

# DUDLEY SCHOOLS FORUM

TUESDAY 17<sup>TH</sup> SEPTEMBER, 2013

AT 6.00PM  
AT SALTWELLS EDUCATION  
DEVELOPMENT CENTRE  
BOWLING GREEN ROAD  
NETHERTON  
DUDLEY  
DY2 9LY

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You can view information about Dudley MBC on  
[www.dudley.gov.uk](http://www.dudley.gov.uk)



## **IMPORTANT NOTICE**

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## AGENDA

1. INTRODUCTIONS BY THE CHAIR

2. APOLOGIES FOR ABSENCE

To receive apologies for absence from the meeting.

3. APPOINTMENT OF SUBSTITUTE MEMBERS

To report the appointment of any substitutes for this meeting of the Forum.

4. MINUTES

To approve as a correct record and sign the minutes of the meeting of the Forum held on 9<sup>th</sup> July, 2013 (attached).

5. MATTERS ARISING FROM THE MINUTES

Any other matters arising from the Minutes of the meeting of the Forum held on 9<sup>th</sup> July, 2013 not included on the agenda for this meeting.

6. THE CHILDREN'S SERVICES DATA AND INFORMATION SYSTEMS TEAM (PAGES 1 -10)

To consider the report of the Director of Children's Services.

7. SCHOOLS FORUM CONSTITUTION (PAGES 11 - 26)

To consider the report of the Director of Children's Services.

8. CONSULTATION ON SCHOOL FUNDING ARRANGEMENTS AND CHANGES FOR 2014/15 (PAGES 27 - 66)

To consider the report of the Director of Children's Services.

9. FINAL ALLOCATIONS OF DEDICATED SCHOOLS GRANT (DSG) 2013/14 FINANCIAL YEAR (PAGES 67 - 69)

To consider the report of the Director of Children's Services.

10. DATES OF FUTURE MEETINGS

24<sup>th</sup> October, 2013

Saltwells EDC, Bowling Green Road, Netherton, DY2 4LY

20<sup>th</sup> November, 2013

Hillcrest School and Community Centre, Simms Lane, Netherton, Dudley, DY2 0PB

10<sup>th</sup> December, 2013

Saltwells EDC, Bowling Green Road, Netherton, DY2 4LY

21<sup>st</sup> January, 2014

Saltwells EDC, Bowling Green  
Road, Netherton, DY2 4LY

25<sup>th</sup> February, 2013

Saltwells EDC, Bowling Green  
Road, Netherton, DY2 4LY

18<sup>th</sup> March, 2013

Saltwells EDC, Bowling Green  
Road, Netherton, DY2 4LY

3<sup>rd</sup> June, 2013

Saltwells EDC, Bowling Green  
Road, Netherton, DY2 4LY

8<sup>th</sup> July, 2013

Saltwells EDC, Bowling Green  
Road, Netherton, DY2 4LY

## DUDLEY SCHOOLS FORUM

Tuesday, 9<sup>th</sup> July, 2013 at 6.00 pm  
at Saltwells Education Development Centre,  
Bowling Green Road, Netherton, Dudley

### PRESENT:-

Mr Ridley – Chair  
Mr Patterson – Vice-Chair

Mrs Belcher, Mr Conway, Mr Dallaway, Mr Derham, Mrs Hannaway, Mr Harris, Mrs Hazlehurst, Mr Hudson, Mr Nesbitt and Mr Platford

### Persons not a member of the Forum but having an entitlement to attend meetings and speak

Director of Children's Services

### Officers

Children's Services Finance Manager, Senior Principal Accountant and Miss Helen Shepherd (Directorate of Corporate Resources)

#### 1. INTRODUCTIONS BY THE CHAIR

Chair welcomed members to the meeting and welcomed newly appointed members Mr Nesbitt and Mr Platford to their first forum meeting.

#### 2. APOLOGIES FOR ABSENCE

Apologies for absence from the meeting were received on behalf of Mrs Garratt, Mr Jones, Mr Kelleher, Ms Richards, Mrs Ruffles, Mr Shaw, Mr Ward, Mr Warren, Mr Weaver and Mrs Withers.

At this juncture, the vice-chair expressed his disappointment at the lack of attendance by Members at the meeting.

#### 3. MINUTES

##### RESOLVED

That, the minutes of the meeting of the Forum held on 4<sup>th</sup> June, 2013, be approved as a correct record and signed.

4. MATTERS ARISING FROM THE MINUTES

No issues were raised under this item.

5. SCHOOLS FORUM MEMBERSHIP – UPDATE

A report of the Director of Children’s Services was submitted on the appointments to the membership of Schools Forum and to highlight membership changes required in the Autumn.

The Children’s Services Finance Manager presented the report and made particular reference to Table 1 of the report submitted and the impending school conversions to academy status and the impact this would have on the proportionality representation on the Forum. It was advised that from September 2013, the number of Secondary Head-teachers and governor representatives would need to be reduced by one each and an increase in the number of Academy representatives would be required to reflect the change in proportionality ratio should the estimated figures in Table 1 be confirmed. A further report updating members on the membership arrangements would be submitted to the Forum in September together with any necessary changes to the Constitution.

RESOLVED

- (i) That the information contained in the report submitted, in relation to Dudley Schools Forum membership appointments and the forthcoming changes in the Autumn, be noted.
- (ii) That a further report updating members on the membership arrangements for Dudley Schools Forum, be submitted to the Forum meeting in September 2013.

6. DUDLEY’S SCHEME FOR FINANCING SCHOOLS – APPROVAL FOR CONSULTATION

A report of the Director of Children’s Services was submitted on the proposed consultation with all schools in the Autumn Term 2013 regarding the proposed amendments required to Dudley’s Scheme for Financing Schools.

RESOLVED

- (i) That the information contained in the report submitted, in relation to the Dudley’s Scheme for Financing Schools, be noted.

- (ii) That a period of consultation with all schools and stakeholders in the Autumn Term 2013, be approved.
- (iii) That the outcomes of the consultation be presented to the Forum at its meeting in January 2014.

7. DUDLEY'S PUPIL REFERRAL UNIT SERVICE REVIEW

A report of the Director of Children's Services was submitted on the review and restructure of Dudley's Pupil Referral Units.

Following the presentation of the report, members expressed their concerns in relation to the importance of the outreach service that is provided to primary school children and supported the investigation of funding options to enable this level of service to continue. The Director of Children's Services also referred to the importance of early intervention services for pre-school children that have behavioural and well being issues prior to attending school and stated that funding models would continue to be investigated and a further update report would be submitted to a future meeting of the Forum.

RESOLVED

- (i) That the information contained in the report submitted, in respect of the review and restructure of Dudley's Pupil Referral Units, be noted.
- (ii) That a further update on the Dudley's Pupil Referral Unit Service Review be provided by the Director of Children's Services at the Autumn Term forum meeting.

8. REPORT ON THE DfE REVIEW OF 2013/14 SCHOOL FUNDING ARRANGEMENTS

A report of the Director of Children's Services was submitted in relation to financial benchmarking data in respect of the Schools Funding arrangements for 2013/14 financial year.

The Senior Principal Accountant presented the report and made particular reference to Appendix A of the report submitted in relation to the benchmarking summary data and how Dudley MBC ranked in comparison with its statistical and geographical neighbouring authorities. She referred to the deprivation funding factor and how



Dudley ranked low in comparison with its neighbours and the change in criteria for 2014/15 in relation to the Low cost High Incidence funding and stated that the Head Teachers Consultative Forum - Budget Working Group in the Autumn term, would review both formula factors.

She also referred to the Lump Sum allocation and stated that Dudley was ranked mid-range in relation to value in comparison to its neighbours but low on percentage of total funding allocated. It was considered that the amount allocated was reasonable but would be discussed as part of the consultation and reviewed.

The Vice-chair praised the Local Authority for being the highest ranked authority in relation to pupil led funding, but stated that the Local Authority should learn from its neighbours in the factors where they had been ranked lower.

#### RESOLVED

That the information contained in the report submitted, in relation to Dudley's benchmarking data for the school funding arrangements for 2013/14 and the possible impact for the 2014/15 funding arrangements, be noted.

#### 9. REPORT ON THE DfE SCHOOL FUNDING ARRANGEMENTS FOR 2014/15

A report of the Director of Children's Services was submitted on the DfE School Funding Arrangements for 2014/15.

The Children's Services Finance Manager presented the report in detail, referring members of the Forum to paragraphs of specific importance.

Arising from a question raised in relation to Schools with falling rolls and to which of those schools would be considered to receive additional funding support, the Children's Services Finance Manager confirmed that it would be for schools that were considered to be in a 'good' or 'outstanding' Ofsted category.

In response to a further question raised it was stated that the 2012/13 central historic spend could not be increased for the 2013/14 financial year, however the allocation of this funding could be used to fund different services to the previous year if the dedicated schools grant was sufficient to allow further delegations, this could therefore be taken into consideration when investigating funding options for the Pupils Referral Unit and the Outreach service.

RESOLVED

That the information contained in the report submitted, in relation to the DfE School Funding Arrangements for 2014/15, be noted.

10. BUDGET FACT SHEET

A report of the Director of Children's Services was submitted in relation to Budget Fact Sheet No. 2 dated June 2013 and in relation to the review of 2013-14 School Funding Arrangements and proposed changes for 2014/15.

RESOLVED

That the information contained in the report submitted, in relation to Budget Fact Sheet No. 2, be noted.

11. SCHOOLS FORUM WEBSITE

The Children's Services Finance Manager reported verbally in relation to the creation of a Schools Forum Website.

She informed members that a website dedicated to Dudley Schools Forum was in the process of being developed and would be accessible to all members and the public. Relevant information that would be accessible on the site would include the constitution, DfE regulations and consultation information. It was anticipated that the site would be live from September 2013.

RESOLVED

That the verbal information presented at the meeting, in relation to the creation of a Dudley Schools Forum website, be noted.

12. SCHOOLS FORUM PROPOSED MEETINGS 2013/14 ACADEMIC YEAR

A report of the Director of Children's Services was submitted setting out a proposed timetable of meetings for the Forum and agenda meetings for the 2013/14 academic year.

RESOLVED

That the meeting dates set out in the schedule appended to the report now submitted, be approved.

The meeting ended at 7pm.

CHAIR

**Schools Forum 17<sup>th</sup> September 2013**

**Report of the Director of Children's Services**

**The Children's Services Data and Information Systems Team**

**Purpose of Report**

1. To provide Schools Forum with an update in respect of the work of the Children's Data and Information Systems Team, which is supported by funding from the Dedicated Schools Grant through the Combined Services Budget.

**Budget Working Group Discussed**

2. Yes – 9 September 2013.

**Schools Forum Role and Responsibilities**

3. The Schools Funding Regulations include a provision for "Combined Budgets" under the types of funding that can be retained centrally from the Dedicated Schools Grant; it is conditional that the Schools Forum agrees the amounts involved and ensures that there is an educational benefit to the pupils.
4. It is a requirement that the local authority provides an annual outturn report to the Forum in respect of the combined services budgets.
5. From 2013/14, in line with the DfE School Funding Reforms, any budget deductions under the Combined Budget provision must not exceed the amount deducted for the previous funding period. This means that no new Combined Budgets can be allowed and those approved before 2013/14 must be constrained in value to the budget set aside in the previous funding period of 2012/13.

**Action for Schools Forum**

6. To note the cost effectiveness of the data quality work carried out on behalf of Dudley schools, which is supported by the Combined Services Budget funding, in relation to the Directorate's Children's Data and Information Systems Team.

Karen Cocker  
Children's Services Finance Manager  
3 September 2013

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**Schools Forum 17 September 2013**

**Report of the Director of Children's Services**

**The Children's Services Data and Information Systems Team**

**Purpose of Report**

1. To provide Schools Forum with an update in respect of the work of the Children's Data and Information Systems team, which is supported by funding from the Dedicated Schools Grant through the Combined Services Budget.

**Background Combined Services Budget**

2. The Dedicated Schools Grant (DSG) funds the Schools Budget. The Schools Budget is a combination of centrally retained budgets together with the ISB (Individual Schools Budget).
3. The DSG is a ring fenced grant and can only be applied to meet expenditure properly included in the Schools Budget, as defined by the School Finance and Early Years (England) Regulations 2012.
4. The Schools Funding Regulations include a provision for "Combined Budgets" under the types of funding that can be retained centrally from the Dedicated Schools Grant. Prior to 2013/14 it was conditional that the Schools Forum agreed the amounts involved and ensured that there is an educational benefit to the pupils.
5. From 2013/14, in line with the School Funding Reforms, any budget deductions under the Combined Budget provision must not exceed the amount deducted for the previous funding period. This means that no new Combined Budgets can be allowed and those approved before 2013/14 must be constrained in value to the budget set aside in the previous funding period of 2012/13.
6. For 2013/14 Schools Forum has exercised its authority to allocate central funds of £30,700 to support the work undertaken on behalf of schools through the Children's Data and Information Systems Team based in the Children's Services Directorate.

**Background to the Dedicated Schools Grant Funding For the Children's Data and Information Systems Team**

7. In 2009, a report was presented to Schools Forum which outlined the additional statistical data collection work to be undertaken by the Children's Data and Information Systems Team on behalf of Dudley schools. The report requested funding from the Dedicated Schools Grant to support this additional work and Schools Forum approved this request under the combined services budget powers.

8. Since that date the Team has continued to manage the statutory data collections required to be completed for all schools, including new areas. For example, the pupil premium grant funding was introduced by the Department for Education (DfE) in April 2011 for which the DfE collect the data from the free school meals indicator on the School Census. For 2014/15 this grant is estimated to be £15m in total for Dudley schools.
9. This report therefore updates Schools Forum on the work performed by the Children's Data and Information Systems Team and highlights areas where the financial support from the Dedicated Schools Grant is being used effectively to ensure that Dudley schools receive the maximum grant funding from the DfE or Central Government.

### **The Role of the Children's Data and Information Systems Team**

10. The Children's Data and Information Systems Team currently support over 30 service teams within the Directorate of Children's Services, other teams across the Council Directorates, all Dudley maintained schools and all Dudley children's centres. This is through the development of key management information systems and facilitating the transfer of data between Dudley schools and numerous partner organisations.
11. In addition, the Team is responsible for completing numerous statutory data collections for the Department for Education and they also perform the following roles for the Department of Education on behalf of Dudley MBC and Dudley schools.
  - **Edubase Co-ordinator** – this role is acting as the main contact and 'System Administrator' for the the DfE's 'Edubase' system which holds the details of all schools and education establishments across the country.
  - **Lost Pupil Database Co-ordinator** – this role is acting as the main contact and 'System Administrator' for the DfE's 'Lost Pupil Database' which records children that have moved from a school and haven't yet been allocated or attending a new school.
  - **School-2-School Co-ordinator** - this role is acting as the main contact and 'System Administrator' for the DfE's 'School-2-School' Database' which holds files on all children that have moved from a school to another school.
  - **Key-2-Success Co-ordinator** - this role is acting as the main contact and 'System Administrator' in Children's Services for the DfE's 'Key-2-Success' Database' which holds files on pupils Key Stage 1 and Key Stage 2 attainment results.
12. The services delivered by the Children's Data and Information Systems Team are outlined in Table 1.

**Table 1 - What Services do the Children’s Data and Information Systems Team Offer Schools?**

<b>Information System</b>	<b>Description</b>
Management Information Systems	Developing and supporting the following systems:- <ul style="list-style-type: none"> <li>• Tribal Admissions system</li> <li>• Tribal Schools Admissions Module (SAM)</li> <li>• Centris Free School Meals system (soon to be replaced by the Tribal Free School Meal system)</li> </ul>
Statutory Data Collections	Managing the completion of the following statutory data returns to the Department for Education:- <ul style="list-style-type: none"> <li>• School Census</li> <li>• School Workforce Census</li> <li>• Consistent Financial Reporting</li> </ul>
Data Transfer Support	Facilitating the transfer of child related data from and to other schools and partner organisations:- <ul style="list-style-type: none"> <li>• Pupil data exchange with Connexions</li> <li>• Pupil data transfers, where pupils move between schools</li> <li>• Key stage data transfers (using Common Transfer Files)</li> </ul>
Schools Information	Maintaining and publishing schools contact information on the Council intranet and Dudley Council internet

13. With reference to Table1, the Dedicated School Grant funding approved by Schools Forum supports the information systems work in relation to the:
- Statutory data collection (Schools Census/Schools Workforce Census).
  - Tribal Synergy system development (Co-ordinated Admissions).

