

# **Quarterly Corporate Performance Management Report**

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## **Summary for Scrutiny Committee on Regeneration, Culture and Adult Education**

**Quarter 1 (April to June 2011)**

# Quarterly Corporate Performance Management Report

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## Section 1: Introduction

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This Summary is taken from the first Quarterly Corporate Performance Management Report of 2011/12 highlighting performance for the period April to June 2011.

The report represents local people matters and priorities contained within a number of key activities and indicators. This report is the first under the new streamlined performance reporting regime, following the abolition of CAA and the national performance indicator framework. There has been a radical reduction in the number of indicators in the Council Plan, and a determination to operate a revised, streamlined performance reporting system that focuses on the key local outcomes. Our aim is to reduce the bureaucracy of performance reporting but to make our local priorities and our performance more open and transparent.

Following consideration by Corporate Board, the Cabinet Performance Management Sub-Group and Cabinet, the full report will be made available to the public via the internet.

The main body of the report focuses on the seven priorities contained in the Council Plan and progress against the key performance indicators and activities used to determine our delivery of these priorities is included in **Section 3**.

A performance summary, incorporating the key service achievements and issues affecting Dudley MBC during quarter 4, is included in **Section 2**.

To view copies of all Quarterly Corporate Performance Management Reports please use the link below:

<http://www.dudley.gov.uk/council--democracy/performance-matters-in-dudley/performance-reporting>

## **Section 2: Performance Summary Quarter 1 2011/12**

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This section summarises the performance information and key achievements and issues affecting children's services in Dudley that are addressed in detail in the main body of the report.

There follows a brief summary of performance for each Council Plan priority, including any significant achievements and challenges. The detail behind these headlines is included in Section 3 of the report.

### **Individual and Community Learning Performance Review**

## Section 3: Reporting on Council Action Plan Priorities

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The Council Plan 2013 sets out the Authority's priorities for the three year period 2010-2013. It provides a focus on where we want to be over the next three years and outlines how we are planning to meet a combination of key drivers:

- National priorities set by Central Government
- Aspirations of the Dudley Community Strategy 2020
- Issues that matter most to local people
- The unique challenges arising from the economic climate

This section provides a detailed review of the progress of the key performance indicators and activities contained within the Council Action Plan.

Traffic light status indicators are used to denote performance as follows:

In terms of the **key activities** they represent the following progress:

- ★ Good (ahead of schedule)
- Fair (on schedule)
- ▲ Poor (behind schedule)

For **key performance indicators** they represent performance as:

- ★ Better than target limits
- Within target limits
- ▲ Worse than target limits

Comments are included for key performance indicators where performance is below target limits or where additional, useful intelligence is available.

Scrutiny Committees receive a summary of this report based on their areas of interest. For clarity, key performance indicator scorecards include reference to the Scrutiny Committee monitoring its performance.

Use the link below to view the Council Action Plan 2013:

<http://www.dudley.gov.uk/council--democracy/plans-policies--strategies/council plan>

## Jobs and Prosperity

Priority JP1 Provide employment opportunities for residents of the borough, and ensure they possess the necessary range of skills				
Objective 1 To increase the number of people in the borough able to access training and job opportunities, leading to sustained employment.				
ref	Key Activities	Status	Progress	Lead Officer
JP1.1a	Working with partners to reduce levels of worklessness in the borough	●	<p>Productive one-to-one meetings have now been held with the three Work Programme Prime Contractors, covering their delivery models, sub-contracting arrangements and partnership working with Dudley MBC.</p> <p>The three Prime Contractors have also agreed to be represented on the Employment and Skills Management Group, in order to share details of their progress and on-going performance.</p> <p>Additional meetings have been held with the Prime Contractors, local authorities and the Black Country Consortium. These meetings laid the groundwork for a strategic partnership between the Primes and the four Black Country local authorities, whereby significant data could be shared, and progress in addressing worklessness in key hotspots could be monitored.</p> <p>Similar discussions have been held with the Prime contractor for a major ESF contract that aims to tackle worklessness across the West Midlands.</p> <p>In addition, there is a tendering opportunity, via the Department for Work and Pensions, to assist families with multiple barriers to employment. Local authorities are regarded as key partners in this major project, and the Council have met with potential 'Prime' contractors to discuss the particular needs of Dudley residents.</p>	Jean Brayshay DUE
JP1.1b	Working with partners and other agencies to support local people into local jobs through the provision of employability skills and training.	●	<p>The Dudley Borough Employment &amp; Skills Group meets on a bi-monthly basis and now includes representatives from the main 3 Work Programme Prime Contractors in addition to local employability training providers and DMBC colleagues including Adult &amp; Community Learning. The Employment and Skills Group oversees the work of the Neighbourhood Employment &amp; Skills Groups which operate across the Borough's most deprived wards. A work programme for the Employment &amp; Skills Group is now in development for 2011-12.</p>	Jean Brayshay DUE

**Priority JP2** Develop and diversify the local business base

**Objective 1** To increase the number of higher- value businesses attracted to the Borough

ref	Key Activities	Status	Progress	Lead Officer
JP1.1d	Target learning engagement for employability with priority groups and in priority neighbourhoods to support Dudley Employment Hub and reduction of worklessness agenda	★	Good progress against targets. 148 engaged on employability programmes. 50 gained employment this quarter. 14 job clubs in communities being supported by Adult Community Learning Team.	Kate Millin DACHS

