area fulfils a number of specific objectives:

1) It follows specific examples provided in PPS23 Annex 1 para 1.50:-

Amend Para 2.37 to state:

"A planning obligation for air quality would be entered into where the proposed development is likely to have a detrimental effect on air quality. For example, a new housing estate which is serviced by an already busy road which has air quality problems will place an additional burden on the road through extra traffic movements which is likely in turn to increase concentrations of pollutants in the air. In such circumstances, and particularly as the whole of Dudley Borough was declared as an Air Quality Management Area in 2007, it may be appropriate for the developer to be given permission to develop only where an S.106 agreement can be reached. This could, for example, require the developer to either:-

Measures which it might be possible to consider for Section 106 Agreements include:- ... the purchase, installation, operation and maintenance of air quality monitoring equipment or provision of other assistance or support to enable authorities to implement any necessary monitoring or other actions in pursuit of an Air Quality Action Plan.

- 2) In borderline areas, it provides a mechanism for the Council to monitor actual air quality impacts of a development once planning permission has been granted. Results from this process can be used to assist with the mitigation process, e.g. revision of future Travel Plan targets.
- 3) It negates any requirements for a developer to undertake onerous or lengthy periods of air quality monitoring before the planning application can be determined.

In recognition of these facts and in specific response to this comment, the Council

- Undertake specific off site works to help minimise the effect of the development on air quality or to provide monies to the Council for the purchase, installation, operation and maintenance of air quality monitoring equipment, or:
- Provide other assistance or support to enable the Council to implement any necessary monitoring or other actions in pursuit of an Air Quality Action Plan."

Para. 2.6 Site Specific Measures – Whilst it is appreciated that the reference is an example, there is no definition of what is a significant number of vehicular trips. As stated previously any traffic would by definition have the potential to generate additional air quality impacts at existing residential receptors within the Authority's area when considering the number of dwellings fronting major routes. Whilst the impact may be slight and/or insignificant the wording would effectively catch all sites attracting/generating any traffic. This view is reinforced specifically by the wording in paragraph 2.55, which provides no limit to developments assessed under this section.	proposes modification to the section on Air Qulaity  The specific absence of trigger criteria has been discussed in the response to previous points. Development Control Officers have been provided with guidance for assessing the significance of factors such as traffic flows, parking spaces, number of dwellings etc. and it is hoped that this information will shortly be incorporated into an air quality supplementary planning document.	The Council proposes that paragraph 2.6 in Site Specific measures be modified to read:  "Funding or provision of other assistance, analysis or support to enable the Council to implement any necessary monitoring or other actions in pursuit of an Air Quality Action Plan. The air quality impacts of a development will be assessed by officers in accordance with national Best Practice Guidance. Issues to be considered will include potential for breaches of the national air quality objectives and EU Limit Values, the impact on any air quality action plan or strategy implementation, overall degradation in local air quality and the increase or introduction of public exposure."
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Para. 2.13 Transport Infrastructure Improvements - The reduced contribution per trip when compared to the current SPD is welcomed. However, in general terms I consider the requirement for these supplementary contributions is unnecessary and in many ways an unreasonable tax on development. Para. 2.13 Transport Infrastructure Improvements - Imposing the SPD for non- specific works brings into question the tests of Circular 05/2005, which include amongst others whether the impact is directly related to the proposed development? For general improvements I would question whether these could ever be directly related to the proposed development. When added to the normal infrastructure requirements based on identified impact and taking into account the accommodation of growth within them, by making further payment under this element of the SPD a developer is effectively paying to mitigate twice.	The principle for planning obligations with the Borough was established in the original SPD.  The SPD was developed to address the wider impact of a development on the highway network created by trips to and from the site, not from within the site itself.	None
Para. 2.13 Transport Infrastructure Improvements - The table of use classes and trip rates broadly accords with Appendix B of Department for Transport Guidance on Transport Assessment. However within the DfT Guidance it indicates no assessment is	The Council considers that the cumulative impact of smaller developments will have an impact on the highway network which needs to be addressed. Thus the Council's approach is considered appropriate.	None