**13.1 Managing the Statutory Data Collection Required for Schools – School Census.**

**Role of the Children’s Data and Information Systems Team in the Schools Census Return**

- The termly School Census is co-ordinated and submitted to the DfE by the Children’s Data and Information Systems Team on behalf of all Dudley schools. The role of checking that the data is complete and accurate will ensure that grant funding, such as the DSG and Pupil Premium, is maximised on behalf of Dudley schools.

## **Purpose of the Schools Census**

- The School Census is a statutory return for all maintained nursery, primary, secondary, middle-deemed primary, middle-deemed secondary, local authority (LA) maintained special and non-maintained special schools, academies (including free schools) and city technology colleges in England. Service children's education schools may also return it on a voluntary basis.
- The School Census collects information about individual pupils and information about the schools themselves, such as their educational provision. The individual pupil information collected includes free school meal eligibility, ethnicity, special educational needs (SEN), attendance and exclusions.
- The data is widely used by the DfE's policy divisions, other government departments, LAs, external agencies and educational researchers.
- Analysis of individual pupil records supports the drive to raise standards, the accurate targeting of funding and the monitoring and development of policy.
- For example:
  - pupil numbers are used for funding LAs and schools, including the Dedicated Schools Grant and the pupil premium, and contribute to the school and college performance tables exercise;
  - pupil numbers will be matched with data from the School Workforce Census to monitor pupil-to-adult ratios;
  - information on class sizes, pupils with statements, pupils with SEN but without statements, free school meals, ethnicity, absences and permanent exclusions is used to monitor the government's social inclusion policy.
- Without this information it would be very difficult for ministers, Parliament, central and local government, pressure groups and the public to monitor government policies and their effectiveness.
- The data is collected via COLLECT, the department's centralised data collection and management system for education.

## **13.2 Schools Workforce Census**

### **Role of the Children's Data and Information Systems Team in the Schools Workforce Census Return**

- The School Workforce Census is a statutory annual return to the DfE, which is collated by the Children's Data and Information Systems Team on behalf of Dudley schools. The role of checking the data will ensure that any statistical data subsequently produced by the DfE is accurate.



- **Purpose of the Schools Workforce Census**

- The DfE and its partners agreed a Data Sharing Protocol in February 2004. In keeping with the principle that data is collected once for use many times, the school workforce data is to be matched to other sources of data for statistical, research and policy purposes.
- Individual records will be matched to records from the Database of Teacher Records (DTR), and other sources. This will enable analyses to be undertaken by status, length of service, and type and subject of initial teacher training qualification.
- Matching teacher data to DfE school databases will enable analysis by school type, phase of education, and other school characteristics.
- Further matches to other data sets may be made by the DfE from time to time for statistical, research and policy purposes.

### **13.3 Co-ordinated Schools Admissions**

#### **Role of the Children's Data and Information Systems Team in the Schools Admissions Systems Development**

- The Children's Data and Information Systems team have been instrumental in developing the on line admissions systems for Dudley through the Tribal Synergy (Admissions) software. This system was introduced in September 2010 and enables schools to see their new applicants on-line via the Schools Admissions (SAM) website. Schools can therefore see up to date information rather than being sent out of date spreadsheet information.
- A further recent development is the move away from CENTRIS for the Free School meal module. This module was operated through RM (Research Machines) but due to the age of the system, this software is no longer being developed and as Dudley is the only local authority using this product, the module has been replaced by a Tribal Systems solution.
- The advantage of the new Tribal Free School Meal software will be that schools will be able to login to the SAM system and see the pupil's up to date free school meal status in real-time, without having to contact the Free School Meal team, which has caused delays and uncertainties for schools in the past.

#### **Purpose of Schools Admissions**

- The co-ordinated admissions scheme relates to the duty for Local authorities (LAs) to co-ordinate the secondary transfer admissions for all maintained (non fee paying) schools. Birmingham, Dudley, Sandwell, Staffordshire, Wolverhampton, Worcestershire LAs and Education Walsall have agreed to co-ordinate the admission process for admission to secondary schools.
- From 1st September 2007 Dudley borough residents were able to either apply online or complete a paper application, which is available on request from the School Admissions Service. 87% of applications are now received on line in Dudley.




- Some of the benefits of applying online are:
  - It is quick and easy to use;
  - You can apply 24 hours a day, 7 days a week;
  - There is no risk that your application will be lost in the post;
  - You will receive an email confirmation that your application has been received;
  - You can change your application up to the closing date by contacting the School Admissions Service;
  - It is safe and secure;
  - You will be notified of the outcome of your online application after 2pm on the 1st March 2013.
  
- The School Admissions web page provides links to school web sites and other web pages giving information about schools (such as the Ofsted site [www.ofsted.gov.uk](http://www.ofsted.gov.uk)). It also includes a copy of the admissions information booklet.

### **School Census Workshops**

14. Before each termly School Census return, the Children's Data and Information Systems Team hold workshops for Dudley school administrators to attend. The purpose of these workshops is to discuss the completion of the return and highlight those data quality issues which have been present in previous returns.
  
15. Typical data quality issues include:
  - Pupils missing from the return (i.e. where an incorrect end date may have been entered, this will remove a pupil).
  - Number of hours recorded incorrectly for nursery pupils (i.e. the maximum hours are 15 per week – a combination of errors have been identified) – in the October 2012 census collection there were 370 children records found to be in error by the team.
  - Free school meals data is inaccurate or missing (i.e. schools data records do not always correlate with the Directorates data collection for free school meals and frequently schools are under claiming pupils in this category even though the pupil maybe taking a free meal at school) – in the October 2012 census collection there were 78 children records found to be in error by the team.
  - Duplicate pupil checking exercise (i.e. this is a national check with other local authorities where a name and date of birth appears twice on the data base).
  - In the October 2012 census collection there were 667 data quality queries corrected by the Children's Data and Information Systems team.
  
16. The data analysis trends are highlighted in Table 2.

**Table 2 – School Census Quality Data Checks**

## Census Trends

 Low  Medium  High	Jan-10	May-10	Oct-10	Jan-11	May-11	Oct-11	Jan-12	May-12	Oct-12
<b>Data Quality Issue</b>									
Funded Hours									
Hours of Setting									
Dual Registration									
Part Time Indicator									
Guest Pupils									
Missing Exclusions									
Children incorrectly recorded on Current role									
Incorrect Entry dates									
Children from Private on-site nurseries not given new entry date when joining school reception									
Part Time Indicator									
Guest Pupils									
Incorrect Year Groups Vs Age									
Incorrect FSM Entitlement									
English as Additional language									

### The Importance of Pupil Premium and Free School Meals reporting through the School Census

17. The free schools meal data populated through the Schools Census return is used by the DfE to derive statistical data for pupil premium grant funding. It is important therefore that this data is recorded accurately otherwise grant funding will be lost.
18. The government believes that the pupil premium, which is additional to main school funding, is the best way to address the current underlying inequalities between children eligible for free school meals (FSM) and their peers by ensuring that funding to tackle disadvantage reaches the pupils who need it most.
19. The pupil premium was introduced in April 2011 and is allocated to schools to work with pupils who have been registered for free school meals at any point in the last six years (known as 'Ever 6 FSM').

## **Funding for Pupil Premium**

20. Pupil premium was payable to schools in 2011/12 at £488 per disadvantaged pupil. This has steadily increased each financial year and for 2013/14 is £900. Recent DfE announcements have indicated that this will increase to £1,300 per disadvantaged pupil by 2014/15 for primary schools; the value for other schools has not been released yet.
21. In most cases the pupil premium is paid direct to schools, allocated to them for every pupil who receives free school meals. Schools decide how to use the funding, as they are best placed to assess what additional provision their pupils need.
22. Local authorities are responsible for looked after children and make payments to schools and academies where an eligible looked after child is on roll

## **The Effectiveness of the DSG Funding in Support of the Children's Data and Information Systems Team work**

23. The Dedicated Schools Grant for Dudley per pupil for 2013/14 is £4,459 for mainstream and £3,651 for nursery (1fte).
24. The pupil premium grant per disadvantaged pupil for 2013/14 is £900, rising to £1300 in 2014/15 for primary pupils.
25. Therefore assuming that the Team only correct 8 mainstream pupils on the Schools Census from 45,000 eligible pupils recorded, or 24 pupils with free school meals from 12,000 recorded then the team can be seen as cost effective in their role in recovering the DSG contribution of £30,700. There are many other benefits of the service provided by the Team, however, this report concentrates on the funding aspect associated with the working of the team.

## **Finance**

26. The funding of schools is prescribed by the Department for Education (DfE) through the School Finance and Early Years (England) Regulations 2012.
27. Schools Forums are regulated by the Schools Forums (England) Regulations 2012.
28. From 1 April 2006, the Schools Budget has been funded by a direct grant: Dedicated School Grant (DSG).

## **Law**

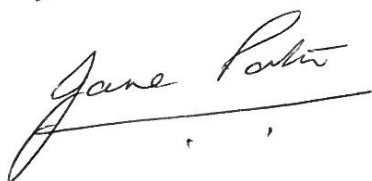
29. Councils' LMS Schemes are made under Section 48 of the School Standards and Framework Act 1998. The Education Acts 1996 and 2002 also have provisions relating to school funding.

## **Equality Impact**

30. The Council's Equal Opportunities Policy is taken into account when considering the allocation of resources.

## **Recommendation**

31. Schools Forum to note the report in respect of the cost effectiveness of the data quality role of the Children's Data Information Systems Team in the maximisation of Dudley's grant funding through the Dedicated Schools Grant and the Pupil Premium.

A handwritten signature in cursive script that reads "Jane Porter". The signature is written in black ink and is positioned above a horizontal line.

**Jane Porter**  
**Director of Children's Services**

Contact Officer: Karen Cocker, Children's Services Finance Manager  
[Karen.cocker@dudley.gov.uk](mailto:Karen.cocker@dudley.gov.uk) Tel: 01384 815382

**Schools Forum 17<sup>th</sup> September 2013**

**Report of the Director of Children's Services**

**Schools Forum Constitution**

**Purpose of Report**

1. To present to Schools Forum a revised Schools Forum Constitution for approval.

**Discussed at HTCF – BWG**

2. Yes – 9 September 2013.

**Schools Forum Role and Responsibilities**

3. Schools Forum is responsible for ensuring that the Constitution and membership meet the legislative requirements detailed in the School Forum (England) Regulations 2012, which were effective on 1 October 2012.
4. The Schools and Early Years Finance (England) Regulations 2013 were issued in draft on 1 August 2013. Regulation 4 includes an amendment to the Schools Forums (England) Regulations 2012 to require the election of a representative of providers of 16 to 19 Education to the Schools Forum, and to remove the inclusion of a representative of the local authority's 14 to 19 Partnership on the Forum. These draft Regulations are expected to be effective by 1 January 2014.

**Actions for Schools Forum**

5. To consider and agree the revisions to the Schools Forum Constitution, this will be effective by the statutory deadline of 1 January 2014.

**Attachments to Report**

6. Revised Schools Forum Constitution – Appendix A.  
Changes are highlighted and shown in italics and underlined.

Karen Cocker  
Children's Services Finance Manager  
3 September 2013

**Schools Forum 17 September 2013**

**Report of the Director of Children's Services**

**Schools Forum Constitution**

**Purpose of Report**

1. To present to Schools Forum a revised Schools Forum Constitution for approval.

**Background**

2. The current Schools Forum Constitution was updated in line with the Schools Forum (England) Regulations 2012, agreed at the meeting on 11 September 2012 and was effective from 1 October 2012.
3. The Schools Forum Constitution is now required to be updated for two reasons:
  - a. The Schools and Early Years Finance (England) Regulations 2013 were issued in draft on 1 August 2013. Regulation 4 includes an amendment to the Schools Forums (England) Regulations 2012 to require the election of a representative of providers of 16 to 19 Education to the Forum, and to remove the inclusion of a representative of the local authority's 14 to 19 Partnership on the Forum. These draft Regulations are expected to be effective by 1 January 2014 therefore a member to represent the providers of 16-19 Education in the Borough will be elected and the vacant 14 to 19 Partnership position will be deleted.
  - b. The Schools Forum Regulations stipulate that Primary schools, Secondary schools and Academies must be broadly proportionately represented on the Forum in relation to pupil numbers. At the meeting in July 2013, a report was presented to highlight the anticipated changes in pupil numbers by the Autumn 2013 term due to a number of maintained schools converting to Academy status. Therefore, the Schools Forum Constitution will need to be amended to reduce the number of secondary school members from eight to six and to increase the Academy membership from two to four.

### Maintained Secondary School Members

The reduction of maintained secondary school members will reduce both the Head teacher representatives and the Governor representatives from four to three.

There is an existing secondary Governor vacancy therefore this will be deleted. The secondary Head Teacher nominations can be reduced to three when these decisions are agreed in the Autumn 2013 term.

### Academy Members

The two additional Academy members will need to be elected to Schools Forum by the governing bodies of the Academies in the authority's area.

4. The revisions outlined in 3a and 3b above have been incorporated into the Schools Forum Constitution which is attached at Appendix A for Forum to consider and approve. The highlighted changes are shown in italics and underlined.
5. It is recommended that the Constitution is effective from 1 January 2014, which will comply with the DfE changes in respect of the 16-19 Education provider member and allow time for the membership changes to secondary schools and Academy schools to be managed.
6. The Constitution will need to be formalised through Dudley's democratic process by a decision sheet to be signed by the Cabinet Member for Children's Services together with the Director of Children's Services. This will be actioned when Schools Forum have agreed the revised Constitution.

### Finance

7. The funding of schools is prescribed by the Department for Education (DfE) through the Schools and Early Years Finance (England) Regulations 2012.
8. Schools Forums are regulated by Schools Forums (England) Regulations 2012.
9. From 1<sup>st</sup> April 2006, the Schools Budget has been funded by a direct grant; Dedicated School Grant (DSG).

### Law

10. Councils' LMS Schemes are made under Section 48 of the School Standards and Framework Act 1998. The Education Acts 1996 and 2002 also have provisions relating to school funding.



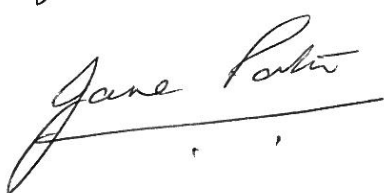
## **Equality Impact**

11. The Council's Equal Opportunities Policy is taken into account when considering the allocation of resources.

## **Recommendation**

12. Schools Forum to consider and approve the revised Schools Forum Constitution at Appendix A, this will be effective by the statutory deadline of 1 January 2014.

13. An update in respect of the three new member representatives will be presented to Schools Forum in due course.

A handwritten signature in black ink that reads "Jane Porter". The signature is written in a cursive style and is positioned above a horizontal line.

**Jane Porter**  
**Director of Children's Services**

Contact Officer: Karen Cocker, Children's Services Finance Manager  
[Karen.cocker@dudley.gov.uk](mailto:Karen.cocker@dudley.gov.uk) Tel: 01384 815382

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## **Schools Forum: Constitution 1 January 2014**

### **Contents:-**

Introduction

Effective Date of the Revised Constitution

The Role of the Forum

Membership of the Forum

Representation of the Forum

Non Voting Attendees

Membership of Dudley's Schools Forum

Election Process for School Members

Appointments to the Forum

Conduct of Meetings

Consultations

The Validity of Proceedings

Working Groups of the Schools' Forum

Forum Budget and Expenses

Policies and Procedures of the Local Authority

Declaration of Interests

Access and Communication

The Clerk to the Forum

Revisions to the Constitution

## Introduction

### What is the Schools' Forum?

1. It is a partnership body linking the Local Authority and the school community in making decisions about school funding and roles and responsibilities. It is a statutory consultative body established by the Education Act 2002, and comprises stakeholders of the school community, including head teachers and governors or their nominated representatives.