**Priority JP2** Develop and diversify the local business base

**Objective 1** To increase the number of higher- value businesses attracted to the Borough

ref	Key Activities	Status	Progress	Lead Officer
JP1.2a	Provide skills for life support and learning for adults with poor literacy language (ESOL) and numeracy and digital skills.	★	Successful recruitment of learners. 200 engaged in Literacy and numeracy courses. 100 engaged in introductory ESOL (English as a Second Language) courses.	Kate Millin DACHS
JP1.2b	Libraries providing free access to computers and the Internet with library staff, partner and volunteer supported use	●	Race Online: up to 16th May running total 350,726 new users of Internet helped in libraries. April + May 2 new IT classes at Halesowen Library and 1 at Long Lane Library. 323 library based sessions held, includes those run by library staff as well as partners. 146 partner led sessions. Peoples Network computers: 155. 74.48% take up of peoples Network machines.	Kate Millin DACHS
JP1.2c	Castle & Crystal Credit Union to provide efficient and cost effective financial services, offering loans through the Growth Fund.	●	Growth Fund expired in March 2011 however Growth Fund loans still being granted as part of the new £74million feasibility study in modernising the credit union movement nationally which Castle & Crystal Credit Union was invited to take part in.	Dharminder Dhaliwal CEX

**Key Performance Indicators**

Direct	Ref	Definition	10/11 Target	10/11 Actual	11/12 Target	Q1 Actual	Q2 Actual	Q3 Actual	Q4 Actual	Select Committee
DACHS	ACL KPI 7	Number of individuals (learners) gaining employment	100	138	100	50 ★				Regeneration, Culture and Adult Education
DACHS	ACL KPI 6	Number of employers supported	125	126	46	7 ▲				Regeneration, Culture and Adult Education

**Comment for DACHS ACL KPI 6:**

Reduced annual target for 2011 -12 as a result of losing Future Skills related funding. Steady progress against target

DACHS	ACL KPI 16	Number of individuals adults in employability programmes	400	773	400	148				Regeneration, Culture and Adult Education
						★				
CEX	SRI 003	Number of working age people in Borough claiming Job Seekers Allowance (JSA)	Not targeted	9,722	9,722	9,587				Regeneration, Culture and Adult Education
						●				
CEX	SRI 004	% of working age people in the Borough claiming Job Seekers Allowance (JSA)	Not targeted	5%	5%	5%				Regeneration, Culture and Adult Education

**Key Performance Indicators**

Direct	Ref	Definition	10/11 Target	10/11 Actual	11/12 Target	Q1 Actual	Q2 Actual	Q3 Actual	Q4 Actual	Select Committee
DACHS	ACL 03	Number of individual adults in Skills for Life/literacy, language and numeracy	450	614	500	200				Regeneration, Culture and Adult Education
						★				
DACHS	KPI 24	% of learners from the top 20% of deprived neighbourhoods	-	33%	30%	30%				Regeneration, Culture and Adult Education
						●				
CEX	CEX CU 001	Credit Union membership	4,500	4,433	4,850	4,464				Regeneration, Culture and Adult Education
						●				



**Priority JP2** Develop and diversify the local business base

**Objective 1** To increase the number of higher- value businesses attracted to the Borough

ref	Key Activities	Status	Progress	Lead Officer
JP2.1a	To work proactively with the development industry and business community to promote Dudley as allocation for new investment and to facilitate the growth of existing businesses.	●	An inward investment brochure for Dudley Borough is currently being finalised and will be ready for publication in August 2011; this will feature local businesses as case studies. Engagement with strategic companies has continued throughout Q1 of 2011/12 and we have consulted the local business community on the Dudley Business First website. Dudley Borough now features on the Locations 4 Business website which is a globally recognised portal for inward investment looking to invest or relocate.	Jean Brayshay DUE

**Objective 2** To create a thriving local enterprise economy

ref	Key Activities	Status	Progress	Lead Officer
JP2.2a	To work with partner agencies to ensure those wishing to establish new enterprises have access to maximum support	●	The Council's 'front door' service for local business support and inward investors will be branded 'Dudley Business First' and is scheduled to be launched in August 2011. This service will act as the single point of contact within the Council and will handle all enquiries relating to inward investment and relocation, business support and start-up, property availability assistance, recruitment, research, international links, business to business opportunities and access to finance. An update on Dudley Business First will be provided at the Dudley Means Business Group on 27 <sup>th</sup> July 2011. In designing the Dudley Business First service the Council has worked collaboratively with UK Trade and Investment, Dudley Chamber, Manufacturing Advisory Service and the National Apprenticeship Service. The Council is working closely with Marketing Birmingham, Black Country local authorities and Solihull MBC as part of a European Regional Development Fund (ERDF) project to promote development opportunities in Dudley Borough and target support to Small and Medium sized Enterprises (SMEs).	Jean Brayshay DUE
JP2.2b	To support a thriving local enterprise economy through effective regulation	●	98% of food safety, health and safety, food standards and pollution control inspections for high and medium risk premises that were due were carried out	Nick Powell DUE

**Priority JP3** Create an attractive environment for people to live, work and invest in

**Objective 1** Improve the vibrancy and attractiveness of the Borough's town centres

JP3.1a	To deliver the regeneration framework for the borough through Area Action Plans and Development Strategy Plan	●	Production of Local Development Framework documents is on target in line with the Local Development Scheme.	Helen Martin DUE
JP3.1b	To deliver Area Action Plans for the town centre's of Brierley Hill, Halesowen and Stourbridge in accordance with approved Local Development Scheme	●	Brierley Hill: Area Action Plan (AAP) was considered by Cabinet on 29 <sup>th</sup> June 2011 and proved for Full Council to approve adoption in July. Stourbridge: AAP is approved for consultation on the preferred options. Halesowen: following consultation in Summer 2010 further evidence gathering is taking place with a view to formulation of preferred options.	Helen Martin DUE
JP3.1c	To deliver actions against Dudley's Area Development Framework	●	Work has commenced on Bailie's Hall and 168 High Street (Ace Disks) Townscape Heritage Initiative (THI) projects. Funding will shortly be secured for the Merlins, once the tenancy currently under negotiation has been completed. A revised funding package of European Regional Development Fund (ERDF), Heritage Lottery Fund (HLF), Council, Growth Points and English Heritage funding has been assembled. A stage 1 consultation has been completed for the £6m Dudley Market Place project, for which £3m outline European Regional Development Fund (ERDF) approval has been secured, and for which detailed design is shortly to commence.  Planning applications have been approved for all of the 4 planning applications for the Dudley College town centre developments, for which work has commenced on the Tower Street West site.	Rupert Dugdale DUE