required for developments below the threshold		
at which a Transport Statement is required.		
This is understood to be on the basis that		
developments below these thresholds are		
unlikely to have any significant detrimental		
impact on transport infrastructure due to the		
low levels of associated trip attraction. On this		
basis, in the event the SPD continues to		
require Transport Infrastructure		
Improvements, I would question the need to		
secure contributions on those developments		
which fall below the threshold at which a		
Transport Statement is required.		
Para. 2.13 Transport Infrastructure	Agree that further clarification of the Trip	Amend Trip Rate table in the revised SPD to
Improvements - Within the use classes table in	Rates is required to avoid misinterpretation	provide additional clarity and ease of use.
the Draft SPD, extensions to existing B1 and	and possibility ambiguity.	
B2 developments of 1500 sq.m and 4000 sq.m		Add additional sentence at the end of
respectively are subject to lower trip rates than		paragraph 2.130 as follows:
new development of the same type. It is		"For proposed developments where the
unclear whether extensions to existing		existing or proposed use does not fall within
developments below this level, for example a		any of the categories within the following
3000 sq.m B2 unit, would require a		Table, applicants are encouraged to contact
contribution under the SPD or not, and if so		the Highways Section of the Council on 01384
based on what trip rates.		815457 to discuss the potential impact of the
The second secon		development."
Para. 2.14 Travel Plans - Travel Plans	The Travel Plans obligation is not being	None
normally need to be agreed with the Local	reviewed as part of the SPD review. These	
Authority prior to implementation. Providing	issues will be considered as and when this	
operators manage the Travel Plan in	obligation is reviewed.	
operators manage the traver rain in	oungation is reviewed.	

accordance with the agreed strategy they should not be penalised in the event people still choose to exercise their free will and travel by car despite the agreed Travel Plan incentives being put into place. Any developer or operator who promotes and supports the Travel Plan in accordance with an agreed strategy, which presumably takes into account the best practice procedures available at the time of implementation and/or review, should not be held responsible in the event aspirational targets are not met. Many things which affect an individuals travel choices are beyond the control of developers/operators, such as town parking strategies etc, which can significantly affect the modal choice. Imposing such constraints on developers can frustrate their ability to operate and select appropriate staff for a particular post. A more suitable candidate who must, for personal reasons, travel by car may be rejected in favour of a less suitable person who could travel by bus, as they would not result in a potential liability payment. This problem can be particularly pertinent when assessing accessibility for disabled people. Circumstances it could be argued that a penalty should be imposed is in the event a developer/operator failed to implement the agreed Travel Plan, or in the event meeting

the specific target of a Travel Plan was used to	
justify using lower trip rates	
to avoid infrastructure improvements which	
would otherwise be required and justified	
under the normal tests of Circular	
05/2005. In this case a penalty may be	
justified to implement the improvements that	
were previously avoided.	

# 22. Joint Director of Public Health, Dudley MBC/Dudley Primary Care Trust; and 23. Chief Executive Officer, Dudley Primary Care Trust

<b>Summary of Comments</b>	Council's Response	Council's Further Action
As Dudley has been been nationally selected	Response Welcomed.	Add new paragraph after para. 1.18 as
to work as one of the Government's 'Healthy		follows:
Towns'. This means that Dudley Policy is to		
aspire to become a 'Healthy Town' through		"The Council has recently been selected to
the application of healthy public policies in all		work as one of the Government's Healthy
aspects its services and delivery. I therefore		Towns. Many of the requirements of the SPD
feel that there should be some reference to this		contribute towards achieving these
in some of the general contextual statements		objectives."
in relation to this planning guidance. I am		
unsure precisely where this should be inserted		
and would defer to your guidance on this but I		
do believe that the general reference to Dudley		
aspiring to be a 'Healthy Town' through its		
planning policies and documents should be		
included in the document.		