### The Legal Basis:

2. School Forums were established to give schools greater involvement in the distribution of funding within their Local Authority. Each Local Authority must consult its Schools Forum on the school funding formula, as well as other issues in connection with schools budgets.
3. The Dudley Schools Forum is constituted by Dudley Metropolitan Borough Council. This Constitution has been produced in accordance with the legal requirements referred to and contained within The Schools Forums (England) Regulations 2012, came into force on 1st October 2012 and revoked The Schools Forums (England) Regulations 2010 The Schools and Early Years Finance (England) Regulations 2013 at Regulation 4 includes an amendment to the Schools Forums (England) Regulations 2012 to require the election of a representative of providers of 16 to 19 education to the schools forum, and to remove the inclusion of a representative of the local authority's 14 to 19 partnership on the forum.
4. This Constitution has been revised to ensure compliance with the School and Early Years Finance (England) Regulations 2013 issued in draft form on 1 August 2013 and expected to be in place by 1 January 2014 in preparation for 2014/15 financial year.

### Effective Date of the Revised Constitution

5. Dudley's revised Schools Forum Constitution will be effective on 1<sup>st</sup> January 2014 after approval by the Cabinet Member for Children's Services, with advice from the Director of Children's Services on request of the Schools Forum.

### The Role of the Forum

6. The Schools' Forum has both a decision making and a consultative role as shown in the table which identifies current powers and responsibilities. Schools Forums. Powers and responsibilities are detailed at Appendix 1 and are subject to DfE periodic amendment.

### Membership of the Forum

7. The total membership of the Forum will be 29 comprising:
  - 20 school members (covering nursery, primary, secondary, special and pupil referral units);
  - 4 academies members; and
  - 5 non school members.

School members and Academy members must constitute at least **two thirds** of the total membership with voting rights.

## **Representation of the Forum**

8. Primary schools, secondary schools and Academies must be broadly proportionately represented on the forum in relation to pupil numbers.
9. Where the Authority maintains one or more special schools, at least one special schools member must be a representative of a special school.
10. Where the Authority maintains one or more nursery schools, at least one nursery school member must be a representative of a nursery school.
11. Where the Authority maintains one or more pupil referral unit, at least one pupil referral unit member must be a representative of a pupil referral unit.
12. The Authority may determine that the number of members representing schools in a particular school category must be broadly proportionate to the total number of schools in that category when compared with the total number of schools maintained by the authority.
13. Academy members must be elected to the schools forum by the governing bodies of the Academies in the authority's area; there must be at least one Academy member.
14. Non School Members must include:
  - Representation of the providers of 16-19 education in the area; where eligible institutions should be those in the FE sector (FE and sixth form colleges) and other institutions that specialise in SEN and LDD provision (ISPs), where 20% or more of their students reside in the authority's area;
  - Representation of early year's providers.

## **Non Voting Attendees**

15. The Education Funding Agency (EFA) will have observer status at all meetings of the Forum with a non voting position.
16. The following persons may speak at meetings of the forum, even though they are not members of the forum (non voting):
  - The Director of Children's Services at the Authority or their representative;
  - The Chief Finance Officer at the Authority or their representative;
  - Any elected member of the authority who has primary responsibility for Children's Services or education in the Authority;
  - Any elected member of the authority who has primary responsibility for the resources of the Authority;
  - Any person invited by the forum to attend in order to provide technical or financial advice to the Forum;
  - Any person presenting a paper or other item to the Forum that is on the meeting's agenda but that persons right to speak shall be limited to matters related to the item that the person is presenting.

## Membership of Dudley's Schools Forum shall be:-

### 17. School members:

- 10 representatives of maintained **Primary** Schools: 5 head teachers and 5 governors;
- **6 representatives of maintained Secondary Schools: 3 head teachers and 3 governors;**
- 2 representatives of **Special Education** Schools: 1 head teacher and 1 governor;
- 1 head teacher representative of the **Nursery** School;
- 1 head teacher representative of the **Pupil Referral Units**.

### 18. Academy Members:

- **4 representatives of the Academy schools, nominated by the governing bodies of the Academies in Dudley's area.**

### 19. Non School Members:

- 1 representative of the **Diocesan** schools Worcester Diocesan Board of Education, nominated by the Board;
- 1 representative of the **Catholic** Schools Commission, nominated by the Commission;
- 1 representative of **Early Years** Provider Reference Group, nominated by the Group (**Private, Voluntary and Independent**) (PVI Sector);
- Representation of the providers of 16-19 education, elected by representatives of 16 to 19 providers in the area;
- 1 representative of the recognised **Unions and Professional Associations**, nominated by the staff side of the Directorate Joint Consultative Committee.

### 20. Observer status

(Available to contribute to discussions but with no voting rights):

- 1 x Councillor with cabinet responsibility for Children's Services;
- 1x Councillor with Select Committee responsibility for Children's Services;
- 1 x Director of Children's Services ;
- 1 x Assistant Director for Education Services;
- 1 x Children's Finance Manager;
- 1 x Children's Services School Funding Accountant;
- 1 x Democratic Services Office (Clerk);
- Any person presenting a paper or other items to the forum that is on the agenda;
- 1 x Education Funding Agency (EFA) representative.

## Election Process for School Members

21. Schools members are not subdivided by type of school (Community, Voluntary Controlled, Voluntary Aided, or Foundation), as this would be impracticable. With the exception of Special, Nursery school and Pupil Referral Unit representatives, Schools members are appointed by virtue of their connection, whether as Headteacher or Governor, with a school in the Dudley Borough.
22. All schools members are expected to consider the needs of the education service of the whole Borough, including all types and phases of school, when discharging their duties.
23. To be eligible to stand for election the headteacher must be a headteacher in one of the authority's schools and the governor must be a member of a governing body at one of the authority's schools.

### Governors

24. School Member governor nominations are to be democratically elected from the constituent bodies via an annual election process, as outlined below, and administered by the Council. The election process will be managed by the Director of Children's Services.
25. All currently serving governors may vote in the election, which will be carried out by postal ballot.
26. If there are no successful nominations then the vacancy will be held until the next opportunity to carry out the election process.
27. All primary school governors will be eligible to vote for one candidate representing the township in which they are a school governor.
28. All secondary school governors will be eligible to vote for one candidate representing the area where a vacancy exists by township **and** in which they are a school governor.
29. All special school governors will be eligible to vote for one special school governor.
30. The successful candidates for primary school governors will be those in each of the five townships with a simple majority of votes if the seat is contested.
31. The successful candidate for special school governors will be by a simple majority of votes if the seat is contested.
32. The successful candidates for secondary school governors will be determined on the basis of a ballot to be held in the townships that do not have a current representative. This is to reflect the position that there are five townships and three secondary governor positions available. The successful candidates for secondary school governors will be selected on the basis of the highest number of combined votes in the townships not currently represented, limited to a maximum of three separate township seats based on a simple majority of votes if the seat is contested.
33. The Director of Children's Services will act as Returning Officer.

34. Candidates should complete and return a nomination form by the return date indicated.
35. The nomination must be supported by a proposer and a seconder, both of whom must also be a currently serving Dudley school governor.
36. The nomination must include in no more than 100 words, a biographical submission from the candidate to support their application.
37. Unless the seat is contested, there will be no requirement to undertake a voting process.
38. The appointment will be effective from the commencement of the municipal year (1st May).

### **Head Teachers**

39. The representation of primary school, secondary school and special school head teachers for Schools Forum are appointed through the respective constituent group meetings held in the Autumn of the new academic year.
40. The single nursery school in Dudley will be represented on Schools Forum by the head teacher of that school.
41. The pupil referral units will be represented on Schools Forum by the Pupil Referral Manager.
42. All head teacher representatives as Schools Member appointments to Schools Forum will be effective from 1<sup>st</sup> November. This allows the constituent groups sufficient time during the Autumn term to hold their group meetings and agree representations for collaborative working with the Local Authority.

### **Election Process for Academy Members**

43. It is the responsibility of the governing bodies of the Academies in the authority's area to elect the Academy representative to be the Schools Forum members.

***44. The four Academy representatives appointed to Schools Forum will be effective from 1<sup>st</sup> May.***

### **Election Process for Non School Members**

45. The representatives in respect of the five non school members for Schools Forum will be appointed through their representative constituent group.
46. The appointments will be effective from the 1<sup>st</sup> May.

## **Appointments to the Forum**

47. All appointments to the Forum shall be for a period of **3 years**, at which point nomination or re-nomination to continue on the Forum will be required from the constituent group.
48. Whenever a vacancy occurs during the three year period under the terms of the Regulations, it will be filled as soon as possible, by election or nomination according to the type of representative, for a period running to the end of the three-year period then underway
49. Any Forum member may nominate a substitute to attend a meeting if he or she is unable to do so provided the substitute is from the same section of membership and the same phase of education as the member substituted. Members wishing to nominate a substitute to attend a meeting on their behalf should do so by contacting the Clerk in advance of the meeting. These substitute arrangements also apply to those with observer status where applicable
50. The Local Authority will maintain a record of Forum Membership.
51. The Local Authority will, within one month of appointment of any non –schools member, inform the governing bodies of schools maintained by them and Academies within their area of the name of the member and the name of the body that member represents.
52. Election of Chair and Vice Chair will take place at the Forum's first meeting commencing the Municipal year. The Chair cannot be an elected member or officer of the authority. Election shall be for the period of one year.
53. A member appointment will be terminated by disqualification if he or she fails to attend meetings of the Forum for six months without having apologies for absence accepted by the Forum.
54. Any member of the Forum may resign their office by giving written notice to the Clerk of the Forum. A member must resign if they cease to hold the position through which they became eligible for appointment to the Forum. In addition, a non-school member must resign, if the member is replaced by the authority, at the request of the body which the member represents, by another person nominated by that body or if the Local Authority terminates their appointment because it has been instructed to do so by the Secretary of State.
55. Membership of Schools' Forum may need to be reconstituted from time to time resulting from changes to legislation or statutory regulation as directed by the Secretary of State.

## **Conduct of Meetings**

56. The Forum will normally meet on six occasions each year; in May, July, October, December, February and March. The dates of the meetings for the forthcoming year will be decided by the Forum at the first meeting in the municipal year.
57. The date(s) and venue(s) of meetings will be given to the Clerk by the forum at its meeting before the start of the academic year. Variation to the dates or venue will



require approval of the Chair or Vice Chair. `

58. Meetings of the Forum will normally take place at 18:00
59. Additional / urgent meetings may be called by the Chair or Vice Chair giving a minimum of 7 working days notice.
60. The Chair or Vice Chair to agree the proposed Agenda no later than 2 weeks prior to the meeting date.
61. No later than 1 week prior to the meeting, the Chair or Vice Chair to agree a list of officers who may attend. The number of other Local Authority attendees participating in meetings will be limited unless they are as set out in the constitution or are providing specific financial or technical advice (including presenting a paper to the Forum).
62. The Chair or Vice Chair may also invite others to attend who may have particular knowledge or expertise.
63. Agendas and all reports to be received by Forum Members at least 1 week prior to the meeting. Submission of late / urgent items may be considered – subject to the Chair or Vice Chair agreeing.
64. Meetings require 40% of the elected membership (with voting rights excluding vacancies) to be in attendance in order to be quorate.
65. It should be noted that only school members and the PVI member shall be able to vote on matters concerning the funding formulae.
66. Decisions in respect of de-delegation of central budgets and returning funding to the local authority must be agreed via maintained schools in a phase, collectively through the Schools Forum.
67. Substitute members will have voting rights as identified for their constituent group. Decisions and recommendations of the Forum will be undertaken by majority voting, by show of hands, and declared by the Chair or Vice Chair. If required, the Chair or Vice Chair will have a casting vote with no restriction on how this is used. Non School Members, other than those who represent early years providers, must not vote on matters relating to the funding formulae to be used by the local authority in determining the amounts to be allocated to schools and early years providers.
68. The Clerk to the Forum will keep a record of each meeting of the Forum.

## **Consultations**

69. The Local Authority must consult the schools forum on:
  - The terms of any proposed contracts for supplies and services (being a contract paid or to be paid out of the Schools Budget;
  - Arrangement for education of pupils with special education needs;
  - Arrangements for the use of pupil referral units and education of children otherwise at school;
  - Arrangements for early years provision;

- Administrative arrangements for the allocation of central government grants paid to schools via the Authority;

The Local Authority may consult the Forum on such other matters concerning the funding of schools as they see fit.

The Forum shall inform the governing bodies of schools maintained in the authority of all consultations carried out under these Regulations.

## **The Validity of Proceedings**

70. The proceedings of the Forum shall not be invalidated by any:

- Vacancy
- Defect in the election or appointment of any member, or
- Defect in the election of the Chair.

## **Working Groups Supporting the Schools Forum**

71. The Forum is currently supported by the Head Teachers Consultative Forum - Budget Working Group. Any additional groups may be established or amended as required by the Forum. Each of these groups will have clearly defined terms of reference.

These terms will also identify whether the working group will:

- Report and make recommendations to the Forum, or
- Report and make recommendations directly to the Authority.

## **Forum Budget and Expenses**

72. An annual budget will be made available for reasonable expenses incurred by the Forum. Specifically, expenses may be included for:-

- Travel and subsistence payments for members;
- The costs of specialist advice that may be required from time to time;
- Cost of hire of premises;
- The costs of arranging and servicing meetings of the Forum;
- The costs of arranging elections and nominations for the Forum.

School Forum costs will be charged to the Schools Budget – Dedicated Schools Grant funded.

## **Policies and Procedures of the Local Authority**

73. In conducting its affairs, the Forum must have regard to the policies and guidelines of the Local Authority.

## **Interests**

74. Members of the Forum must declare general interest arising from their being a teacher or governor of a school or one which their children attend. In addition, they must declare any personal or specific service contracts or similar issues in which they have personal involvement. If the personal interest is pecuniary or could be viewed as prejudicial, the member should withdraw from the discussion and take no

part in the decision.

## **Access and Communication**

75. Meetings of the Forum will be open to the press and public but certain information and discussion may need to be restricted in line with relevant legislation such as the Data Protection Act, 1998 or if the information was provided by the government with restrictions on publication.
76. The duties of the Forum may involve having access to and sharing information of a confidential nature which may be covered by the Data Protection Act. In such circumstances confidentiality must be maintained at all times.
77. Agendas, Reports and Minutes, along with forum membership and calendar of forthcoming meetings will be published promptly on the Councils website CMIS (Committee Management Information Systems).
78. For health and safety reasons, any member of the press or public intending to attend a meeting of the Forum will be invited to notify the clerk in advance of the meeting.

## **The Clerk to the Forum**

79. The Clerk to the Forum will be nominated by the Local Authority with appointment subject to approval of the Forum.

## **Revisions to the Constitution**

80. Revisions to this Constitution will be agreed by the Forum in consultation with the Local Authority.

**Jane Porter**  
**Director of Children's Services**

Version dated 3 September 2013/KC

## Appendix 1 - Schools Forums: Powers and Responsibilities

	Function	Local Authority	Forum	DfE Role
1	Formula Change (including redistributions)	Proposes and decides	Must be consulted. <b>Note: Only school members and PVI members shall be able to vote on matters concerning the funding formulae</b>	None
2	Contracts	Propose prior to invitation to tender, the terms of any proposed contract	Gives a view.	None
3	Financial issues relating to:- <ul style="list-style-type: none"> <li>▪ arrangements for pupils with special educational needs;</li> <li>▪ arrangements for use of pupil referral units and the education of children otherwise than at school;</li> <li>▪ arrangements for early years provision;</li> <li>▪ arrangements for insurance;</li> <li>▪ administration arrangements for the allocation of central government grants;</li> <li>▪ arrangements for free school meals</li> </ul>	Consult annually	Gives a view and informs the governing bodies of all consultations carried out in lines 1, 2 & 3	None
4	Minimum funding guarantee	Proposes any variations and can decide to set the MFG at a higher level than -1.5%	Agrees any variation relating to the early years single funding formula; must be consulted on other proposals	Approves any other variations and adjudicates if Forum does not agree LA proposal on early years
5	Breaches of central expenditure limit	Proposes	Decides	Adjudicates where Forum does not agree LA proposal
6	Increases on central spend on <ul style="list-style-type: none"> <li>▪ prudential borrowing;</li> <li>▪ termination of employment costs;</li> <li>▪ combined services;</li> <li>▪ schools' specific contingency and special educational needs</li> </ul>	Proposes	Decides <b>Note: Increases in budget not permitted after 2012/13. A number of central budgets are subject to delegation. De- delegation to be agreed by maintained schools collectively within a phase through Schools Forum.</b>	Adjudicates where Forum does not agree LA proposal

	transport		<b>Combined budgets can be retained but no additional spend.</b>	
7	Scheme of financial management changes	Proposes and consults GB and Head of every School	Approves	Adjudicates where Forum does not agree LA proposal
8	Membership : length of office of members	Decides	None (but good practice would suggest that they gave a view)	None
9	Membership: appointment of Schools and Academies Members	Appoints those elected by members of the relevant sub groups. Facilitates election where required and appoints member if there is a tie or the election does not take place by a date set by the LA	None	None
10	Membership: Non Schools Members	Seeks nominations from the relevant bodies then appoints	None (but good practice would suggest that they gave a view)	None
11	Voting Procedures	None	Determine voting procedures	None
12	Chair of Forum	Facilitates	Elects ( <i>may not be an elected member of the LA or officer</i> )	None

**Schools Forum 17<sup>th</sup> September 2013**

**Report of the Director of Children's Services**

**Consultation on School Funding Arrangements and Changes for 2014-15**

**Purpose of Report**

1. To inform Schools Forum in respect of proposed changes to the local school funding arrangements for the 2014-15 financial year.