**Priority JP3** Create an attractive environment for people to live, work and invest in

**Objective 2** Improve and maintain the environmental quality and security of the surroundings of tourist attractions, retail areas and business parks

ref	Key Activities	Status	Progress	Lead Officer
JP3.2a	To work with local businesses and the community in the improvement and maintenance of local town centres and the local environment	●	The Street Cleansing team continue to support around 40 community groups to undertake community litter picks, by providing the necessary equipment and by disposing of the waste collected. The team are also assisting organisers of Stourbridge in Bloom in their preparations for judging day on 15 <sup>th</sup> July.	Garry Dean DUE

## Heritage, Culture and Leisure

Priority CL1 Ensuring that heritage and culture is preserved, developed and promoted for all; and celebrated and used by all				
Objective 1 To increase participation in leisure, recreational and cultural activities for learning, health improvement, socialising and personal growth.				
ref	Key Activities	Status	Progress	Lead Officer
CL1.1a	Provision of a range of, and the development and enhancement of new/additional, cultural and leisure facilities and services	●	5 Healthy Hubs are all open and providing programmes each has additional facilities and programmes. Leisure centres continue to review programmes to reflect demand and national programmes such as Big Splash.	Andy Webb DUE
Objective 2 To increase the number of people accessing up-to-date and co-ordinated information about recreational and cultural activities & venues				
CL1.2a	Provision of the community information directory and leaflet service	●	Number of visits to Community Information directory 3,866. Number of leaflets distributed 4,656	Kate Millin DACHS
Objective 3 To increase the number of people having influence over the type and availability of recreational and cultural activities & venues				
CL1.3a	Establish and maintain community engagement across all cultural and leisure services, working with agencies and partners on a range of initiatives to develop participation.	●	Community sector is heavily involved in supporting several leisure and culture venues and services. Examples include the Friends of Parks, volunteer support on nature reserves and conservation areas and the Arts sector. Further options for extending this involvement are being explored.	Duncan Lowndes DUE
Objective 4 To protect, preserve and develop for appropriate use the unique heritage of the borough for this and future generations				
CL1.4a	Conservation and management of the Borough's green spaces	●	Ongoing management of National Nature Reserve (NNR's) and other accredited sites in line with agreements with English Nature etc.	Sally Orton DUE
CL1.4b	Protect, preserve and promote the uniqueness of the borough through its historic assets, glass and geological heritage	●	See details relating to Ripples through Time. Black Country submission for Geopark status continues to be developed.	Sally Orton Penny Russell DUE
CL1.4c	Implementing the archives new build project	●	Started information sharing about the new build with the public at the Local History Day event on 18 <sup>th</sup> June 2011. Request for planning permission submitted 12 <sup>th</sup> July 2011.	Kate Millin DACHS

Priority CL1 Ensuring that heritage and culture is preserved, developed and promoted for all; and celebrated and used by all				
Objective 5 To increase the contribution of creative industries and the visitor economy to the economic regeneration of the borough				
ref	Key Activities	Status	Progress	Lead Officer
CL1.5a	Implementation of the Borough Visitor Economy Strategy	●	The Dudley Tourism Action Plan continues to be delivered according to its identified timescales. In addition, Visitor Economy tasks have been identified within the Local Enterprise Partnership workplan. Additional work on a Dudley Framework for tourism is also being developed.	Penny Russell DUE
CL1.5b	Seek to develop/ provide affordable studio space in the borough for creative industries	●	Ongoing provision of craft/studio units at various Council venues; additional provision being incorporated into proposals for development of Glass Museum.	Duncan Lowndes DUE
Objective 6 Local people participating in 2012 Olympiad activities				
CL1.6a	Support the implementation of national and regional plans for the London 2012 Olympiad through a local programme of activities and other initiatives	●	Heritage Culture & Leisure Partnership (HCLP) 2012 sub group established and coordinating borough wide efforts. Open Weekend with dance performances on 22-24/7/11; involvement in planning torch relay; Black Country School games held 6/7/11; extensive school engagement in 'Get Set' programme; Himley Bonfire 2011 to have Olympic theme and has applied for inspire mark.	Duncan Lowndes DUE

## Individual and Community Learning

<b>Priority ICL1</b> Widen participation in adult and family learning to enhance personal and social development, knowledge and skills, employability, health and wellbeing				
<b>Objective 1</b> To increase the number of adults and families engaged in learning for personal interest.				
ref	Key Activities	Status	Progress	Lead Officer
ICL1.1a	Widen participation in learning for adults and families in their communities.	●	Engagement in informal adult learning opportunities 617 adults. Good progress on recruitment to adult classes. 1913 learners.	Kate Millin DACHS

### Key Performance Indicators

Direct	Ref	Definition	10/11 Target	10/11 Actual	11/12 Target	Q1 Actual	Q2 Actual	Q3 Actual	Q4 Actual	Select Committee
DACHS	ACL KPI 2	Total number of individual adults participating in learning (Adult Community Learning)	6,000	6,644	6,000	1,913				Regeneration, Culture and Adult Education
						●				

## **Meetings of Scrutiny Committees – November 2011**

### **Report of the Chief Executive and Treasurer**

#### **Update of the Council's Capital Strategy**

##### **Purpose of Report**

1. To consider the update of the Council's Capital Strategy.

##### **Background**

2. The Strategy describes how the deployment of capital resources contributes to the achievement of the Council's goals, and to help ensure that assets - and the resources tied up in them - are efficiently and effectively used.
3. It forms an integral part of the strategic financial and service planning within the annual budget setting process and supports decisions on the Council's capital investment.
4. The Capital Strategy also forms part of the Council's Budget and Policy Framework, and can therefore be amended only by the full Council following consideration by the Scrutiny Committees. The attached draft is being submitted to Cabinet on 26<sup>th</sup> October for approval as the basis for consultation. Any amendments made by Cabinet will be reported to Members.
5. It sets out the key Capital Investment Priorities for each Directorate over the next five years, linked to the relevant Council Plan theme(s).
6. The paragraphs within the draft Strategy covering services falling within the terms of reference of each Scrutiny Committee are:

