


Corporate Risks following review by Corporate Board 4th June 2013

Risk Ref	The Risk	Risk Rating	Owner	Mitigating controls	Status since last report 14 Feb 2013
ORG0001	Single Status. Failure to complete appeals by end of September 2013 and potential cost implications of successful appeals and/or equal pay settlements	Significant	John Millar	<p>Corporate Board to receive reports as each division Stage 3 is completed to review if additional resources needed John Millar</p> <p>Communications with employees to confirm need to prepare for Stage 3 hearing when appeal submitted, not wait for allocated date Teresa Reilly</p> <p>Corporate Board release managers and union representatives as required for Panels John Millar</p> <p>Specialist legal advice and support in relation to equal pay litigation Philip Tart</p> <p>Prudent provision has been made and this provision is regularly reviewed. Iain Newman</p>	●
ORG0002	Assumptions made in the Medium Term Financial Strategy change e.g. inflation, grant awards and investment income returns may not be achieved and funding may be inadequate for the Council to meet all of its statutory obligations.	Major	Iain Newman	<p>Business Rates Localisation - financial planning in conjunction with accountants Iain Wollaston</p> <p>FMMR meetings with budget holders and directorate management teams Jan Szczechowski</p> <p>Finance representation on working groups Jan Szczechowski</p> <p>General and working capital reserves Jan Szczechowski</p> <p>Budget review pro-formas for completion by directorates Jan Szczechowski</p> <p>Financial reporting to members Jan Szczechowski</p> <p>Longer term budget prioritisation Iain Newman</p>	●

ORG0003	Carbon reduction targets not achieved There is an absolute risk to the environment and the Council risks incurring fines for non compliance.	Major	Phil Tart	CRC Operational Group (to ensure compliance in future years) Steve Cooper Low Carbon Management Plan (to deliver actions within plan) CRC Performance Management Steve Cooper Framework (to monitor and manage performance) Steve Cooper	●
ORG0006	Collaborative working opportunities are not realised.	Moderate	John Polychronakis	Lead the Black Country Collaboration programme for Dudley MBC Geoff Thomas Work with partners around the progression of the City Region proposal Geoff Thomas	●
ORG0007	Corporate Property Review There is a risk that the Council fails to vacate sites in a timely manner and is unable to release sites to the LLP for disposal in accordance with the development agreement, resulting in financial consequences detrimental to the Council	Moderate	Phil Tart	Detail project/partnership underway incorporating Corporate Property and the LLP Steve Cooper	✱
ORG0011	Community Cohesion There is a risk that high profile local issues may adversely affect community cohesion	Moderate	Andrea Pope-Smith	To understand and engage with local community dynamics and identify potential for actual tensions Rosina Ottewell Minimise potential negative effects of tension, prevent escalation and reduce conflict Rosina Ottewell Implementation of Hate Crime Strategy Rosina Ottewell Regular monitoring via Community Cohesion Tension Executive Geoff Thomas Support and promote community cohesion Rosina Ottewell Consider and plan interventions short, medium and long term Rosina Ottewell Initiatives to prevent gang activity within the borough via Gang Prevention Working group Rosina Ottewell	●
ORG0013	Information Governance: The Council may fail to; assess the importance of information to the business and may be unaware of the potential impact on the organisation should the confidentiality, integrity or availability of information be	Significant	Iain Newman	Information asset owners identified /named for each information asset Lewis Bourne Key information assets across the organisation	●

	compromised.			<p>identified and classified with Protective Marking System Lewis Bourne</p> <p>A structure consisting of a Board level Senior Information Risk Owner (SIRO) in place. Lewis Bourne</p> <p>Information asset owners responsible for completion of a self assessment Data Protection Compliance checklist Lewis Bourne</p>	
ORG0017	Welfare reform/s - There is a risk that various changes to welfare and benefits due in 2013 could place people at risk and increase demand for statutory services	Significant	Phil Tart	<p>Welfare Reform Project Board in place to monitor actions and outcome Owner TBC</p> <p>Increased provision for bad debt (Housing related in this instance) Owner TBC</p> <p>Reviewing use of Discretionary Housing Payments in line with new guidance Owner TBC</p> <p>Health & Well being Board established to manage its work programme including Strategy delivery Brendan Clifford</p> <p>Local Welfare Assistance/Members Steering Group in place a replacement scheme for DWP Social Fund Owner TBC</p> <p>Joint working in place to involve Social Housing Providers, CAB and Housing Benefits to identify people affected by changes Owner TBC</p> <p>Ensure the council fulfils its legal obligations resulting from the Localism Act Philip Tart</p> <p>Housing Stock options both locally and regionally being considered Owner TBC</p> <p>Provide advice & guidance on the implications of Localism Act 2011, the major measures to come into effect in April 2012 Andy Wright</p> <p>Ensure the council oversees/guides the changes the council will undergo as a result of responding to community activity Philip Tart</p>	

ORG0019	The Council acknowledges that there is a risk of fraud across all areas of its operations and is working both internally and with external partners to prevent and reduce this risk.	Significant	Iain Newman	<p>Detailed fraud risk register is held within Audit Services which is reviewed on a quarterly basis. Les Bradshaw</p> <p>Audit staff are aware of the risk register and utilise the information before completing audits in any specific areas mentioned Les Bradshaw</p> <p>Data matching exercises undertaken Les Bradshaw</p> <p>Audit Services have a programme of work as detailed below Les Bradshaw</p> <p>Networking within the West Midlands Anti Fraud Group and exchanges of intelligence. Les Bradshaw</p> <p>Audit Services have a fraud strategy action plan - the progress of this is monitored on a quarterly basis at Divisional Management Les Bradshaw</p>	●
ORG0020	Transfer of Public Health responsibilities to the Council is new to local government and as such presents challenges and potential risks.	Significant	Brendan Clifford	Public Health Transition Group established Valerie Little	●
ORG0021	Factors are combining to create a situation in which the Directorate of Children's Services may not be able to ensure the safety of children and young people. In particular whilst our budget is being reduced the level of need for services to protect children and young people from harm and neglect is rising.	Major	Jane Porter	<p>Development of early intervention services Ian McGuff</p> <p>DSLTT prioritises spend and manages budgets to ensure children are safe from harm and neglect Ian McGuff</p> <p>Optimise management structures to ensure service improvements are maintained Ian McGuff</p>	New

Risk rating is a combination of impact and likelihood

Status should reflect risk history.

Status key: ▲ Worsening ● Stable * Improving