**Budget Working Group Discussed**

2. Yes – 9 September 2013.

**Schools Forum Role and Responsibilities**

3. From 1 April 2006, the Schools Budget has been funded by a direct Department for Education (DfE) grant: the Dedicated School Grant (DSG).
4. Schools Forum is the 'guardian' of the local Schools Budget, and its distribution among schools and other bodies, and therefore must be closely involved throughout the development process.
5. A local authority must consult their Schools Forum and schools maintained by them about any proposed changes to the formula in relation to the factors and criteria taken into account and the methods, principles and rules adopted

**Action for Schools Forum**

6. To note the consultation on school funding arrangements for 2014-15 and to inform the Director of Children's Services of their views.

**Attachments to Report**

7. Appendix A – Consultation document published 2 September 2013.
8. Appendix 1 to Consultation document – Funding for prior attainment.
9. Appendix 2 to Consultation document – Proposals for value of Lump Sum and funding of MFG.
10. Appendix 3 to Consultation document – Additional funding for Notional SEN.

Sue Coates  
Senior Principal Accountant  
3 September 2013

**Schools Forum 17 September 2013**

**Report of the Director of Children's Services**

**Consultation on School Funding Arrangements and Changes for 2014-15**

**Purpose of Report**

1. To inform Schools Forum in respect of proposed changes to the local school funding arrangements for the 2014-15 financial year.

**Background**

2. In February this year, the DfE undertook a short review to understand to what extent they needed to make small changes in 2014/15 in order to move closer to a national funding formula for maintained mainstream schools and Academies. The DfE also wanted to understand whether any unintended consequences had arisen as a result of the arrangements for 2013/14.
3. On the 5 June 2013 the DfE published a document "School Funding Reforms: Findings from the Review 2013/14 and Arrangements and Changes for 2014/15", which included the findings from the Spring term review with the resulting proposals to be implemented from 2014/15. These were discussed at the June and July meetings of Schools Forum.
4. The DfE will be making the necessary regulations that will give effect to their school funding changes in 2014/15. Draft finance regulations and Dedicated Schools Grant (DSG) conditions of grant were published for consultation on 1 August 2013 with a closing date of 11 October 2013.
5. Our local consultation addresses those areas of the Mainstream School's funding formula which this Local Authority is proposing to amend from 2014/15, either because of the DfE changes published in their document dated 5 June 2013 or because local decisions made for 2013/14 were agreed by Dudley Schools and Schools Forum on a one year only basis.
6. The proposals included within the consultation have been discussed by Headteachers Consultative Forum-Budget Working Group (HTCF-BWG) and where recommendations have been made by this group they are included under the relevant headings.

7. The consultation is seeking views in respect of five specific issues;
- **A review of the quantum and methodology of allocating funding for deprivation.**  
The DfE are continuing to ask that Schools Forums and local authorities determine locally an appropriate proportion or quantum of their schools block funding to allocate through this factor. (Paragraph 48 refers)
  - **The value of the Lump Sum for primary and secondary schools.**  
HTCF-BWG recommendation is that the primary sector lump sum remains at £130,000 and views are sought regarding the options of either retaining £130,000 for secondary schools, or allocating £100,000 lump sum to secondary schools with re-allocation of the £30,000 funding released back to secondary schools in the basic per pupil funding for KS3 and KS4 pupils. (Paragraph 57 and Appendix 2 refer).
  - **The method of identifying schools that receive insufficient funding to cover the first £6,000 of costs for High Needs pupils.**  
Detailed proposals are included within the consultation document at Appendix A (Paragraph 65 refers) and a demonstration of the potential financial impact at Appendix 3 to this document.
  - **The funding of Minimum Funding Guarantee (MFG) from a clawback of gains.**  
It was agreed for 2013/14 that MFG of £1.582m would be funded from a clawback of gains from those schools receiving a financial gain under the new funding reforms. As the reason for a financial gain arising in 2014/15 compared to funding received in 2013/14 will no longer be as a direct result of the funding reforms but could be as a result of a number of changes within the school population or demography this issue was discussed in detail at HTCF-BWG. A number of options were considered resulting in a recommendation from HTCF-BWG to fund 50% of the MFG in 2014/15 from a claw-back of gains and 50% from all schools. (Paragraphs 69 -73 and Appendix 2 refer)
  - **De-delegation of funding for services which were funded centrally until April 2013.**  
A decision was taken by Schools Forum in October 2012 for de-delegation of funding for 2013/14. Schools Forum will again be required to make a decision at the meeting on 24 October regarding de-delegation of funding for 2014/15. (Table 4 and paragraphs 76 - 85 of the consultation document refer).  
HTCF-BWG recommended that the items proposed for de-delegation as detailed in Table 4 be accepted for 2014/15 with the exception of the Library service, where the secondary sector are not in favour of de-delegation.
8. A full copy of the local consultation document is attached at Appendix A and detailed financial models demonstrating the financial impact on individual schools are attached at Appendices 1 to 3.



9. The consultation document was published on 2 September and consultation closes on 11 October 2013. The outcomes from the consultation will be reported to Forum at the meeting on 24 October 2013.

### **Finance**

10. The funding of schools is prescribed by the Department for Education (DfE) through the School Finance and Early Years (England) Regulations 2012.
11. Schools Forums are regulated by the Schools Forums (England) Regulations 2012.
12. From 1 April 2006, the Schools Budget has been funded by a direct grant: Dedicated School Grant (DSG).

### **Law**

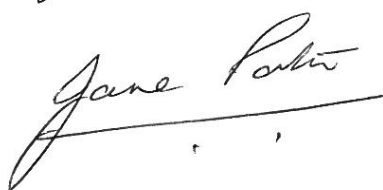
13. Councils' LMS Schemes are made under Section 48 of the School Standards and Framework Act 1998. The Education Acts 1996 and 2002 also have provisions relating to school funding.

### **Equality Impact**

14. The Council's Equal Opportunities Policy is taken into account when considering the allocation of resources.

### **Recommendation**

15. Schools Forum to note the Consultation on School Funding arrangements for 2014-15 and to inform the Director of Children's Services of their views.

A handwritten signature in black ink that reads "Jane Porter". The signature is written in a cursive style and is positioned above a horizontal line.

**Jane Porter**  
**Director of Children's Services**

Contact Officer: Karen Cocker, Children's Services Finance Manager  
[Karen.cocker@dudley.gov.uk](mailto:Karen.cocker@dudley.gov.uk) Tel: 01384 815382



**Directorate of Children's Services**

# **Consultation on School Funding Reforms: Funding Arrangements and Changes for 2014-15**

*'Putting children and young people first in Dudley'*

***Monday 2 September 2013***

**Jane Porter**  
**Director of Children's Services**

Dudley Metropolitan Borough Council  
Directorate of Children's Services  
Westox House  
1 Trinity Road  
Dudley  
West Midlands DY1 1JQ

**Consultation Document**

**Consultation on:** School Funding Reforms

**Summary:** To inform and consult on phase two of the Department for Education's national school funding reforms which are to be effective from 1 April 2014. In particular, this consultation sets out proposed changes in the Council's arrangements for the determination of mainstream school budgets via the Dudley school funding resource allocation formula and proposed actions related to the setting of school budgets in 2014/15. This consultation is required by regulations made under the education legislation relating to the operation of school budgets by local authorities and covers maintained mainstream schools and Academy schools.

**Deadline:** *All responses must be received **by 11 October 2013***

**Public Access** Consultations, plans and policies will be published as follows:

Public Libraries  
Dudley MBC website [www.dudley.gov.uk](http://www.dudley.gov.uk)  
Westox House

**Responses to:** CONSULTATION RESPONSES  
Executive Support Team  
Directorate of Children's Services  
Westox House  
1 Trinity Road  
Dudley DY1 1JQ

[director.children@dudley.gov.uk](mailto:director.children@dudley.gov.uk)

*Your responses will be co-ordinated by this team.*

*Any responses will be provided by the appropriate responsible officer for this consultation.*

All responses may be published. A **large print version**, and translation into other languages is available on request to the above address.

Jane Porter  
Director of Children's Services

**Consultees:**

Chairs of Governors (LA maintained schools)	Headteachers (LA maintained schools)
Chairs of Governors (Academies)	Headteachers (Academies)
Councillors	Roman Catholic Diocesan Schools Commission
Private, Voluntary, and Independent Providers of Early Years Education	Unions and Professional Associations
Members of Parliament	Worcester Diocesan Board of Education

## Glossary of Abbreviations

<b>ASCL</b>	<b>Association of School &amp; College Leaders</b>
<b>ATL</b>	<b>Association of Teachers and Lecturers</b>
<b>DfE</b>	<b>Department for Education</b>
<b>DSG</b>	<b>Dedicated Schools Grant</b>
<b>DSLTT</b>	<b>Directorate Strategic Leadership Team</b>
<b>EAL</b>	<b>English as an Additional Language</b> (funding for the first 3 years within the state school system.)
<b>EFA</b>	<b>Education Funding Agency</b>
<b>EYFSP</b>	<b>Early Years Foundation Stage Profiles</b>
<b>EYSFF</b>	<b>Early Year's Single Funding Formula</b>
<b>FSM</b>	<b>Free School Meals</b>
<b>FTE</b>	<b>Full Time Equivalent</b>
<b>GMB</b>	<b>GMB General Union</b>
<b>HNB</b>	<b>High Needs Block</b>
<b>HOS</b>	<b>Head of Service</b>
<b>HTCF – BWG</b>	<b>Headteachers Consultative Forum- Budget Working Group</b>
<b>IDACI</b>	<b>Income Deprivation Affecting Children Index</b> (an index of deprivation used in the United Kingdom. The index is calculated by the Office of the Deputy Prime Minister and measures in a local area the proportion of children under the age of 16 that live in low income households. The local areas for which the index is calculated are super output areas. It is supplementary to the Indices of Multiple Deprivation and is used for calculation of the contextual value added score, measuring children's educational progress.)
<b>IMD</b>	<b>Index of Multiple Deprivation</b>
<b>LA</b>	<b>Local Authority</b>
<b>LACES</b>	<b>Looked After Children Education Service</b>
<b>MFG</b>	<b>Minimum Funding Guarantee</b>
<b>NAHT</b>	<b>National Association of Headteachers</b>
<b>NASUWT</b>	<b>National Association of Schoolmasters Union of Women Teachers</b>
<b>NQT</b>	<b>Newly Qualified Teachers</b>
<b>NUT</b>	<b>National Union of Teachers</b>
<b>PFI</b>	<b>Private Finance Initiative</b>
<b>RSC</b>	<b>Regional Staff College</b>
<b>SEN</b>	<b>Special Education Needs</b>

## **Consultation on Dudley's School Funding Reforms: Funding Arrangements and Changes for 2014-15**

### **Consultation on School Funding Reforms**

1. The proposed changes contained within this consultation document relate to Local Authority (LA) maintained mainstream schools and Academies.
2. Whilst the L.A. is responsible for funding local maintained schools and the Education Funding Agency (EFA) is responsible for funding Academies, the local formula funding methodology used by Dudley is to be replicated for both sectors of maintained mainstream schools and Academy schools.

### **Purpose of the School Funding Reform Consultation**

3. The Department for Education (DfE) commenced a process in 2012 to reform the school funding system, so that it is fairer, more consistent and transparent and so that funding intended for education reaches schools and the pupils that need it most. These reforms which were implemented for the 2013/14 financial year set out how the system would start to change ahead of introducing a national funding formula in the next spending review period from 2015/16.
4. Local authorities, working with their Schools Forums, developed new local formulae for 2013/14, using simplified and consistent formula factors.
5. In February this year, the DfE undertook a short review to understand to what extent they needed to make small changes in 2014/15 in order to move closer to a national funding formula for maintained mainstream schools and Academies. The DfE also wanted to understand whether any unintended consequences had arisen as a result of the arrangements for 2013/14.
6. Most of the arrangements the DfE put in place for 2013/14 will remain in place for 2014/15. However there will be a number of changes which will move the DfE closer to a national funding formula and which will address the unintended consequences which arose as a result of the 2013/14 reforms.
7. On the 5 June 2013 the DfE published a document School Funding Reforms: Findings from the Review 2013/14 and Arrangements and Changes for 2014/15, which included the findings from the Spring term review with the resulting proposals to be implemented from 2014/15.
8. These changes will require all Schools Forums and local authorities to undertake a further review and to consider again how far the local approach is moving towards a pupil-led formula.
9. Taking into consideration the changes set out in their document, local authorities, working with their Schools Forum are now required to develop their local formula using the two mandatory factors and the optional factors which will be in place in 2014/15, selecting if appropriate the new optional sparsity factor.

10. Therefore this local consultation on behalf of Dudley Council addresses those areas of the Mainstream School's funding formula which this Local Authority is proposing to amend from 2014/15, either because of the DfE changes published in their document dated 5 June 2013 or because local decisions made for 2013/14 were agreed by Dudley Schools and Schools Forum on a one year only basis.
11. This consultation commences with a Summary of the Department for Education's (DfE) proposed changes impacting upon Dudley's mainstream schools and Academy schools. Table 1 summarises the DfE permissible formula funding factors and the national changes for 2014/15. The Dudley proposed changes for 2014/15 and an impact assessment for Dudley schools' funding arrangements are highlighted in Table 1 and we seek to consult on these changes.
12. The proposals included within this consultation have been discussed by Headteachers Consultative Forum-Budget Working Group (HTCF-BWG) and their recommendations are included under the relevant headings.
13. The DfE will be making the necessary regulations that will give effect to their school funding changes in 2014/15. Draft finance regulations and Dedicated Schools Grant (DSG) conditions of grant were published for consultation on 1 August 2013 with a closing date of 11 October 2013.
14. The full DfE publication can be found at:  
<https://www.gov.uk/government/consultations/school-and-early-years-finance-regulations-2013>.

## Appendix A

### Summary of the Department for Education (DfE) Proposed Changes Impacting Upon Dudley Local Authority

15. Table 1 summarises the DfE permissible formula funding factors for 2013/14 and 2014/15 and highlights those where Dudley is proposing to make changes for 2014/15 and therefore seeks to consult on these changes.