##### **Regeneration, Culture and Adult Education Scrutiny Committee**

*Para 15: Urban Environment – Culture and Leisure*

*Para 17: Adult, Community & Housing - Libraries, Archives and Adult Learning*

*Para 18: Urban Environment – Transport*

*Para 19: Urban Environment – Regeneration*

*Para 21: Chief Executive's – Other Services*

*Para 22: Corporate Resources*

##### **Community Safety and Community Services Scrutiny Committee**

*Para 20: Chief Executive's – Community Safety*

##### **Environment Scrutiny Committee**

*Para 13: Adult, Community and Housing – Housing*

*Para 14: Urban Environment – Environment*

### **Health and Adult Social Care Scrutiny Committee**

*Para 12: Adult, Community and Housing - Adult & Community*

### **Children's Services Scrutiny Committee**

*Para 16: Children's Services*

### **Finance**

7. As described above, the Capital Strategy forms an integral part of the Council's strategic financial and service planning and supports decisions on capital investment.

### **Law**

10. Section 111 of the Local Government Act 1972 enables the Council to do anything which is calculated to facilitate or which is conducive or incidental to the discharge of any of its statutory functions.

The production of the strategy is incidental to the Council's various functions outlined in the strategy which are undertaken by the Council pursuant to a range of Local Government related legislation.

### **Equality Impact**

11. The proposed Capital Strategy complies with the Council's policy on equal opportunities.
12. With regard to Children and Young People:
  - The Capital Investment Priorities for Children's Services wholly relate to improving services for children and young people. Other Directorates' investment priorities will also have a significant impact on this group.
  - Consultation is undertaken with children and young people, if appropriate, when developing individual Capital projects.
  - There has been no direct involvement of children and young people in developing the proposals in this report.

### **Recommendation**

13. It is recommended that the Scrutiny Committees consider the attached draft Capital Strategy and raise any issues they wish to be taken into account when the Strategy is re-considered by Cabinet, and full Council.

John Polychronakis

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**John Polychronakis**  
**Chief Executive**

Iain Newman

.....  
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**List of Background Papers**  
Community Strategy  
Council Plan





# Capital Strategy

2012 - 2017

### **Introduction**

- 1 Dudley has a strong reputation for being an outward-looking Council, working with its diverse communities, customers and other partners to identify and work together on local priorities to improve quality of life. We have recognised that tackling crosscutting issues such as regeneration, social exclusion and sustainable development needs a joined-up approach across Council services, and between the Council and its partners. Our key priorities and targets are set out in the Dudley Borough Community Strategy. This Strategy sets out a vision for our community over a 15-year period. It agrees the most important areas for us to work together with our partners, and sets out what we need to do to achieve that vision.
2. At the heart of the Community Strategy is our aim of working together to achieve Stronger Communities. The strength of our community, including its future success requires that everyone has the opportunity to contribute to and achieve their full potential. The Community Strategy outlines six priorities through which progress towards a Fairer Society will be led. These are:
  - **Creating a prosperous borough**
  - **Promoting a sense of well-being and good health for everyone**
  - **Celebrating our heritage and local cultural life**
  - **Safeguarding and improving the environment**
  - **Promoting individual and community learning**
  - **Making Dudley a safe and peaceful place in which to live**
3. We recognise that these six priorities do not stand in isolation. Success in one area often depends on success in one or more of the others, but by highlighting them as key issues, the Community Strategy provides a clear signpost to the areas that we intend to concentrate upon.
4. Within the framework of the Community Strategy, the Council has also adopted a 3 Year Council Plan, which focuses the overall strategic direction of the authority on a clear set of priorities for deployment of resources. The Council Plan contains seven key themes, which meet the aspirations of the council's overall vision Local People Matter. It clearly sets out the key priorities, objectives and targets for each theme and its local impact. These themes are:
  - **Health and Wellbeing**
  - **Environment and Housing**
  - **Heritage, Culture and Leisure**
  - **Individual and Community Learning**
  - **Jobs and Prosperity**
  - **Community Safety**
  - **Quality Service**
5. The Capital Strategy is one of the means by which the priorities of the Community Strategy and Council Plan will be achieved. We recognise that to accomplish our goals, best possible use needs to be made of existing public sector assets, while resources available for new investment must be deployed as efficiently and effectively as possible. The Council's Constitution also recognises the importance of the Capital Strategy by making it one of the key documents which can be revised only by the Full Council.

6. The Capital Strategy itself was developed, and is reviewed annually, by a working group of senior officers including representatives of all Directorates, with input from Directors, and Cabinet & Select Committee members, prior to consideration by the Full Council.
- 6a. *"The Capital Strategy and its priorities recognise current and anticipated demographic changes, including in particular the ageing population in Dudley and its impact on the pattern of demands on services in the future."*

### **Links to other Strategies and Plans**

7. Under the umbrella of the Community Strategy & Council Plan, the Capital Strategy, like the Best Value Performance Plan is one of the key overarching policy documents of the Council that form a framework for the more operational strategies within particular spending or service areas. These include:
  - Corporate Property Policy, Office Accommodation Strategy, Commercial Property Policy and Directorate Asset Plans.
  - Our approved Local Area Agreement (LAA)
  - Children's Services Asset Management Plan
  - Housing Strategy and Housing Investment Programme (HIP)
  - Housing Asset Management Strategy
  - DACHS Asset Management Plan (Draft)
  - Children and Young People's Plan
  - Local Transport Plan (LTP) and Transport Asset Management Plan
  - Economic Strategy
  - 5 Year ICT Strategy
  - Directorate Strategic Plans
  - Procurement Strategy
  - 30 Year Waste Strategy
  - Local Development Framework
  - Health Inequalities Strategy
  - Corporate Health and Safety Strategy
  - Directorate Equality and Diversity Plans
  - Obesity Strategy
  - Strategy for Vulnerable People

The Capital Strategy is consistent with these and other Council plans and policies but should be specifically considered in conjunction with the Corporate Property Policy. The Corporate Property Policy pulls together the Council's various objectives for property and its impact on the wider role of the Council into a coherent framework of values.