#### Policy DD7 Planning Obligations

Reasons for the policy:

I broadly agree with this section but believe that point 4 in para 1.24 on page 11 should read 'Health and Social Service Provision.

The reason for this is that developments, particularly residential developments, place additional burdens upon primary care and it is important to ensure that these are recognised. There have been too many instances of new residential housing areas being developed with no facilities for general medical practice, general dental practice, community nursing and associated social services etc to support them.

In addition, it is increasingly the case that Social Services facilities are developed in conjunction and partnership with health facilities and a statement of 'health and social service provision' would both reflect and continue to strengthen this.

DD7 Planning Obligations:

Point b should read 'Ensure that there is no unacceptable adverse impact on the environment nor consequential unacceptable loss to the existing level of services/amenity enjoyed by the community'.

Noted. The Council recognises the importance of Health and Social Service Provision.

However this comment relates to the adopted UDP. The Council welcomes and encourages input from the PCT in delivering its service and will continue to liaise in preparation of the review of the planning framework through the preparation of the Joint Core Strategy..

None

PART TWO, Page 21  I note that all of the points 1 – 10 in para 1.24 (covering the beginning of page 10 and top of page 11) are all further elaborated in respect of trigger measurements etc in Part Two, with the sole exception of health and social service provision. I am unclear as to the reasons why the health and social service provision is not carried through into further detail, in part 2 and whether or not this might have a detrimental impact on any planning obligations that the DMBC would seek to obtain. It may be that Health and Social Service planning obligations can only be assessed on a case by case basis and no general thresholds are relevant. I should be grateful if you would clarify this please. I would not wish to see the health and social service obligations weakened by non-inclusion in Part Two.	The purpose of this review of the SPD is to clarify existing obligations, rather than introduce new ones. However the Council welcomes further discussions with the PCT during a wider review of the SPD.	None
Section 2.6 Site Specific Measures  Point 2.52, page 30:	Accept.	Add reference to 'walking and cycling routes' within the 2 <sup>nd</sup> bullet point in the section on Site Specific Measures
Para 2.52 contains a series of bullet points, the 2 <sup>nd</sup> of which relates to the funding of improved public transport facilities where development is generating a significant level of trips etc. I should be grateful if this could		

be amended to include specific reference to walking and cycling routes. (The reference is made further on in the document but I believe that it should be made early on, under the		
definition in relation to site specific measures.		
Support the insertion of the new bullet point in relation to air quality, monitoring and mitigation measures.	Support is noted	None
Para 2.81, page 37:	Noted.	None
The inclusion of an additional paragraph on nature conservation enhancement is supported, given the importance of the natural environment for public mental health and its relationship to the Dudley MBC's 'Healthy Towns' endeavour.		
Section 2.10 Open Space, Sport and Recreation	Agree.	Add reference to 'Municipal Parks' within para. 2.84
Para 2.83, page 39:		
Relates to open space and recreation. I take public open space to include municipal parks. I should be grateful if this could be clarified in the documentation. Public parks are one of the major planks of Dudley MBC's 'Healthy Towns' policy and this should be reflected in the policy statement.		

Para 2.134, Page 53:	Agree.	Add new paragraph after para. 1.18 as
		follows:
This refers to increasing and promoting		
sustainable transport by, inter alia, continuing		"The Council has recently been selected to
to implement improvements to walking and		work as one of the Government's Healthy
cycling networks, routes and facilities. The		Towns. Many of the requirements of the SPD
inclusion of this guidance is strongly		contribute towards achieving these
supported but, as indicated earlier, needs to be		objectives."
reflected and strengthened earlier in the policy		
statements of this document.		