**Table 1 - Formula Factors for 2013/14 and 2014/15**

	<b>Mandatory Discretionary Factor</b>	<b>Description of Factor</b>	<b>Detail Relating to Factor 2013/14</b>	<b>Detail Relating to Factor 2014/15</b>	<b>2013/14 Used by Dudley</b>	<b>2014/15 Proposal to be used by Dudley</b>	<b>Dudley Changes Proposed For 2014/15</b>
<b>1</b>	M	Basic per-pupil entitlement	A single unit for primary aged pupils and a single unit for Key Stage 3 and Key Stage 4.	None – as 2013/14	Y	Y	N
<b>2</b>	M	Deprivation	Measured by Free School Meals (FSM), FSM Ever 6 and/or Income Deprivation Affecting Children Index (IDACI). There can be separate unit values for primary and secondary.	None - as 2013/14	Y	Y	Review Budget Allocated
<b>3</b>	D	Looked After Children	Use one of three measures, identifying children who have been looked after for one day or more, six months or	To use a single one day or more measure for both primary and secondary.	N	N	N



			more or 12 months or more.				
4	D	Prior attainment as a proxy measure for SEN	EYFSP scores below 78 and KS2 below level 4 in English and Maths Notional SEN budgets can still also include funding allocated through other factors such as pupil numbers and deprivation.	EYFSP under new Profile from Summer 2013 retain scores below 78 for earlier assessments. KS2 below Level 4 in English <u>or</u> Maths	Y	Y	N
5	D	English as an Additional Language (EAL).	For a maximum of 3 years after the pupil enters the statutory age school system. There can be separate unit values for primary and secondary	None - as 2013/14.	Y	Y	N
6	D	Pupil mobility	To support schools with high levels of pupil mobility which incur greater costs as a result	A 10% threshold will be applied to the mobility factor, so that it will only support schools which experience a significant change in their pupil numbers	N	N	N
7	D	A standard lump sum for each school	With an upper limit of £200,000. For 2013/14 Dudley allocated £130,000 to both sectors.	An upper limit of £175,000. The lump sum may be differentiated by phase for 2014-15, provided that for each phase the lump sum level does not exceed the £175,000 cap.	Y	Y	Y

8	D	Split sites	The allocations must be based on objective criteria, both for the definition of a split site and for how much is allocated. Where existing factors have been used for some years and the rationale is unclear, these should be reviewed	None.	N	N	N
9	D	Rates	Must be at actual cost but budget can be retained centrally outside of the delegated budget	None - as 2013/14	Y	Y	N
10	D	Private Finance Initiative (PFI) contracts	As currently permitted	None - as 2013/14	Y	Y	N
11	D	London Fringe area uplift	For the 5 local authorities, (Buckinghamshire, Essex, Hertfordshire, Kent and West Sussex) who have some but not all of their schools within the London fringe area, an uplift to enable higher teacher pay scales in those schools to be reflected	None - as 2013/14	N	N	N

12	D	Post 16 per pupil factor	A per-pupil factor which continues funding for post-16 pupils up to the level that the authority provided in 2012/13, either through directly allocating per pupil funding, or indirectly through premises and other factors	None - as 2013/14	Y	Y	N
13	D	Exceptional circumstances factor	Application can be made to the EFA for exceptional circumstances relating to premises such as listed buildings where applications; a) apply to less than 5% of schools in the L.A and, b) account for more than 1% of the budget of the schools affected	None - as 2013/14	N	N	N
14	D	Sparsity factor	N/A 2013/14	New – DfE has introduced a sparsity factor which measures the distance pupils live from their second nearest school. DfE will identify schools that are eligible. No Dudley schools are eligible for 2014/15.	N/A	N	N

**The DfE changes for 2014/15 are detailed below together with Dudley's proposed changes and an impact assessment for Dudley schools funding arrangements**

**Pupil-led Funding**

16. All local authority areas must have a minimum of 80% of delegated schools block funding allocated through an appropriate and locally determined combination of the pupil-led factors.
17. For 2013/14 Dudley allocated 91.6% of the schools block funding based on pupil led factors, therefore this will have no impact on 2014/15.
18. All local authorities will be required to set a basic per-pupil rate which is at least £2,000 for primary and at least £3,000 for KS3 and KS4.
19. For 2013/14 Dudley allocated £3,126 per pupil to primary and £4,454 per pupil to secondary, therefore this will have no impact on 2014/15.

**Prior Attainment**

20. Primary - The previous Early Years Foundation Stage Profile (EYFSP) came to an end last year and a new framework has been introduced, the first assessments using the new profile will take place in the summer of 2013. This will include all those who have not achieved the expected level of development in all 12 prime areas of learning as well as maths and literacy. The EYFSP will be retained as the indicator for prior attainment for primary aged pupils for 2014/15. For pupils assessed using the old Profile, funding will be targeted to all pupils achieving fewer than 78 points on the EYFSP, for pupils assessed under the new Profile funding will be targeted at pupils who did not achieve the expected level of development.
21. Secondary – Pupils who achieved Level 3 or below in KS2 will continue to be used as the indicator for funding for prior attainment for secondary aged pupils in 2014/15. However in 2013/14 the DfE prescribed that only pupils who achieved Level 3 or below in English *and* maths were eligible for funding, this has been revised for 2014/15 to include pupils who achieve Level 3 or below in English *or* maths for 2014/15. The DfE expect such a change to mean that this revised measure would identify around 21% of pupils which effectively allocates funds to significantly more pupils at secondary school.

**Impact on Dudley Schools**

22. For Dudley the 2014/15 actual funding impact will be modelled when the DfE data set is made available in December 2013 based on the the Autumn 2013 school census. However, the DfE revised methodology, which will now fund secondary schools pupils achieving level 3 and below in KS2 English or maths (change from English and maths in 2013/14) means that funding will be allocated to more pupils; 4,786 pupils compared to 1,830 previously. It is therefore proposed that the prior attainment funding for the secondary sector is ring fenced in order to minimise

additional financial turbulence to schools.

### Financial Modelling

23. In order to demonstrate the potential financial impact of this change for secondary schools in Dudley, the revised methodology has been applied to pupil data at October 2012 and a comparison made to show the revised funding for individual schools that would have been received in 2013/14 compared to actual funding received.
24. This is attached at Appendix 1 for information

### Looked After Children

25. Currently local authorities can use one of three measures to allocate funding through this factor, identifying children who have been looked after for one day or more, six months or more, or 12 months or more. In 2013/14 most authorities selected the one day or more measure.
26. In 2014/15 the DfE will require local authorities which use the looked after children factor, to use a single one day or more measure for both primary and secondary sectors.

### Impact on Dudley Schools

27. For 2013/14 Dudley did not use this formula factor. There is no proposal to introduce this formula for 2014/15 therefore there is no impact. However this is an area that will be reviewed for Dudley schools during 2014/15 and any proposals for change in 2015/16 will be consulted upon in due course.

### Pupil Mobility

28. In 2013/14 the DfE introduced an optional factor for pupil mobility in order to support schools with high levels of pupil mobility which incur greater costs as a result. This factor was used by 58 local authorities in 2013/14.
29. Since the 2013/14 arrangements were announced, the DfE have been informed of some concerns that the current factor does not allow local authorities to target funding to schools with high volumes of mobile pupils.
30. Starting in 2014/15, a 10% threshold will be applied to the mobility factor, so that it will only support schools which experience a significant change in their pupil numbers.

### Impact on Dudley Schools

31. For 2013/14 this factor was not used by Dudley, there is no proposal to change this for 2014/15. However this area will be subject to a review during 2014/15 for Dudley schools and any proposals for change in 2015/16 will be consulted upon in due course.

## **Sparsity**

32. Since announcing the changes for 2013/14, the DfE are aware that the funding reforms and particularly the lump sum arrangements are causing concerns in some rural areas. They were keen to explore the issues for small rural schools in detail during the review and have now developed a sparsity factor which measures the distance that pupils live from their second nearest school.
33. This is based on the DfE prescribed model for 2014/15 under which a school may attract sparsity funding if it is:
- a) A primary school that has fewer than 150 pupils and an average distance greater than or equal to 2 miles.
  - b) A secondary, middle or all through school and has fewer than 600 pupils and an average distance greater than or equal to 3 miles

### **Impact on Dudley Schools**

34. For 2013/14 this factor was not available. For 2014/15 the DfE have identified that no Dudley schools currently meet this criteria.

## **Notional SEN**

35. For the introduction of the new high needs funding arrangements in 2013/14, the DfE strongly recommended that local authorities should delegate sufficient funding for schools to be able to pay for costs of additional support up to a threshold of £6,000. Therefore the School Finance Regulations will be amended for 2014/15 to make the £6,000 threshold a mandatory requirement.

### **Impact on Dudley Schools**

36. For 2013/14 Dudley adopted the DfE recommended £6,000 value as notional SEN. This will have no impact on 2014/15.

## **Schools with Falling Rolls**

37. The DfE note that in fulfilling their place planning function, local authorities may find that some schools in their area are no longer required, but in some cases, they will identify that the number of places required will increase in the near future and may therefore wish to ensure that required schools remain open and viable in the short term.
38. The DfE have recognised that a pupil-led system can cause difficulties in such circumstances and that head teachers will want to avoid the need to make expensive redundancies, only to need to recruit again in the near future. Therefore to ensure that good schools with short term falling rolls receive sufficient funding to deliver an appropriate curriculum and to avoid the need to take costly steps to reduce their capacity, when the demographic data shows that their capacity will need to expand again in the near future, they will enable local authorities to use

top-sliced Dedicated Schools Grant funding to create a small fund to support schools with falling rolls in exceptional circumstances.

39. The DfE expect that the use of this fund for schools with falling rolls is to be considered at planning area level and Schools Forums will assess applications. As with the basic need growth fund, the criteria and amount must be agreed by the Schools Forum and applied fairly to academies and maintained schools. The DfE do not intend that this funding is provided to support schools which have falling rolls because they are unpopular or of low quality and therefore will ask local authorities to apply criteria which restricts use of the fund to schools that are judged by Ofsted to be “good” or “outstanding”.

#### Impact on Dudley Schools

40. For 2013/14 this contingency funding approval was not available. For 2014/15 the requirement for a budget would need to be approved by Schools Forum during the Dedicated Schools Grant budget process for 2014/15.

#### Deprivation

41. The DfE consultation evidence has suggested that the proportion or quantum of funding for deprivation was determined based on historic approaches or a combination of the historic approach and an approach which minimised turbulence. This included using previously developed models and analysis and mapping old formula factors to the new allowable factors. Most felt a status-quo approach was taken because existing arrangements worked and were widely accepted as appropriate and fair. A small number of responses suggested there was a need to review the proportion of funding allocated for deprivation in their area and do more analysis but timing had not permitted this.
42. There were a small number of responses where the respondents stated that the local authority or Schools Forum were developing a new approach, considering afresh the deprivation distribution and needs in the local authority and redeveloping the evidence base.
43. During the review, the DfE also wanted to understand more about why some local authorities were unable to use the allowable deprivation indicators to prevent significant losses to schools with a high number of deprived pupils.
44. The DfE received a range of responses in relation to this question. A small majority (56%) of those responding did state that in their area there had been difficulties in preventing significant losses to schools with a high number of deprived pupils. Of those that stated this was the case, the majority of responses related to issues in using the allowable measures (free school meals, ever FSM and Income Deprivation Affecting Children Index) and included:
- a). not being able to use measures such as Index of Multiple Deprivation factors (IMD) or other place-based deprivation measures which had historically been used and which were considered to better identify where there are small pockets of deprivation in rural areas;

b). problems in applying the national bandings for IDACI and the limiting of bands to 1-6; *and*

c). schools which had received high levels of funding for deprivation through historic grants failing to recoup this funding through either an FSM measure (because of low take up) or IDACI (because of spatial masking of small pockets of deprivation) or a combination of both.

45. For 2014/15 the DfE are very keen that all local authorities continue to provide additional funding to schools with deprived pupils. They do not feel it is appropriate for local authorities to allocate funding for deprivation as a balancing figure or in order to minimise turbulence.

46. However, because of the variation in levels of deprivation across the country, the DfE feel that it would not be sensible to prescribe a minimum proportion of funding which should be allocated through the deprivation factor.

47. With a move towards a national funding formula the DfE have stated that an introduction of new measures for deprivation could be counter-productive and lead to greater turbulence in the future. So they are not therefore changing the allowable indicators for use with this measure in 2014/15. but they **are continuing to ask that Schools Forums and local authorities determine locally an appropriate proportion or quantum of their schools block funding to allocate through this factor.**

#### Impact on Dudley Schools

48. For 2013/14 Dudley allocated £7.466m to schools for deprivation based on the IDACI data made available by the DfE following consultation with Dudley schools. However some schools which had previously received high levels of funding for deprivation through historic grants funding were adversely affected by this change. For 2014/15 it is proposed to continue to allocate funding based on the methodology used in 2013/14 however both the quantum of funding and the methodology used to allocate this funding will be subject to a review during 2014/15.

49. **Question 1: Do you agree that both the quantum and methodology of allocating funding for deprivation should be reviewed during 2014/15?**

#### Lump Sum

50. In 2013/14 local authorities were able to provide a single optional lump sum to all schools up to a maximum of £200,000.

51. Analysis of the 2013/14 pro forma returns shows that there were 32 authorities which allocated a lump sum above £150,000. The vast majority of these authorities were urban authorities.



52. For 2014/15 the DfE state that reducing the size of the lump sum supports their aim of moving towards a more pupil-led funding system, but they do want to ensure that small rural schools have sufficient funding to remain viable. It is clear from responses to the review that very few schools and local authorities believe that a lump sum over £200,000 is necessary. So they have taken the decision that in 2014/15 the maximum lump sum will be £175,000.
53. The DfE aim is to put more money through the pupil-led factors so that funding genuinely follows pupils. Now that there is a sparsity factor which will enable local authorities to target small rural schools, they believe that there is a strong case for lowering the lump sum cap.
54. The DfE are keen to provide additional flexibility to local authorities to make the right arrangements in their local area, so they will enable local authorities to differentiate the lump sum by phase for 2014/15, provided that for each phase the lump sum level does not exceed the £175,000 cap. With this change, they will enable local authorities to set a lump sum value for middle schools based on a weighted average between the primary and secondary value.

Impact on Dudley Schools

55. For 2013/14 Dudley agreed the lump sum at £130,000 would be reviewed for 2014/15. As part of the consultation for 2013/14 most secondary schools were in favour of a lower lump sum whilst primary schools were in favour of the £130,000 lump sum.
56. Therefore for 2014/15 the new DfE criteria for this factor will allow for the lump sum to vary between sectors. Due to impact of increasing the value of the lump sum effectively diverting funding from the pupil led funding there is no intention to increase the value of the lump sum for Dudley schools in 2014/15.
57. Following discussion at HTCF- BWG two options for Dudley were proposed and are shown in Table 2.

Table 2 – Lump Sum Formula Factor Options

		<b>LUMP SUM OPTIONS FOR 2014/15</b>	
	<b>2013/14 Value</b>	<b>2014/15 Option 1</b>	<b>2014/15 Option 2</b>
<b>Primary Sector</b>	£130,000	£130,000	£130,000
<b>Secondary Sector</b>	£130,000	£130,000	£100,000 + £30,000 redirected to secondary sector per pupil funding

## Financial Modelling

58. The financial impact of each option for Dudley schools is attached at Appendix 2 for information.

59. **Question 2:**

a) **Do you prefer Option 1 or Option 2?**

**Option 1 – Lump Sum of £130,000 for all sectors; or**

**Option 2 - Lump Sum of £130,000 for primary and a reduction in the lump sum for secondary schools to £100,000 with re-allocation of the £30,000 funding released back to secondary schools in the basic per pupil funding for KS3 and KS4 pupils.**

## Recommendations from Headteachers Consultative Forum- Budget Working Group

60. HTCF-BWG recommendation is that the Primary sector lump sum remains at £130,000 and views are sought regarding the options for the Secondary sector.

## **Notional SEN Additional Funding from HNB**

61. In 2013-14 the DfE allowed local authorities the flexibility to use their high needs budget to make additional allocations outside the formula to mainstream schools and academies that have a disproportionate population of pupils with high needs. The DfE have stipulated that authorities should develop clear criteria for such allocations to their schools and academies, and that they should be applied equally to maintained schools and academies.

62. Although a majority of those responding to the DfE consultation thought that it was important to include a factor in the formula to reflect the incidence of high needs in a school, the DfE have concluded that more time is needed to consider how such a factor would work. In particular, they would not want a high needs factor to create a perverse financial incentive for schools to identify high needs pupils, when the costs of their additional support can be met from their budget. So for 2014/15 they will not be introducing a new high needs formula factor but will continue to consider the case for this in the future. Local authorities will continue to be able to target funds from their high needs budget, in cases where the notional SEN budget produced by the formula is comparatively low.

63. The DfE operational guidance specifies that the data used for this targeted funding in 2014/15 should primarily be the data available locally on pupils for whom the school receives top-up funding in October 2013, that the distribution criteria should be decided in advance on the basis of local authorities' experience in 2013/14, and expressed as a formula that minimises the perverse incentives, and that they will collect the information about the formula to be used as part of the pro forma return from each local authority.

64. For 2013/14 the assessment was based on a calculation taking account of the change in the way in which funding for High Needs pupils was allocated. This was based on funding received previously in 2012/13 for Notional SEN in addition to up

£6,000 per pupil with a statement of SEN. This was then compared to the actual Notional SEN funding received in 2013/14, where the funding for 2013/14 was less than the adjusted figure for 2012/13 then additional financial support would be made available. This showed that additional funding was required for only one school. As this method was based on actual funding received for 2012/13 it was appropriate for use in 2013/14 however an alternative method of calculation would be required for 2014/15 onwards.