8. The Council's Procurement Strategy is also fundamental to Capital Investment processes, and sets out how best value will be achieved in this and all other areas of procurement activity.
9. The Council now has five year Directorate Asset Plans in place for each Directorate. Reviewed annually these documents consider the needs and aspirations of all services in the context of current and future accommodation needs. They are consistent with strategic plans and policies and inform the capital programming process.
10. As these and other policy or strategy documents are developed or revised, they will show clearly how they contribute to the Capital Strategy. The cross-departmental

management framework established to develop the Capital Strategy will ensure that the necessary consistency and feedback into service strategies is achieved.

### **Key Capital Investment Priorities**

11. Key Capital Investment priorities and how they contribute to the Council Plan themes (recognising that many priorities contribute to multiple themes), are as follows.

#### **Health and Wellbeing**

##### ***Adult, Community & Housing - Adult & Community***

12. Maintaining and developing an asset base which allows the directorate to modernise and improve social care services, further securing high quality and relevance. The changing policy environment for the most vulnerable groups in contact with the Directorate focuses on promoting independence and providing community-based and person-centred solutions. The need for capital to be used to support this focus drives our capital strategy.

In addition, there is a clear expectation locally and from the Government that services need to be seamless and, wherever appropriate and in the best interests of Dudley citizens, integrated with health care services.

These four goals - community based, person centred, seamless and integrated - also drive our approach to effective partnership working as a vehicle for enhancing limited mainstream capital resources.

For adult social care, developments will be required that meet the aims and ambitions of the Community Services White Paper "Our Health, Our Care, Our Say". Aspects of this include continued close working with the local PCTs and linkage with other solutions such as Extra Care Housing.

Current investment priorities are therefore:

- People with Disabilities: work is continuing with partners in the NHS in using the 'flexibilities' of the Health Act 2006 to secure progress on our shared agenda.
- Older People: our residential home provision must comply with care standards and be appropriate to the needs of residents. Continued investment to comply with the various legislative requirements will continue for the foreseeable future. Extensive public consultation and engagement for service transformation and modernisation has been undertaken through the Dudley Older Peoples Board, the Physical Disability and Sensory Impairment Board. A delivery of adult social care and support model (Access, Reablement, Care and Support) has been developed inclusively with key stakeholders and partner organisations. Budgets and resources have been identified as a part of the three year Council Strategy. Care home provision is planned to be modernised with a re-alignment plan to provide a multi-disciplinary reablement service.
- Extra Care Housing: engaging with the Council's strategic partner Midland Heart to deliver up to five schemes, with one facility being provided in each of the five townships of the Borough - the first two facilities in Russells Hall Dudley (a £20m development of 132 units at Broad Meadow) and Whitehouse St., Coseley (a £7m

development of 72 units at Willowfields) commenced in 2009. Broad Meadow completed July 2011, with Willowfields programmed for completion later in 2011.

- Day Services: most people with a learning disability will receive their day opportunities in the community and for people with very high dependency, improved centre facilities are required. Over £1m of investment has already been provided at the Unicorn Centre in Amblecote, (the former Amblecote SEC).
- ICT: is continuing to be developed so that it further enhances service delivery for all the vulnerable groups, e.g. through closer working with health services, and ICT-supported assessment processes.
- General Accommodation: improving accommodation for staff and where possible integrating these facilities with relevant partners. For example, the Stourbridge Local Improvement Finance Trust (LIFT) facility opened in October 2007 and will house the Community Team for Learning Disability. Building work on the Brierley Hill LIFT facility commenced in June 2007 with completion February 2010. This facility provides accommodation for the Older People/Physical Disability Team which will be co-located with PCT staff. The Council has also now signed up to the Strategic Partnering Agreement for LIFT on a non-exclusive basis and is continuing to explore options for further LIFT facilities within the borough. Asset management plans continue to address streamlining accommodation to meet realigned service delivery requirements.

Prioritisation of resources between schemes is informed by legislative requirements, as well as property and service related data related to schemes under consideration.

The Council's vision for social care provision is based on a mixed economy of care, and decisions on capital expenditure are taken in this context.

## **Environment and Housing**

### ***Adult, Community & Housing - Housing***

13. Ensuring everyone has access to a home that is warm, dry and affordable in a secure environment and that homes are compatible with people's needs. Following a rigorous appraisal of the options available to finance a modern housing service by the Council and its stakeholders, in July 2005 Government Office West Midlands signed off the Housing Option Appraisal report which recommended the 'Stay with the Council' option. This provided a clear endorsement that the key investment priorities for Housing up to 2010/11 were to provide a repair service to maintain and improve the Council's housing stock to ensure that Government Decent Homes standards and targets are met.

The Government's Decent Homes Standard was achieved by December 2010 and It should be noted that the proposed investment from 2012/13 onwards should be considered with caution at this stage since there is uncertainty around levels of future funding of the HRA after 2011/12. The Government proposes to abolish the current HRA subsidy system with effect from 2012/13, and to replace it with a new system of HRA funding. Whilst the impact of these proposed changes are clear any forecasts and investment plans should be treated as provisional, significant Housing investment priorities for the next 5 years are:

- Continuing the investment to target maintaining decent homes;
- Improving energy efficiency, combating climate change and reducing fuel poverty by maximising potential resources to reduce the impact of climate change

and carbon emissions through accessing Carbon Emission Reduction Target (CERT) and Community Energy Saving Programme (CESP) funding;