# 24. Dudley MBC: Housing and Development

<b>Summary of Comments</b>	Council's Response	Council's Further Action
In view of the downturn in the economic	Support is Noted	None
climate this review is welcomed as a response		
to quickly changing circumstances, as is the		
additional clarity to the contributions required.		
In relation to the proposed changes to the	Support is Noted	Noted
highways contribution the significant		
reduction in contributions being sought is		
welcomed to contribute to encouraging		
bringing forward development opportunities in		
Dudley and in encouraging developers,		
including RSL partners to commit resources to		
work in the Borough rather than elsewhere.		
In relation to all planning obligations it is the	Noted.	None

intention to continue to monitor any effect on the provision of additional affordable housing and in relation to 100% affordable housing development we will be mindful that if supported from a strategic housing perspective such developments can make a significant contribution to this council priority and would wish to ensure this is considered when the financial viability of schemes are being examined in relation to the negotiation of obligations set out in the SPD.		
In relation to seeking to secure up to 30% affordable housing on Section 106 development sites we are also monitoring the negotiation of obligations and no doubt further reviews of the SPD will be considered over time in response to market conditions.	Noted	None

### 25. Partridge Transport Services

<b>Summary of Comments</b>	Council's Response	Council's Further Action
Comments in relation to Cradley Heath	Noted. These comments are not within the	Comments forwarded to the Council's
Sppedway. It is considered that even though	scope of the revision of the planning	planning policy team.
the UDP prevents the closure of any sports	obligations SPD.	
stadiums unless a new site can be found, this		
has not been the case and Dudley Council		
have thrown obstacle after obstacle to prevent		
this from happening.		

Within the current economic climate, it is considered that Dudley Council could now find that land required for the Cradley Speedway,	
Until the Council recognises that this would	
benefit everyone and appoint someone to	
make this happen I feel that I can't support the	
Council's future framework of the UDP.	

# 26. Building Design Practice

<b>Summary of Comments</b>	Council's Response	Council's Further Action
It is considered that the SPD requirements are	Noted. The principle of the need for the SPD	None
a cost at best and more likely a tax on	has been established in the original SPD.	
investing in an area. Several examples are	Individual circumstances and viability of	
cited where planning obligations were	schemes are considered on their merits on a	
required and considered excessive. For this	case by case basis.	
reason it is recommended that the SPD be		
abolished.		
The Local Authority must not give the	Noted. Obligations are only requested and	None
impression that developers make 'loads of	required in line with the 5 tests as set out in	
money' and their business is easy. The easiest	Circular 5/05. Individual circumstances and	
way for a developer to lose interest in a	viability of schemes are considered on their	
project is the demand for large sums,	merits on a case by case basis. Depending on	
particularly in advance.	the individual circumstances of the	
	development. Para. 2.130 of the SPD states	

	that a developer may seek a reduction by providing robust and detailed evidence.	
The Council should also consider the actual	These comments are outside the scope of the	Comments passed to Development Control
number of planning conditions added to a	review of the SPD.	Section for consideration
planning permission. As a general rule the		
more the conditions on a permission the more		
expense a developer has to incure to resolve		
such. Recommend reducing the number of		
conditions – if not possible be very precise as		
to what is actually required (informally)		
Prefer working in surrounding authorities	Noted. These comments are outside the scope	Comments passed to Development Control
which are desperate for investment and	of the review of the SPD.	Section for consideration
include very few conditions, and those that are		
given are unofficially resolved in advance.	Dudley MBC actively encourages	
Dudley must make sure it does not become	regeneration in the Borough and seeks to	
perceived as an unhelpful authority.	promote development. Planning Obligations	
Recommend the Council having a positive	are only required where they are necessary	
view in their response. Also recommend:	and appropriate and meet the 5 tests as set out	
	in Circular 5/05. the Council hosts a	
-Returning telephone calls/messages	developers forum where these comments are	
-Stop the '28 day letters' which give bad	welcomed and discussed.	
impressions to inward investors.		

# 27. Dudley MBC Town Centre Regeneration Manager

<b>Summary of Comments</b>	Council's Response	Council's Further Action
Para. 2.105 – delete last sentence as this is a	Agree.	Delete last sentence of Para. 2.105 as it is a
repeat of the previous one		repeat of the previous one.