65. For 2014/15 it is proposed that the notional SEN figure is calculated as shown in Table 3 below, where funding available (A) is less than funding required (B) then any shortfall will be in funding will be made available to that school

Table 3 - Notional SEN – Additional Allocation

<u>Funding available for High Needs pupils up to the first £6,000 of cost</u>	<u>Funding required for High Needs pupils *</u>
(A)	(B)
<ul style="list-style-type: none"> <li>Value of Notional SEN for school (excluding Prior attainment funding)</li> </ul>	£6,000 less £988 already received per pupil for prior attainment = £5,012 per High Needs pupil x No. of High Needs pupils in school.

\*(nb: assumes all High Needs pupils require the maximum contribution of £6,000)

#### Impact on Dudley Schools

66. The impact for Dudley schools based on the re-modelling of 2013/14 data is that additional funding of approximately £36,000 in total would be required by five schools.

#### Financial Modelling

67. To demonstrate this, a financial model based on 2013/14 data is attached at Appendix 3 for information.
68. **Question 3: Do you agree with the above methodology for 2014/15 in order to identify where additional funding is required by schools that receive insufficient funding to cover the first £6,000 of costs for High Needs pupils?**

#### Minimum Funding Guarantee

69. The DfE have stated that in the move towards a national funding formula they want to protect the per pupil funding for schools from one year to the next against significant changes in funding formulae or changes in data not directly related to pupil numbers. They intend to continue in 2014/15 to operate a MFG set at the same level as for 2013/14 - minus 1.5%. The exclusions from the calculation of the MFG will be as 2013/14:
- lump sum;
  - post-16 funding;
  - allocations from the High Needs Block, including those for named pupils with SEN;

- d). allocations made through the early years single funding formula,
- e). rates and;
- f) 2014-15 sparsity value

70. For 2013/14 Dudley's MFG was £1.582m. It was agreed that this would be fully funded in 2013/14 by a claw-back from schools receiving a financial gain compared to 2012/13, however this would be reviewed for 2014/15. This means that unlike in previous years where all schools contributed towards the funding of the MFG, in 2013/14 due to the significant gains and losses experienced by some schools as a result of implementation of the reforms to school funding, only those schools that received a cash gain at a per pupil level contributed towards the funding of the MFG, effectively all gains were scaled back by approximately 43%.
71. As the reason for a financial gain arising in 2014/15 compared to funding received in 2013/14 will no longer be as a direct result of the funding reforms but could be as a result of a number of changes within the school population or demography this issue was discussed in detail at HTCF-BWG. A number of options were considered regarding funding of the MFG ranging from 100% of MFG to be funded by a claw-back of gains to no claw-back of gains and 100% of MFG to be funded by all schools.

#### Impact on Dudley Schools

72. This will redirect approximately £750,000 of funding to those schools who were entitled to additional funding under the new school funding framework in 2013/14.

#### Financial Modelling

73. The financial models which are attached at Appendix 2 include the claw-back of gains to fund 50% of the MFG, and also consider the impact of changing the value of the Lump Sum for 2014/15.
74. **Question 4: Do you agree with the proposal alongside the recommendation from HTCF-BWG to fund 50% of the MFG in 2014/15 from a claw-back of gains and 50% from all schools?**

#### Recommendations from Headteachers Consultative Forum- Budget Working Group

75. HTCF-BWG recommended that for 2014/15, 50% of the cost of MFG be funded by a claw-back of gains and 50% of the cost be funded by all schools in order that those schools that are due to receive additional funding under the school funding reforms be allowed to progress towards doing so. It was also proposed that for 2015/16 further options be considered which further reduce the amount of MFG which is funded by a claw-back of gains.

## De- Delegations

76. As part of the 2013/14 school funding reforms the DfE prescribed which services were to be delegated to schools from 2013/14. There are no planned changes for 2014/15.
77. However, recognising that authorities had centrally retained these services for the greater good of all schools, the DfE allowed any of these delegated services to be 'de-delegated' where maintained mainstream schools agree that a service should be provided centrally on the grounds of economies of scale or pooled risk.
78. De-delegation is only available to maintained mainstream schools. Academy schools will have their funding delegated automatically and can buy back the services of the local authority independently outside of the de-delegation arrangements for maintained schools.
79. The services detailed in Table 4 and their funding was delegated to schools in 2013/14. All services, except support for Minority Ethnic pupils, were allocated on a primary/secondary weighted per pupil funded basis. Ethnic Minority funding was based on EAL pupil data provided by the DfE.
80. It is the responsibility of Schools Forum, in a phase of primary or secondary school members, to collectively agree if any of these services are to be provided centrally and the funding 'de-delegated'. Thus mainstream maintained schools agreement is to return their funding to the local authority on an annual basis.
81. The final delegated budget available to each school will then exclude these amounts and the services would be administered centrally through the Director of Children's Services.
82. Schools Forum agreement to the 2013/14 de-delegations totalling £1.2m was for a single year only. Therefore it is a requirement of the DfE that Schools Forum consider these de-delegated services again for 2014/15.
83. This consultation invites responses to indicate whether each service budget detailed in Table 4 should be de-delegated in 2014/15. Alternatively the funding will remain with school within their delegated budget for allocation as required.
84. **Question 5: Do you have any comments regarding the de-delegation of funding for the services listed in Table 4?**

### Recommendations from Headteachers Consultative Forum- Budget Working Group

85. Recommended that the items proposed for de-delegation as detailed in Table 4 be accepted for 2014/15 with the exception of the Library service, where the secondary sector are not in favour.

**Appendix A**

**Table 4 - Delegated and De-delegated Services for 2013/14**

<b>De-delegation for mainstream maintained schools for:</b>	<b>Value for 2013/14</b>	<b>UOR Prim</b>	<b>UOR Sec</b>	<b>Comments</b>	<b>Financial Details</b>
Contingencies	£245,963	£5.71	£8.14	This “expenditure on the schools specific contingency” is central expenditure deducted for the purpose of ensuring that monies are available to enable increases in a school’s budget share after it has been allocated where it subsequently becomes apparent that a governing body has incurred expenditure which it would be unreasonable to expect them to meet from the school’s budget share	£145,709 closed schools contingency £41,004 Assigned rents £59,250 contingency general
Staff costs - supply cover – Union Facilities time	£226,457	£5.26	£7.50	Expenditure in making payments to, or in providing a temporary replacement - taking part in trade union activities	NASUWT £83,368 NUT £53,708 ASCL £14,889 ATL £24,734 NAHT £14,315 GMB £19,867 Teacher Union Representative £15,576
Staff costs - supply cover – NQT	£305,927	£7.11	£10.13	Expenditure in making payments to, or in providing a temporary replacement	£16,900 Primary Pool contribution £26,000 for RSC training £263,027 Payment to schools with NQTs
Support for minority ethnic	£256,485	£134.85	£134.85	Expenditure for the purposes of improving the performance of under-performing pupils from	6.91 FTE: 1 HOS

pupils/underachieving groups. Family Support Workers				ethnic minority groups; and meeting the specific needs of bilingual pupils Funding allocated on EAL numbers.	4.91 Family Support Officers 1 Admin
LACES	£28,095	£0.65	£0.93	Cost of providing or purchasing specialist behaviour support services, both advisory and teaching	1 member of staff supporting schools
School Library Service	£219,483	£5.10	£7.26	Expenditure on services to schools provided by museums and galleries.	Library service to Primary schools  5.88 fte
<b>Total De-delegations</b>	<b>£1,282,410</b>				
Licences/subscriptions	74,898			Copyright Licensing Agency & Music Publishers Association. New December 2012 introduced by the DfE. Expenditure on licence fees or subscriptions paid on behalf of schools.	<b>Mandatory Centrally retained per DfE</b>

**Outcomes of the Consultation**

- Consultation will close 11 October 2013.
- Provisional outcomes will be reported to Headteachers Consultative Forum - Budget Working Group (HTCF-BWG) 16 October 2013 and to Schools Forum on 24 October 2013.
- The Director of Children's Services will formalise decisions at Directorate Strategic Leadership Team (DSLTL) on or before 29 October 2013.
- Final outcomes will be reported on the pro forma to the DfE which is due by 31 October 2013.



**Consultation on School Funding Reform**

**Please return this form to:**

**CONSULTATION RESPONSES**

**Westox House  
1 Trinity Road  
Dudley  
West Midlands DY1 1JQ**

**Email:** [director.children@dudley.gov.uk](mailto:director.children@dudley.gov.uk)

**Fax:** 01384 814202

**Name:** ..... **Organisation:** .....

If you wish to receive an acknowledgement of receipt for your response please provide an email or postal address:

**Contact address** .....  
.....  
.....

**Question 1 – Do you agree that both the quantum and methodology of allocating funding for deprivation should be reviewed during 2014/15? (paragraph 48 refers)**

**Yes**                       **No**                       **No opinion**

**Comments**

**Question 2 – Do you think that values of the Lump Sum allocated to schools in 2014/15 should be as Option 1 or Option 2 (paragraph 57 Table 2 refers)**

Option 1

Option 2

No Opinion

**Comments**

**Question 3 – Do you agree with the methodology outlined in Table 3 (paragraph 65) for 2014/15 in order to identify where additional funding is required by schools to cover the first £6,000 of costs for High Needs pupils?**

Yes

No

No opinion

**Comments**

**Question 4 – Do you agree with the proposal alongside the recommendation from HTCF-BWG to fund 50% of the MFG in 2014/15 from a claw-back of gains and 50% from all schools? (paragraphs 69 - 73 refer)**

Yes

No

**Comments**

**Additional Comments:**

**Question 5 – Do you have any comments regarding the de-delegation of funding for the services listed in Table 4 ? (paragraphs 76 – 85 refer)**

Yes

No

**Comments**

**Additional Comments:**

## Customer Service feedback on the consultation for School Funding Reforms

We are grateful to your comments on the consultation.

To help us ensure that our community engagement and consultation process is working correctly and effectively we would be grateful if you would respond to the questions below and return with your consultation response.

Thank you for your help.

1. Did you find the information about community engagement easy to understand?

Yes		No	
-----	--	----	--

2. Was the consultation document and any appendices easy to understand?

Yes		No	
-----	--	----	--

3. If applicable, were the staff who dealt with your enquiry polite, friendly and helpful?

Yes		No	
-----	--	----	--

4. Were you satisfied with the overall process?

Yes		No	
-----	--	----	--

5. Do you have any further comments to make about the Council's Community Engagement and Consultation Process?

The information you give on this form will be used to enable Dudley Council to assess the impact of its policies on all sections of the community.

The details you provide will be treated confidentially and will be used to ensure that the views of a wide range of groups and individuals are included in the consultation process and given the opportunity to shape Council policies.

If you are completing this form on behalf of an organisation please try to answer the questions in general terms about the people your organisation represents.

**1. Please indicate whether you are responding as an individual or on behalf of an organisation:**

<input type="checkbox"/>	Individuals response
<input type="checkbox"/>	Organisations response

**2. I would describe my ethnic group, or the ethnic group/s represented by my organisation as:**

**ASIAN OR ASIAN BRITISH**

<input type="checkbox"/> Bangladeshi	<input type="checkbox"/> Indian	<input type="checkbox"/> Pakistani
<input type="checkbox"/> Any Other Asian background		

**BLACK OR BLACK BRITISH**

<input type="checkbox"/> African	<input type="checkbox"/> Caribbean	<input type="checkbox"/> Any Other Black Background
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**CHINESE OR OTHER**

<input type="checkbox"/> Chinese	<input type="checkbox"/> Other
----------------------------------	--------------------------------

**MIXED**

<input type="checkbox"/> Asian & White	<input type="checkbox"/> Black African & White	<input type="checkbox"/> Black Caribbean & White
<input type="checkbox"/> Any Other Mixed background		

**WHITE**

<input type="checkbox"/> British	<input type="checkbox"/> Irish	<input type="checkbox"/> Any Other White Background
----------------------------------	--------------------------------	---

**RANGE OF ETHNICITIES**

**3. I would describe my religion/belief or the religion/beliefs of the people represented by my organisation as:**

<input type="checkbox"/> Buddhist	<input type="checkbox"/> No Religion
<input type="checkbox"/> Christian	<input type="checkbox"/> Sikh
<input type="checkbox"/> Hindu	<input type="checkbox"/> Other
<input type="checkbox"/> Jewish	<input type="checkbox"/> A range of religions/beliefs
<input type="checkbox"/> Muslim	<input type="checkbox"/> Unknown

**4. My gender or the gender of the people my organisation represents is:**

Female                       Male

Mixed

**5. My age or the age range of the people my organisation represents is:**

<input type="checkbox"/>	Under 5 years old	<input type="checkbox"/>	26 – 35 years old
<input type="checkbox"/>	5 – 10 years old	<input type="checkbox"/>	36 – 45 years old
<input type="checkbox"/>	11 – 16 years old	<input type="checkbox"/>	46 – 55 years old
<input type="checkbox"/>	17 – 19 years old	<input type="checkbox"/>	Over 56 years old
<input type="checkbox"/>	20 – 25 years old	<input type="checkbox"/>	A range of ages

**6. I consider myself or many of the people my organisation represents to be:**

Disabled                       Not disabled

**Note:**

The Disability Discrimination Act, 1995 defines a “disabled person” as having “a physical or mental impairment which has a substantial or long term adverse effect on their ability to carry out normal day to day activities”.

**Thank you for completing this form.**

**Please return this form with your consultation response**

**PRIOR ATTAINMENT MODELLING SUMMARY**

Appendix 1

To show the impact on secondary schools of the revised KS2 SATS data

Secondary schools underattainment funding now targets pupils who achieved level 3 or below in *either* English *or* Maths *rather than* English *and* Maths at KS2 SATS