- Exploring and maximising opportunities that arise from the Government's Energy Bill, specifically through Green Deal and ECO (Energy Company Obligations), Feed-In-Tariff (FIT) and the Renewable Heat Incentive (RHI)
- Providing additional new housing for larger families and persons with special needs.
- Maintaining responsive repairs at their current levels to ensure that current service standards can be met and ensuring that a future backlog of routine repairs will not develop;
- Undertaking all required cyclical statutory responsibilities such as servicing of gas appliances, water hygiene testing, warden call equipment and alarms, and lift servicing;
- Maintaining current levels of cyclical maintenance such as external painting of properties, communal decorations to high rise and sheltered accommodation;
- Improving energy efficiency, combating climate change and reducing fuel poverty, targeting those homes with poor energy performance;
- Maintaining current waiting times for adaptations for persons with disabilities;
- Maintaining community safety and environmental budgets at current levels;
- Maintaining other environmental and social programmes to reflect the priorities of the Council Plan and the views of Area Housing Panels, e.g. replacement of Warden Call systems with modern equipment compatible with Telecare technology;
- Maximising the use of grant and loan assistance to improve and repair non decent homes in the private sector;
- To sustain our improved performance in providing Disabled Facilities Grants;
- Continued direction of Homes and Communities Agency funding towards schemes that support regeneration and sustainable priorities and continuing to maximise available and appropriate resources to ensure that local needs determined from the Housing Needs Survey are met;;
- Working in partnership with the Council's strategic partner Midland Heart for the delivery of 5 Extra Care Housing Schemes across the borough of Dudley with one facility in each of the five principal townships.

### ***Urban Environment - Environment***

14. Promoting and providing effective and efficient waste management and transport to all sections of the community and user groups in a positive, safe and sustainable manner.

Key priorities are:

- Improvements to waste infrastructure and implementation of a 30 year strategy;
- Transition to domestic wheeled bin service;
- Additional pollution control equipment at Lister Road Waste to Energy plant;
- Implementation of Asset Management Plan at Lister Road depot;
- Continued investment in the vehicle fleet, exploring options that will reduce carbon emissions;
- Identification and remediation of Contaminated Land in line with the Council's Contaminated Land Inspection Strategy, in order to meet our legal obligations under the Environmental Protection Act 1990.

### **Heritage, Culture and Leisure**

### ***Urban Environment - Culture & Leisure***

15. Safeguarding existing culture and heritage facilities, and developing new ones where appropriate. Key investment priorities over the next 5 years are:
- Provision of replacement cremators at Gornal Wood and Stourbridge Crematoria, and provision of mercury abatement equipment at Gornal Wood.;
  - On-going upgrading of facilities and security of Parks and Open Spaces;
  - Undertake improvements to the Borough's Playing Pitches and Changing Rooms;
  - On-going restoration of the Leasowes, including the provision of a new visitor centre;
  - Provision of new Wardens Base and Visitors Centre at Saltwells Local Nature Reserve;
  - Ongoing investment in major Leisure Centres including plant modernisation and energy efficiency measures;
  - Implementation of Wrens Nest National Nature Reserve 'Ripples Through Time' project following successful HLF Stage 2 submission;
  - Submission of Stage 2 HLF bid for Mary Stevens Park;
  - ;Implementation of Priory Park and Ruins project following successful HLF Stage 2 submission;
  - Conduct Stage 2 of glass feasibility study and implementation of recommendations.

## **Individual and Community Learning**

### ***Children's Services***

16. Providing high quality accommodation with stimulating learning environments for school pupils and members of the community to ensure educational standards continue to rise whilst recognising the increasing diversity of the school estate.

Over the next five years the priorities will be to maximise external investment in the infrastructure from funding provided through the Department for Education (DfE) following radical reforms to how capital is allocated. This will build on considerable investment already made over recent years through DfE capital programmes such as the recently ceased Primary Capital Programme, Extended Schools Programme, Surestart funding and other funding whilst recognising significant reductions to both capital and revenue budgets in the education sector.

Challenges will need to be overcome in view of the proposals put forward by DfE for procurement and project management calling for a more centralised approach and standardisation of design.

Work will continue to ensure that overall patterns of investment from all sources are both "joined-up" and take account of Council priorities. In this broad context, the key investment priorities over the next five years will be:

- To address the backlog of urgent repairs and maintenance identified by condition surveys for all schools.
- To provide inspirational learning environments supported through the Directorate's Vision 2025 – A Strategic Plan for Learning including the replacement of aged mobile classroom accommodation in a number of schools

and the enhancement of existing provision to make it more appropriate for teaching and learning.

- To address the issues of surplus capacity in the both the primary and secondary sectors in line with projects arising from the review of primary and secondary school places.
- To address the issues of Basic Need requirements for additional school places in both the primary and secondary school places through place planning processes.
- To explore potential for further PFI projects to replace those school buildings with the most urgent need, as identified by the principles of Asset Management Planning, including condition and suitability of existing provision and assessment of sufficiency of pupil places.
- To continue effective bidding for external funding to replace those school buildings with the most urgent need as identified by the principles of Asset Management Planning.
- To develop the infrastructure required to deliver the Special Educational Needs (SEN) Strategy, particularly with regard to co-location of services to ensure easy access for children, young people and families.-
- To continue addressing issues regarding access for disabled persons to all buildings.
  
- To implement the outcomes of the 2010-11 Review of Education Capital ( the Sebastian James Review) for the use of innovative methods of procurement and building that will ensure better value for money whilst delivering cost-effective and high quality buildings for education and lifelong learning.
- To ensure the continuing delivery of the Directorate's asset management plan in accordance with DfE requirements especially for the collation of robust data on school places and condition
- To recognise the benefits of using a menu of standard drawings and specifications for buildings including national contract and procurement frameworks
- To ensure revisions to regulations and guidance for school buildings are adhered to and complied with..
- To address effectively issues relating to health and safety, including fire risk, asbestos, legionella and site security.

### ***Adult, Community & Housing - Libraries, Archives and Adult Learning***

#### **17. Key priorities are:**

- Provision of a modern Archives and Local History Service with plans for a £6m new build facility to be delivered on the Castle Hill site by April 2013, with works to commence autumn 2011. This will facilitate further exploring partnership arrangements for the joint facility adjacent to the site of the Black Country Living Museum;
  
- The library modernisation programme to improve and modernise the Libraries building stock was largely completed in 2010/11. This created an excellent modern library service with bright, light flexible libraries acting as a focus for their community with modern ICT such as Radio Frequency Identification (RFID) and self issue terminals. Improvements have been carried out to the town centre libraries in Halesowen, Dudley, Stourbridge, and Brierley Hill, with opportunities for delivering shared services demonstrated through partnerships with Housing, Social Care and Citizens Advice Bureau (CAB). Improvements and modernisation of other libraries will continue subject to resources and the strategic asset



management approach to shared assets and service delivery is planned to continue, with further options being explored.