Para. 2.106 – there are 20 centres not 19. See	Agree	Amend number of centres to 20 in Paragraph
para. 2.105 which lists them		2.106
Para. 2.107 and 2.108 Public realm. Where are	This information is based on survey work	None
the figures of 136,000 sq m and 198,000 sq m	undertaken in the Borough which identified a	
derived from?	total amount of public realm area within the	
	centres as 334,000 sq m. This has been split	
	proportionately between residential and	
	commercial as detailed within the text.	

### 28. Dudley Estates Ltd (verbal response)

<b>Summary of Comments</b>	Council's Response	Council's Further Action
Questions why other Councils in the	The purpose of the revised SPD is to add	None
surrounding area (inc. Sandwell, Walsall and	clarity and transparency for developers and is	
Birmingham) are not implementing planning	recommended as best practice.	
obligations in such an 'outrageous' way that		
Dudley are.		
Considers that the effect of the planning	Individual circumstances and viability of	None
obligations that Dudley require is stopping	schemes are considered on their merits on a	
private sector development within Dudley	case by case basis. The revision of the SPD	
altogether. A number of examples are cited	aims to reduce the amount of financial	
where it is considered that excessive	planning obligations to be paid by developers.	
contributions have been requested:	The planning obligations as set out in the SPD	
	are in line with adopted policy.	
Appleyards, Stafford Street – total of		
£972,000 required		

Wyko COU from B8 to B1 - Total of		
£679,000		
Considers that the Council uses planning obligations as a form of blackmail for receiving planning permission. An example is cited at Wellington Road where planning permission was refused on a Change of Use from B8 to Sui Generis purely on the basis that the applicant refused to pay the £6000 planning obligations	The Council operates the planning obligation system fairly and transparently in line with policy. Planning Obligations are a material consideration in the determination of planning applications.	None
Considers that the Council is requiring planning permission for the turning around of a building on the existing site purely in order to obtain planning obligation monies. The applicant previously asked for this to be done as an amendment but was informed that planning permission was required.	The Council operates its duty within the context of planning legislation. Planning Obligations are only required where they are necessary and appropriate and in line with policy.	None
Would like it noted that as an investment company, Dudley Estates Ltd has invested £10m in Dudley but due to the planning obligation requirements will no longer invest any more in Dudley Borough, and will go elsewhere. Derelict sites in Dudley that Dudley Estates Ltd own will not now be developed.	The review of the SPD looks to ensure that planning obligations remain reasonable and realistic. Individual circumstances and viability of schemes are considered on their merits on a case by case basis.	None
Considers that the current stance of the Council in relation to planning obligations is damaging local communities	The review of the SPD looks to ensure that planning obligations remain reasonable and realistic. Individual circumstances and viability of schemes are considered on their	None

	merits on a case by case basis.	
It is stated that Dudley Estates Ltd currently	The SPD is adopted Council policy hanging	None
have 3 appeals ongoing, all of which have	off the UDP, and implemented accordingly	
planning obligations as a reason for refusal.	within the context of legislation.	
This demonstrates that the Council is actively		
agreeing to and implementing their policy of		
planning obligations		
Recommend that the Council drops all	The review of the SPD looks to ensure that	None
planning obligation requirements in Dudley in	planning obligations remain reasonable and	
view of the current economic climate and for	realistic. Individual circumstances and	
the reasons set out above.	viability of schemes are considered on their	
	merits on a case by case basis.	

# 29. Worcestershire Wildlife Consultancy (verbal response)

<b>Summary of Comments</b>	Council's Response	Council's Further Action
Approve in principle to both Compensation	Support is Noted	None
and Enhancement changes.		
Approved of 15 year maintenance for	Support is Noted	None
Compensation.		
Considered 50p rate per square m on all sites	Agree.	Make amendments to Nature Conservation
low in terms of ability to deliver enhancement		Section to enable developers (under certain
but reasonable in terms of cost to developer in		circumstances and only by the agreement of
current economic circumstances.		DMBC) to access offsite Nature Conservation
		Enhancement Planning Obligations (generated
		through other developments) to augment
		strategic onsite improvements where these are

in excess of the minimum quantity required by
their planning obligation.