DFE No	SCHOOL	2013/14 ACTUAL DATA		2013/14 REVISED DATA - (Funding ringfenced by sector)		VARIANCE	
		UOR		UOR Primary		UOR Primary	
		988.23		988.23		0.00	
		DFE data (pupils)	Funding Received	DFE data	Funding Received	DFE data (pupils)	Funding Received
		£		£		£	
<b>PRIMARY SCHOOLS</b>							
5200	Alder Coppice	45.59	45,051	45.59	45,051	0.00	0
2123	Amblecote	64.60	63,836	64.60	63,836	0.00	0
2141	Ashwood Park	100.23	99,053	100.23	99,053	0.00	0
2151	Belle Vue	38.19	37,745	38.19	37,745	0.00	0
2077	Blanford Mere	28.42	28,085	28.42	28,085	0.00	0
2000	Blowers Green	71.84	70,997	71.84	70,997	0.00	0
2045	Bramford	121.96	120,523	121.96	120,523	0.00	0
2023	Brierley Hill	51.76	51,154	51.76	51,154	0.00	0
2024	Brockmoor	98.39	97,229	98.39	97,229	0.00	0
2132	Bromley - Pensnett	39.47	39,008	39.47	39,008	0.00	0
2142	Bromley Hills	111.22	109,915	111.22	109,915	0.00	0
2029	Brook	155.08	153,252	155.08	153,252	0.00	0
2112	Caslon	43.62	43,102	43.62	43,102	0.00	0
3010	Christ Church	244.51	241,629	244.51	241,629	0.00	0
3007	The Church of the Ascension	39.17	38,709	39.17	38,709	0.00	0
3359	C.E. St Edmund & St John	191.48	189,230	191.48	189,230	0.00	0
2102	Colley Lane	288.46	285,063	288.46	285,063	0.00	0
2130	Cotwall End	93.12	92,022	93.12	92,022	0.00	0
3350	Cradley C.E.	30.51	30,150	30.51	30,150	0.00	0
2072	Crestwood Park	27.64	27,311	27.64	27,311	0.00	0
2043	Dawley Brook	31.10	30,735	31.10	30,735	0.00	0
2152	Dingle Community Primary	24.60	24,310	24.60	24,310	0.00	0
2005	Dudley Wood	76.75	75,850	76.75	75,850	0.00	0
2063	Fairhaven	42.59	42,088	42.59	42,088	0.00	0
2068	Foxyards	91.05	89,978	91.05	89,978	0.00	0
2145	Gig Mill	77.41	76,502	77.41	76,502	0.00	0
2155	Glynne	83.44	82,463	83.44	82,463	0.00	0
2109	Greenfield	77.07	76,161	77.07	76,161	0.00	0
3352	Halesowen C.E.	56.67	56,000	56.67	56,000	0.00	0
2125	Ham Dingle	43.31	42,800	43.31	42,800	0.00	0
3052	Hasbury C.E.	46.57	46,026	46.57	46,026	0.00	0
2143	Hawbush	74.00	73,129	74.00	73,129	0.00	0
2140	Woodside	116.36	114,994	116.36	114,994	0.00	0
2156	Hob Green	80.10	79,160	80.10	79,160	0.00	0
2137	Howley Grange	40.99	40,505	40.99	40,505	0.00	0
2116	Huntingtree	76.08	75,180	76.08	75,180	0.00	0
2124	Hurst Green	47.68	47,120	47.68	47,120	0.00	0
2148	Hurst Hill	45.71	45,176	45.71	45,176	0.00	0
3306	Jessons C.E.	184.43	182,261	184.43	182,261	0.00	0
2010	Kate's Hill	167.88	165,901	167.88	165,901	0.00	0
2107	Lapal	69.15	68,339	69.15	68,339	0.00	0
2120	Lutley	35.00	34,588	35.00	34,588	0.00	0
2035	Maidensbridge	21.10	20,852	21.10	20,852	0.00	0
2139	Manor Way	48.03	47,464	48.03	47,464	0.00	0
2160	Milking Bank	70.42	69,591	70.42	69,591	0.00	0
2036	Mount Pleasant	78.39	77,472	78.39	77,472	0.00	0
2147	Netherbrook	131.01	129,471	131.01	129,471	0.00	0
3053	Netherton C.E.	113.33	111,996	113.33	111,996	0.00	0
2138	Newfield Park	58.80	58,108	58.80	58,108	0.00	0
2012	Northfield Road	103.14	101,928	103.14	101,928	0.00	0
3050	Oldswinford C.E.	64.15	63,399	64.15	63,399	0.00	0
2106	Olive Hill	93.79	92,688	93.79	92,688	0.00	0
3357	Our Lady & St Kenelm	19.72	19,492	19.72	19,492	0.00	0
3354	Pedmore C.E.	12.58	12,429	12.58	12,429	0.00	0
2075	Peter's Hill	53.56	52,931	53.56	52,931	0.00	0
2154	Priory	168.89	166,906	168.89	166,906	0.00	0
2153	Quarry Bank	91.16	90,082	91.16	90,082	0.00	0
2149	Queen Victoria	121.43	119,998	121.43	119,998	0.00	0
2052	Red Hall	64.51	63,749	64.51	63,749	0.00	0
2122	Ridge	8.64	8,542	8.64	8,542	0.00	0
2144	Roberts	175.95	173,875	175.95	173,875	0.00	0
2118	Rufford	55.49	54,834	55.49	54,834	0.00	0
2136	Russells Hall	78.72	77,789	78.72	77,789	0.00	0
2004	Sledmere	117.48	116,099	117.48	116,099	0.00	0
3304	St Chad's R.C.	23.07	22,801	23.07	22,801	0.00	0
3358	St James's C.E.	62.66	61,923	62.66	61,923	0.00	0
3302	St Joseph's (Dudley)	73.76	72,897	73.76	72,897	0.00	0
3355	St Joseph's (Stourbridge)	29.93	29,579	29.93	29,579	0.00	0
3008	St Mark's C.E.	80.68	79,727	80.68	79,727	0.00	0
3009	St Mary's C.E.	25.08	24,788	25.08	24,788	0.00	0
3303	St Mary's R.C.	24.97	24,679	24.97	24,679	0.00	0

**PRIOR ATTAINMENT MODELLING SUMMARY**

To show the impact on secondary schools of the revised KS2 SATS data

Secondary schools underattainment funding now targets pupils who achieved level 3 or below in *either* English *or* Maths *rather than* English *and* Maths at KS2 SATS

DFE No	SCHOOL	2013/14 ACTUAL DATA		2013/14 REVISED DATA - (Funding ringfenced by sector)		VARIANCE	
		UOR 988.23		UOR Primary 988.23	UOR Secondary 377.86	UOR Primary 0.00	UOR Secondary (610.37)
		DFE data (pupils)	Funding Received	DFE data	Funding Received	DFE data (pupils)	Funding Received
2150	Straits	70.36	69,533	70.36	69,533	0.00	0
2104	Tenterfields	40.76	40,277	40.76	40,277	0.00	0
2067	Thorns	73.77	72,902	73.77	72,902	0.00	0
2048	Wallbrook	115.14	113,788	115.14	113,788	0.00	0
2126	Withymoore	52.70	52,075	52.70	52,075	0.00	0
2111	Wollescote	133.70	132,127	133.70	132,127	0.00	0
2146	Wren's Nest	146.35	144,630	146.35	144,630	0.00	0
	<b>TOTAL PRIMARY</b>	<b>6176.45</b>	<b>6,103,750</b>	<b>6,176</b>	<b>6,103,750</b>	<b>0.00</b>	<b>0</b>
<b>SECONDARY SCHOOLS</b>							
4800	Bishop Milner	38.64	38,184	100.96	38,147	62.32	(38)
4612	Castle High	167.81	165,834	322.70	121,936	154.90	(43,898)
4027	Coseley	111.22	109,912	251.17	94,905	139.95	(15,007)
4025	Crestwood	106.89	105,633	254.32	96,097	147.43	(9,536)
4023	Dormston	59.97	59,264	231.28	87,389	171.31	28,125
4106	Earls High	63.13	62,385	243.99	92,193	180.86	29,808
5401	Ellowes Hall	101.84	100,640	267.81	101,192	165.97	552
4105	Pedmore T.C.C.	76.50	75,602	169.96	64,220	93.46	(11,382)
5403	High Arcal	147.23	145,495	379.18	143,275	231.95	(2,221)
4117	Hillcrest	161.60	159,700	370.65	140,052	209.05	(19,648)
5405	Holly Hall	86.44	85,423	214.62	81,095	128.18	(4,328)
5402	Kingswinford	65.83	65,056	203.86	77,030	138.03	11,974
4110	Leasowes High	134.05	132,468	315.53	119,226	181.49	(13,242)
5400	Oldswinford Hospital	2.10	2,076	16.81	6,351	14.71	4,275
4119	Redhill	79.76	78,819	191.82	72,479	112.06	(6,340)
4121	Ridgewood High	73.01	72,149	197.27	74,540	124.26	2,391
4020	Summerhill	48.14	47,576	178.00	67,259	129.86	19,683
4018	Thorns C.C.	121.62	120,191	309.36	116,893	187.74	(3,297)
5404	Windsor	106.57	105,311	344.22	130,066	237.66	24,755
4030	Wordsley	77.50	76,584	222.20	83,958	144.70	7,374
	<b>TOTAL SECONDARY</b>	<b>1829.84</b>	<b>1,808,303</b>	<b>4,786</b>	<b>1,808,303</b>	<b>2,955.85</b>	<b>(0)</b>
	<b>TOTAL PRIMARY &amp; SECONDARY</b>	<b>8006.29</b>	<b>7,912,054</b>	<b>10,962</b>	<b>7,912,054</b>	<b>2,955.85</b>	<b>(0)</b>

<b>PRIMARY</b>	<b>NO CHANGE TO DATA USED</b>	<b>-</b>
<b>SECONDARY</b>	<b>INCREASE IN PUPILS FUNDED</b>	<b>2,955.85</b>
<b>TOTAL</b>	<b>INCREASE IN PUPILS FUNDED</b>	<b>2,955.85</b>



CONSULTATION PROPOSALS FOR LUMP SUM AND FUNDING OF MFG

<b>Assumptions</b>
2013-14 funding represented
Pupil numbers as at Oct 12
MFG confirmed - 1.5% 2013/14
Lump Sum £130,000/£100,000

<b>Basic Per Pupil Units of Resource</b>	
UOR Primary	3,098.02
UOR Secondary	4,414.68
Primary:Secondary ratio	1:1.425

<b>Basic Per Pupil Units of Resource</b>	
UOR Primary	3,080.48
UOR Secondary	4,389.68
Primary:Secondary ratio	1:1.425

<b>UOR Variance to 13/14 FINAL</b>	
£ Primary	(17.54)
£ Secondary	(25.00)
% Primary	-0.57%
% Secondary	-0.57%

<b>Basic Per Pupil Units of Resource</b>	
UOR Primary	3,080.13
UOR Secondary	4,421.87
Primary:Secondary ratio	1:1.436

<b>UOR Variance to 13/14 FINAL</b>	
£ Primary	(17.89)
£ Secondary	7.19
% Primary	-0.58%
% Secondary	0.16%

DFE number	Name of school	Pupil Numbers - Oct 12 less SEN Units	Pupil Premium 13/14 - Indicative from DFE	2013/14 FUNDING ISSUED MARCH 2013			MODEL 1					MODEL 2						
				Methodology - MFG is funded by clawing back funding from schools with a per pupil cash gain in 2014/15 compared to 2013/14			Methodology - MFG to be funded 50% clawback and 50% basic funding Lump sum @ £130k for Primary and Secondary					Methodology - MFG to be funded 50% clawback and 50% basic funding Lump sum @ £130k for Primary and £100k for Secondary with funding remaining in secondary sector						
				Total Mainstream Delegated Budget 2013/14	MFG	Clawback @ 43.06% of gain	Total Mainstream Delegated Budget 2013/14	MFG	Clawback @ 28.99% of gain = 50% of MFG	Contribution from Basic per Pupil funding to fund MFG	Delegated Budget Variance to 13/14 actual funding	Total Mainstream Delegated Budget 2013/14	Lump Sum Reduction	Basic Increase	MFG	Clawback @ 29.42% of gain = 50% of MFG	Contribution from Basic per Pupil funding to fund MFG	Delegated Budget Variance to 13/14 actual funding
£	£	£	£	£	£	£	£	£	£	£	£	£	£	£	£			
<b>PRIMARY SCHOOLS</b>																		
5200	Alder Coppice	391	32,400	1,402,409	0	(3,306)	1,398,619	0	(237)	(6,859)	(3,791)	1,398,520	0	0	0	(201)	(6,995)	(3,890)
2123	Amblecote	260	65,700	1,064,685	0	0	1,064,514	4,390	0	(4,561)	(171)	1,064,514	0	0	4,480	0	(4,651)	(171)
2141	Ashwood Park	254	64,800	1,055,037	0	(20,294)	1,058,501	0	(12,374)	(4,456)	3,464	1,058,261	0	0	0	(12,526)	(4,544)	3,224
2151	Belle Vue	375	23,400	1,362,160	0	0	1,355,581	0	0	(6,579)	(6,579)	1,355,451	0	0	0	0	(6,709)	(6,709)
2077	Blanford Mere	254	25,200	964,607	0	(13,451)	965,836	0	(7,766)	(4,456)	1,229	965,662	0	0	0	(7,852)	(4,544)	1,055
2000	Blowers Green	210	74,700	1,043,129	38,856	0	1,043,129	42,540	0	(3,684)	(0)	1,043,129	0	0	42,613	0	(3,757)	0
2045	Bramford	395	97,200	1,580,646	0	(6,594)	1,577,879	0	(2,431)	(6,930)	(2,767)	1,577,748	0	0	0	(2,426)	(7,066)	(2,898)
2023	Brierley Hill	166	75,600	846,501	81,443	0	846,501	84,355	0	(2,912)	(0)	846,501	0	0	84,413	0	(2,970)	0
2024	Brockmoor	318	129,600	1,431,972	21,977	0	1,431,972	27,556	0	(5,579)	0	1,431,972	0	0	27,666	0	(5,689)	0
2132	Bromley - Pensnett	203	102,600	980,800	66,465	0	980,801	70,027	0	(3,561)	1	980,800	0	0	70,097	0	(3,632)	0
2142	Bromley Hills	296	68,400	1,202,240	0	(21,655)	1,205,625	0	(13,077)	(5,193)	3,385	1,205,365	0	0	0	(13,235)	(5,295)	3,125
2029	Brook	351	83,700	1,427,568	0	(24,027)	1,431,043	0	(14,394)	(6,158)	3,475	1,430,750	0	0	0	(14,566)	(6,279)	3,182
2112	Caslon	189	92,700	913,431	76,359	0	913,432	79,675	0	(3,316)	0	913,432	0	0	79,741	0	(3,381)	1
3010	Christ Church	496	114,300	1,930,414	0	(64,845)	1,945,413	0	(41,144)	(8,702)	14,999	1,944,699	0	0	0	(41,686)	(8,873)	14,286
3007	The Church of the Ascension	281	13,500	1,061,322	0	(13,706)	1,062,298	0	(7,800)	(4,930)	976	1,062,117	0	0	0	(7,884)	(5,027)	795
3359	C.E. St Edmund & St John	277	151,200	1,384,496	0	(16,780)	1,386,526	0	(9,891)	(4,860)	2,030	1,386,316	0	0	0	(10,005)	(4,955)	1,820
2102	Colley Lane	516	175,500	2,383,813	0	(51,503)	2,394,206	0	(32,058)	(9,052)	10,393	2,393,619	0	0	0	(32,467)	(9,231)	9,805
2130	Cotwall End	325	53,100	1,254,824	0	(20,857)	1,257,587	0	(12,392)	(5,702)	2,763	1,257,329	0	0	0	(12,537)	(5,814)	2,506
3350	Cradley C.E.	201	17,100	789,328	0	(18,473)	792,857	0	(11,418)	(3,526)	3,529	792,644	0	0	0	(11,561)	(3,596)	3,316
2072	Crestwood Park	190	54,000	795,936	0	(3,434)	794,691	0	(1,346)	(3,333)	(1,245)	794,625	0	0	0	(1,346)	(3,399)	(1,311)
2043	Dawley Brook	236	18,000	911,629	0	0	911,495	4,006	0	(4,140)	(134)	911,494	0	0	4,087	0	(4,222)	(135)
2152	Dingle Community Primary	164	30,600	683,555	0	(5,543)	683,323	0	(2,898)	(2,877)	(233)	683,241	0	0	0	(2,923)	(2,934)	(314)
2005	Dudley Wood	389	102,600	1,535,624	0	(19,713)	1,537,216	0	(11,296)	(6,824)	1,593	1,536,959	0	0	0	(11,419)	(6,959)	1,335
2063	Fairhaven	307	15,300	1,137,984	0	(12,770)	1,138,330	0	(7,038)	(5,386)	347	1,138,154	0	0	0	(7,108)	(5,492)	170
2068	Foxyards	295	58,500	1,173,053	0	(40,903)	1,182,737	0	(26,044)	(5,175)	9,684	1,182,290	0	0	0	(26,389)	(5,277)	9,237
2145	Gig Mill	463	86,400	1,687,236	0	0	1,679,160	47	0	(8,123)	(8,076)	1,679,160	0	0	207	0	(8,283)	(8,076)
2155	Glynne	419	20,700	1,526,885	0	(14,285)	1,526,332	0	(7,488)	(7,351)	(554)	1,526,122	0	0	0	(7,553)	(7,496)	(764)
2109	Greenfield	282	22,500	1,100,158	0	(13,854)	1,101,170	0	(7,895)	(4,947)	1,012	1,100,987	0	0	0	(7,980)	(5,045)	830
3352	Halesowen C.E.	170	97,200	904,223	68,218	0	904,223	71,201	0	(2,982)	1	904,223	0	0	71,259	0	(3,041)	(0)
2125	Ham Dingle	369	39,600	1,394,915	0	(28,670)	1,399,682	0	(17,430)	(6,474)	4,767	1,399,341	0	0	0	(17,643)	(6,601)	4,426
3052	Hasbury C.E.	198	63,000	848,800	0	(4,203)	847,706	0	(1,823)	(3,474)	(1,094)	847,632	0	0	0	(1,829)	(3,542)	(1,168)
2143	Hawbush	194	81,720	963,234	86,364	0	963,234	89,767	0	(3,403)	(0)	963,234	0	0	89,834	0	(3,471)	(1)
2140	Woodside	320	125,100	1,433,312	39,518	0	1,433,311	45,131	0	(5,614)	(1)	1,433,311	0	0	45,242	0	(5,725)	(1)
2156	Hob Green	201	89,100	941,273	16,573	0	941,273	20,099	0	(3,526)	(0)	941,274	0	0	20,169	0	(3,596)	0
2137	Howley Grange	417	31,500	1,506,265	0	(7,274)	1,503,446	0	(2,777)	(7,316)	(2,819)	1,503,305	0	0	0	(2,774)	(7,460)	(2,961)
2116	Huntingtree	384	103,500	1,480,668	0	(1,608)	1,475,539	0	0	(6,737)	(5,129)	1,475,406	0	0	0	0	(6,870)	(5,262)
2124	Hurst Green	415	37,800	1,512,119	0	(21,290)	1,513,903	0	(12,225)	(7,280)	1,784	1,513,625	0	0	0	(12,359)	(7,424)	1,506
2148	Hurst Hill	320	78,300	1,218,261	0	(3,754)	1,215,501	0	(900)	(5,614)	(2,760)	1,215,409	0	0	0	(880)	(5,725)	(2,851)
3306	Jessons C.E.	493	189,900	2,113,844	12,183	0	2,113,843	20,831	0	(8,649)	(1)	2,113,843	0	0	21,002	0	(8,820)	(1)
2010	Kate's Hill	352	117,900	1,682,568	0	(7,811)	1,680,734	0	(3,469)	(6,175)	(1,834)	1,680,598	0	0	0	(3,483)	(6,297)	(1,970)
2107	Lapal	306	23,400	1,181,906	0	(24,748)	1,186,1	0	(15,109)	(5,368)	4,271	1,185,884	0	0	0	(15,295)	(5,474)	3,979