## **Jobs and Prosperity**

### ***Urban Environment - Transport***

18. Implementing schemes that will support sustainable economic development, offer genuine choice in transport modes, and protect the environment. Key investment priorities set out by the Department for Transport seek to address safety, air quality, accessibility and congestion. Schemes to be delivered in the West Midlands that address these priorities have been agreed with DfT and feature in the West Midlands Local Transport Plan (LTP), including:
- The Burnt Tree island improvement;
  - Continued Local Safety, Pedestrian Crossing, Cycleway, Road Maintenance, Minor Highway Improvement, and Highway Structures strengthening and upgrading programmes including bridges, retaining walls and safety fences;
  - Completion of strengthening works to structures on the Primary Route Network;
  - Continued programme of renewing and upgrading street lighting, illuminated traffic sign and traffic signal installations;
  - Examination of options for demand management and measures to enhance network efficiency including a Quick Wins Programme and engagement with the West Midlands Urban Traffic Control major scheme;
  - Consideration of highway improvements associated with the West Midlands Smart Routes network;

and supporting:

- Extending Midland Metro from Wednesbury to Stourbridge;
- Refurbishment / replacement of Dudley and Stourbridge Bus Stations;
- Investment in high quality public transport Including consideration of public transport access to Brierley Hill;
- Re-establishment of the Walsall to Stourbridge line for rail freight.

During the period of the Capital Strategy there will be a need to engage with Centro as the Integrated Transport Authority in delivering the LTP3 (2011-16) that seeks to support regeneration and growth and deliver transport infrastructure in a challenging financial environment taking on board the Government's approach to delivering a sustainable transport system.

### ***Urban Environment - Regeneration***

19. Using the Council's enabling role to stimulate economic regeneration. Key investment priorities over the next 5 years are:
- Support to the development of the Strata vision and the Wrens Nest National Nature Reserve as a heritage destination through a bid to the Heritage Lottery Fund and the identification of other appropriate funding sources;
  - Seeking resources from DEFRA to enable replacement of the Mines Drainage system at Pensnett;

- Support for New Heritage Regeneration Ltd, the Arms Length Company (ALC) remitted to deliver Borough wide regeneration, with the first priority the delivery of the Dudley Area Development Framework (ADF);
- Development and delivery of the Joint Core Strategy
- Development and delivery of Area Action Plans for the regeneration of Brierley Hill, Halesowen and Stourbridge town centres;
- Maximising use of external funding such as European Regional Development Fund (ERDF) for the delivery of key regeneration projects
- Working with partner organisations to bring forward brownfield sites for development and open space purposes;
- Redevelopment of the Castle Hill site in Dudley to transform the area into a major visitor attraction;
- Development of the Dudley Enterprise Centre to provide high quality managed business space for new and growing businesses;
- Delivering the "Dudley Business First" offer to support inward investment and existing businesses to expand and create new employment opportunities in the Borough;
- Delivery of a programme of regeneration in the Borough's Local Centres.

## **Community Safety**

### ***Chief Executive's – Community Safety***

20. Key priority is:

- Investment in projects directly contributing to the local Community Safety Strategy.

## **Quality Service**

### ***Chief Executive's – Other Services***

21. Key priority is:

- Development of Customer/Citizen Access to Services. This will also give the Council an opportunity to rationalise its property usage via disposal and consolidation of properties, redevelopment of property and acquisition of new properties. These efficiencies will need to be identified at a Corporate and Directorate level.

### ***Corporate Resources***

22. Key priorities are:

- Developing ICT infrastructure to support transformation of Council Services around the needs of the citizen, including: home working; mobile working; improved information management; development of more self-service delivery opportunities; single citizen's index; better integration of information systems.
- Renewing critical components of the existing ICT infrastructure to ensure the continued reliability of ICT applications across the council, including the Microsoft Server Platform; Microsoft SQL Server; and Microsoft Office Systems.

- Using the recently established Limited Liability Partnership, PSP Dudley LLP to support the work of its arms length regeneration company. The LLP reflects a long term strategic partnership with the private sector and will develop sites that deliver community benefit;
- Establishing a clear Estate Strategy that addresses existing accommodation problems and reflects the Council's vision following the transformation of support services;
- Proactive management of the Council's land and buildings assets, and prioritisation of the annual Repair & Maintenance resource allocation in line with the Council's Repairs & Maintenance Policy;
- A programme of Access works to ensure that the Council meets its Disability Discrimination Act (DDA) obligations.

### **Planning, Prioritisation and Implementation**

23. All capital investment and disposal decisions are consistent with the aspirations outlined within this and the Council's other key strategic plans and policies. The Council has a rolling three year capital programme setting out its detailed capital investment plans, which is driven by this Capital Strategy and is based on forecast resource availability in terms of:
- Government grants to support capital expenditure;
  - Revenue resources available for direct capital investment and to fund unsupported capital expenditure under the "Prudential Borrowing" regime;
  - Resources likely to be available from property disposals as a result of property reviews;
  - Other grants and contributions from public and private sector partners.
24. All projects included in the Capital Programme are justified against criteria set out within the Council's Financial Regulations as follows:
- a) contributes towards the delivery of the Council's strategic objectives e.g. Community Strategy and Council Plans;
  - b) contributes towards the achievement of a specific priority/objective (s) as set out in a Directorate Service Plan, and/or arises from agreed capital strategies e.g. HIP, LTP and/or assists the Council to meet its statutory objectives or respond to new legislative requirements and /or contributes to the achievements of "Best Value";
  - c) can be supported by a coherent funding strategy, which will minimise the use of the Council's own resources;
  - d) has a clear and well thought out brief which defines the objectives of the project and specifies any issues which are time critical; is otherwise achievable within the financial year concerned;
  - e) has been fully evaluated against alternative methods of achieving the same objectives, and alternative funding sources.
25. In theory, it would be possible to treat the overall SCE (and non-ringfenced capital grants) as a corporate resource in the true spirit of the Single Capital Pot, and devise a methodology for prioritising all capital "bids" against the resources available. In reality however, this would pose major problems, not least the difficulty in devising a

prioritisation methodology which could fairly compare and score bids for schemes of all magnitudes and degrees of complexity across the whole range of Council services. In addition, it would probably be seen as unacceptable, both internally and from the viewpoint of Government departments, not to spend capital resources for the purposes they were notionally allocated.

26. Therefore, the Council has resolved that for the time being each SCE (together with non-ringfenced grants) be earmarked to the relevant service, but with a particular emphasis on demonstrating appropriate prioritisation *within* service programmes, and *within* spend headings. As stated in the Financial Management Regime, it is the responsibility of the relevant Directors to make sure that such prioritisation is robust and stands up to scrutiny. Prioritisation should include formal scoring mechanisms or other objective methods wherever possible and appropriate.
27. The Council has also resolved that for the time being, Housing capital receipts should be earmarked to be spent on Housing capital schemes.
28. In addition to prioritising new capital schemes the Council also challenges retention of its existing property. This enables the Council to explore opportunities for more efficient and effective use of assets in light of changing service needs arising from Best Value reviews, property performance and the annual review of each Directorate's Asset Plan. Should there be no operational, commercial or social need for property retention, surplus assets are released through disposal.
29. Revenue implications of the Capital Programme (debt charges and other costs) are fully taken into account in the Council's annual revenue budget and medium term financial strategy. In particular, pressures and priorities that can be met by (unsupported) capital investment are considered alongside other revenue pressures in the light of overall revenue resource availability.
30. Ongoing review of the Council's activities will identify "Invest to Save" type initiatives which can generate long term net efficiencies from upfront capital investment - e.g. energy efficiency projects. The flexibility provided by the Prudential Borrowing regime will be used to implement such projects.
31. In addition to its Standing Orders and Financial Regulations, the Council has an established procurement process in place that is clearly set out within its Procurement Strategy. By improving the effectiveness of its procurement activities the Council is able to focus valuable resources where they have maximum impact. The Procurement Toolkit and Forward Procurement Plan continue to be developed to support the procurement function.
32. Detailed guidelines for the management of capital projects are set out in the Council's Project Management Toolkit.
33. The Strategy not only covers all aspects of Capital expenditure within the Council, but also those areas where the Council works in partnership - using its own resources as "matchfunding" to maximise the overall resources available for its own and its partners' priorities. It will also clearly inform the bidding for and management of additional capital resources (e.g. for Central Government, Europe and the National Lottery), together with

sources of funding not under the strict definition of Capital - such as leasing, the Private Finance Initiative (PFI), and other partnerships. A Corporate External Funding Group, which includes a representative of the Dudley Community Partnership, has been established to progress the Council's approach to bidding for external funding.

### **Links to Partners**

34. The Council will continue to work with a wide range of partners at an operational level to carry out and/or facilitate capital projects to enable the successful implementation of the Community Strategy actions. These are too numerous to list completely, but include:
- Dudley Community Partnership, and its "theme partnerships";
  - Town Centre Partnerships;
  - Neighbouring Authorities - including Police & Fire Authorities, and Centro;
  - The Probation Service;
  - Local Hospital and Primary Care Trusts;
  - Infracare (Dudley LIFT);
  - Westfield and other Private Sector Developers;
  - School Governing Bodies;
  - Colleges of Further Education;
  - The Learning and Skills Council;
  - Skills Funding Agency;
  - Local Housing Associations and Registered Social Landlords;
  - Tenant and Residents' Associations;
  - Non-statutory care providers;
  - Black Country Chamber of Commerce;
  - Black Country Local Enterprise Partnership (LEP)
  - Job Centre Plus;
  - PSP Dudley LLP.

### **Consultation**

35. The new Community Strategy is the outcome of a range of consultative activities which set out a range of issues and choices facing the Borough, and generated a great deal of positive public comment from individuals, groups and agencies. The Council Plan is also subject to public consultation.
36. As the Capital Strategy is one of the means by which the Community Strategy and Council Plan priorities are implemented, any changes to the Community and Council Plans arising from the above consultation will feed through into the Capital Strategy where appropriate.
37. This is supplemented by detailed stakeholder consultation on particular aspects of the Strategy where appropriate.

### **Performance Management and Innovation**

38. The Council will continue to improve the management of its capital investment activities, and adopt innovative solutions whenever possible.
39. In particular, the Council has already:

- established improvement teams to review performance management, project management, process management, people management, planning and leadership. This resulted in a number of improvements being made such as the Corporate Project Management Toolkit. This provides structured guidance in the management of simple and complex capital projects from inception to post completion review. Use of the guide is complemented by a formal training programme and a project directory;
- in collaboration with the Black Country Authorities, supported the establishment of a Black Country Minor Works Contract to deliver on and off highway related projects across the sub region;
- adopted the Construction Best Practice Programme national key performance indicators;
- in addition to adopting the 5 high level national indicators for property management, developed local indicators aimed at measuring the performance of individual and groups of properties;
- committed itself to sharing the results of performance measurement and benchmarking with stakeholders;
- developed a "Capital Investment and Disposal Guide" which provides an overview of the capital investment and disposal process and sets out guidance to be followed by officers when planning for and undertaking capital investment, and when disposing of surplus assets. A complementary External Funding Code of Practice sets out the key procedures to be followed when bidding for external funding for capital and other projects;
- established a Procurement Strategy Group (the activity of which is currently being reviewed) and recognised the need for Sustainable Procurement and greater emphasis on Value for Money and Whole Life matters in the award of contracts, considering economic, social and environmental issues in the decision making process.