**CONSULTATION PROPOSALS FOR LUMP SUM AND FUNDING OF MFG**

<b>Assumptions</b>
2013-14 funding represented
Pupil numbers as at Oct 12
MFG confirmed - 1.5% 2013/14
Lump Sum £130,000/£100,000

<b>Basic Per Pupil Units of Resource</b>	
UOR Primary	3,098.02
UOR Secondary	4,414.68
Primary:Secondary ratio	1:1.425

<b>Basic Per Pupil Units of Resource</b>	
UOR Primary	3,080.48
UOR Secondary	4,389.68
Primary:Secondary ratio	1:1.425

<b>UOR Variance to 13/14 FINAL</b>	
£ Primary	(17.54)
£ Secondary	(25.00)
% Primary	-0.57%
% Secondary	-0.57%

<b>Basic Per Pupil Units of Resource</b>	
UOR Primary	3,080.13
UOR Secondary	4,421.87
Primary:Secondary ratio	1:1.436

<b>UOR Variance to 13/14 FINAL</b>	
£ Primary	(17.89)
£ Secondary	7.19
% Primary	-0.58%
% Secondary	0.16%

DFE number	Name of school	Pupil Numbers - Oct 12 less SEN Units	Pupil Premium 13/14 - Indicative from DFE	2013/14 FUNDING ISSUED MARCH 2013			MODEL 1					MODEL 2							
				Total Mainstream Delegated Budget 2013/14	MFG	Clawback @ 43.06% of gain	Total Mainstream Delegated Budget 2013/14	MFG	Clawback @ 28.99% of gain = 50% of MFG	Contribution from Basic per Pupil funding to fund MFG	Delegated Budget Variance to 13/14 actual funding	Total Mainstream Delegated Budget 2013/14	Lump Sum Reduction	Basic Increase	MFG	Clawback @ 29.42% of gain = 50% of MFG	Contribution from Basic per Pupil funding to fund MFG	Delegated Budget Variance to 13/14 actual funding	
				£	£	£	£	£	£	£	£	£	£	£	£	£	£		
2120	Lutley	616	27,000	2,079,366	0	(42,876)	2,085,696	0	(25,739)	(10,807)	6,330	2,085,175	0	0	0	(26,047)	(11,020)	5,809	
2035	Maidensbridge	211	17,100	827,296	8,252	0	827,296	11,954	0	(3,702)	0	827,296	0	0	12,027	0	(3,775)	0	
2139	Manor Way	185	16,200	758,787	0	(15,605)	761,579	0	(9,568)	(3,246)	2,792	761,396	0	0	0	(9,687)	(3,310)	2,609	
2160	Milking Bank	419	27,000	1,555,813	0	(32,192)	1,561,107	0	(19,547)	(7,351)	5,294	1,560,723	0	0	0	(19,786)	(7,496)	4,911	
2036	Mount Pleasant	331	36,000	1,255,269	0	(22,253)	1,258,414	0	(13,302)	(5,807)	3,145	1,258,141	0	0	0	(13,459)	(5,921)	2,872	
2147	Netherbrook	412	111,600	1,647,730	0	(33,854)	1,653,654	0	(20,702)	(7,228)	5,925	1,653,255	0	0	0	(20,958)	(7,371)	5,526	
3053	Netherton C.E.	318	98,100	1,325,395	0	0	1,319,816	0	0	(5,579)	(5,579)	1,319,706	0	0	0	0	(5,689)	(5,689)	
2138	Newfield Park	392	56,700	1,437,875	0	(20,962)	1,439,838	0	(12,122)	(6,877)	1,963	1,439,568	0	0	0	(12,256)	(7,013)	1,693	
2012	Northfield Road	377	143,100	1,587,744	31,795	0	1,587,743	38,408	0	(6,614)	(1)	1,587,744	0	0	38,539	0	(6,744)	(0)	
3050	Oldswinford C.E.	417	8,100	1,519,114	0	(21,985)	1,521,100	0	(12,683)	(7,316)	1,986	1,520,815	0	0	0	(12,823)	(7,460)	1,701	
2106	Olive Hill	312	114,300	1,304,971	0	(23,456)	1,308,745	0	(14,208)	(5,474)	3,774	1,308,465	0	0	0	(14,381)	(5,582)	3,493	
3357	Our Lady & St Kenelm	208	22,500	813,678	0	(14,196)	815,723	0	(8,501)	(3,649)	2,045	815,550	0	0	0	(8,603)	(3,721)	1,872	
3354	Pedmore C.E.	221	10,800	822,756	0	(14,472)	824,730	0	(8,622)	(3,877)	1,974	824,552	0	0	0	(8,723)	(3,954)	1,796	
2075	Peter's Hill	782	90,900	2,756,016	0	(11,993)	2,750,192	0	(4,098)	(13,719)	(5,824)	2,749,942	0	0	0	(4,077)	(13,990)	(6,074)	
2154	Priory	442	211,500	2,050,356	141,006	0	2,050,356	148,760	0	(7,754)	(0)	2,050,356	0	0	148,913	0	(7,907)	(0)	
2153	Quarry Bank	303	105,300	1,258,578	8,547	0	1,258,579	13,863	0	(5,316)	(0)	1,258,579	0	0	13,968	0	(5,421)	0	
2149	Queen Victoria	484	122,400	1,823,708	0	(20,007)	1,824,213	0	(11,010)	(8,491)	505	1,823,936	0	0	0	(11,120)	(8,659)	228	
2052	Red Hall	310	94,500	1,216,441	0	(2,517)	1,213,402	0	(118)	(5,438)	(3,039)	1,213,324	0	0	0	(88)	(5,546)	(3,117)	
2122	Ridge	204	25,200	788,185	0	0	784,607	0	0	(3,579)	(3,579)	784,536	0	0	0	0	(3,649)	(3,649)	
2144	Roberts	603	124,200	2,284,761	0	(18,767)	2,283,380	0	(9,571)	(10,579)	(1,382)	2,283,095	0	0	0	(9,647)	(10,787)	(1,667)	
2118	Rufford	209	93,600	991,051	44,716	0	991,051	48,383	0	(3,667)	0	991,051	0	0	48,455	0	(3,739)	0	
2136	Russells Hall	335	121,500	1,435,473	55,147	0	1,435,473	61,024	0	(5,877)	(0)	1,435,473	0	0	61,140	0	(5,993)	(0)	
2004	Sledmere	387	129,600	1,736,125	0	(12,119)	1,735,262	0	(6,193)	(6,789)	(862)	1,735,079	0	0	0	(6,242)	(6,923)	(1,046)	
3304	St Chad's R.C.	197	14,400	775,622	0	(7,006)	775,456	0	(3,716)	(3,456)	(166)	775,355	0	0	0	(3,749)	(3,524)	(267)	
3358	St James's C.E.	369	57,600	1,343,341	0	(35,742)	1,350,418	0	(22,192)	(6,474)	7,077	1,350,008	0	0	0	(22,474)	(6,601)	6,667	
3302	St Joseph's (Dudley)	209	62,100	964,915	0	(16,560)	967,720	0	(10,088)	(3,667)	2,805	967,523	0	0	0	(10,212)	(3,739)	2,609	
3355	St Joseph's (Stourbridge)	206	12,600	795,574	0	(14,464)	797,732	0	(8,692)	(3,614)	2,158	797,556	0	0	0	(8,796)	(3,685)	1,982	
3008	St Mark's C.E.	228	102,600	1,020,246	0	0	1,016,246	0	0	(4,000)	(4,000)	1,016,167	0	0	0	0	(4,079)	(4,079)	
3009	St Mary's C.E.	172	21,600	706,959	0	(2,911)	705,767	0	(1,085)	(3,017)	(1,192)	705,709	0	0	0	(1,084)	(3,077)	(1,249)	
3103	St Mary's R.C.	198	33,300	824,717	0	0	822,083	839	0	(3,474)	(2,635)	822,083	0	0	908	0	(3,542)	(2,634)	
2150	Straits	325	9,900	1,200,509	0	(34,319)	1,207,669	0	(21,458)	(5,702)	7,160	1,207,281	0	0	0	(21,733)	(5,814)	6,772	
2104	Tenterfields	194	31,500	798,674	0	(16,322)	801,588	0	(10,005)	(3,403)	2,914	801,397	0	0	0	(10,129)	(3,471)	2,723	
2067	Thorns	187	47,700	804,194	0	(27,456)	810,832	0	(17,538)	(3,281)	6,638	810,534	0	0	0	(17,772)	(3,345)	6,339	
2048	Wallbrook	234	87,300	1,031,578	0	(29,086)	1,038,163	0	(18,396)	(4,105)	6,584	1,037,841	0	0	0	(18,637)	(4,186)	6,262	
2126	Withymoor	404	55,800	1,508,090	0	(8,518)	1,505,840	0	(3,681)	(7,088)	(2,250)	1,505,688	0	0	0	(3,693)	(7,227)	(2,402)	
2111	Wollescote	374	106,200	1,740,465	27,960	0	1,740,465	34,521	0	(6,561)	(0)	1,740,465	0	0	34,650	0	(6,691)	(1)	
2146	Wren's Nest	365	240,300	2,039,565	264,732	0	2,039,564	271,135	0	(6,403)	(0)	2,039,564	0	0	271,261	0	(6,530)	(1)	
<b>TOTAL PRIMARY</b>		78	<b>24,782</b>	<b>5,637,420</b>	<b>100,643,768</b>	<b>1,090,111</b>	<b>(984,991)</b>	<b>100,716,845</b>	<b>1,188,512</b>	<b>(575,554)</b>	<b>(434,760)</b>	<b>73,078</b>	<b>100,703,875</b>	<b>0</b>	<b>0</b>	<b>1,190,671</b>	<b>(582,104)</b>	<b>(443,339)</b>	<b>60,108</b>
<b>SECONDARY SCHOOLS</b>																			
4800	Bishop Milner	608	89,100	3,000,120	0	(30,169)	2,999,181	0	(15,908)	(15,200)	(939)	2,991,767	(30,000)	19,985	0	(12,891)	(15,615)	(8,353)	

CONSULTATION PROPOSALS FOR LUMP SUM AND FUNDING OF MFG

<b>Assumptions</b>
2013-14 funding represented
Pupil numbers as at Oct 12
MFG confirmed - 1.5% 2013/14
Lump Sum £130,000/£100,000

<b>Basic Per Pupil Units of Resource</b>	
UOR Primary	3,098.02
UOR Secondary	4,414.68
Primary:Secondary ratio 1:1.425	

<b>Basic Per Pupil Units of Resource</b>	
UOR Primary	3,080.48
UOR Secondary	4,389.68
Primary:Secondary ratio 1:1.425	

<b>UOR Variance to 13/14 FINAL</b>	
£ Primary	(17.54)
£ Secondary	(25.00)
% Primary	-0.57%
% Secondary	-0.57%

<b>Basic Per Pupil Units of Resource</b>	
UOR Primary	3,080.13
UOR Secondary	4,421.87
Primary:Secondary ratio 1:1.436	

<b>UOR Variance to 13/14 FINAL</b>	
£ Primary	(17.89)
£ Secondary	7.19
% Primary	-0.58%
% Secondary	0.16%

DFE number	Name of school	Pupil Numbers - Oct 12 less SEN Units	Pupil Premium 13/14 - Indicative from DFE	2013/14 FUNDING ISSUED MARCH 2013			MODEL 1					MODEL 2									
				Methodology - MFG is funded by clawing back funding from schools with a per pupil cash gain in 2014/15 compared to 2013/14						Methodology - MFG to be funded 50% clawback and 50% basic funding Lump sum @ £130k for Primary and Secondary					Methodology - MFG to be funded 50% clawback and 50% basic funding Lump sum @ £130k for Primary and £100k for Secondary with funding remaining in secondary sector						
				Total Mainstream Delegated Budget 2013/14	MFG	Clawback @ 43.06% of gain	Total Mainstream Delegated Budget 2013/14	MFG	Clawback @ 28.99% of gain = 50% of MFG	Contribution from Basic per Pupil funding to fund MFG	Delegated Budget Variance to 13/14 actual funding	Total Mainstream Delegated Budget 2013/14	Lump Sum Reduction	Basic Increase	MFG	Clawback @ 29.42% of gain = 50% of MFG	Contribution from Basic per Pupil funding to fund MFG	Delegated Budget Variance to 13/14 actual funding			
£	£	£	£	£	£	£	£	£	£	£	£	£	£	£	£						
4612	Castle High	733	364,050	3,944,183	89,966	0	3,944,183	108,290	0	(18,324)	(0)	3,941,394	(30,000)	24,093	111,909	0	(18,825)	(2,789)			
4027	Coseley	833	324,000	4,185,288	0	(38,998)	4,183,239	0	(20,223)	(20,824)	(2,049)	4,180,067	(30,000)	27,380	0	(20,206)	(21,393)	(5,221)			
4025	Crestwood	679	225,900	3,426,397	0	(61,055)	3,434,285	0	(36,193)	(16,975)	7,888	3,427,677	(30,000)	22,318	0	(34,655)	(17,438)	1,280			
4023	Dormston	1,095	212,400	5,201,652	0	(86,987)	5,210,624	0	(50,640)	(27,374)	8,973	5,213,454	(30,000)	35,992	0	(53,054)	(28,122)	11,803			
4106	Earls High	1,197	185,850	5,624,869	0	(72,981)	5,627,457	0	(40,469)	(29,924)	2,588	5,632,923	(30,000)	39,345	0	(43,530)	(30,742)	8,054			
5401	Ellowes Hall	1,004	168,300	4,735,796	0	(54,309)	4,735,712	0	(29,294)	(25,099)	(84)	4,737,300	(30,000)	33,001	0	(30,021)	(25,785)	1,504			
4105	Pedmore T.C.C.	572	223,200	3,093,617	176,074	0	3,093,616	190,373	0	(14,300)	(1)	3,091,648	(30,000)	18,801	199,994	0	(14,690)	(1,969)			
5403	High Arcal	1,196	313,200	5,771,373	0	(88,520)	5,779,053	0	(50,940)	(29,899)	7,681	5,784,250	(30,000)	39,312	0	(54,238)	(30,716)	12,878			
4117	Hillcrest	993	350,100	5,140,934	0	0	5,139,770	23,660	0	(24,824)	(1,164)	5,140,240	(30,000)	32,639	22,169	0	(25,503)	(694)			
5405	Holly Hall	711	287,100	3,767,805	122,047	0	3,767,805	139,821	0	(17,775)	(1)	3,766,744	(30,000)	23,370	145,876	0	(18,260)	(1,061)			
5402	Kingswinford	902	108,900	4,251,876	0	(38,921)	4,248,577	0	(19,671)	(22,549)	(3,299)	4,247,552	(30,000)	29,648	0	(19,728)	(23,166)	(4,324)			
4110	Leasowes High	907	316,800	4,473,796	0	(33,575)	4,468,662	0	(16,034)	(22,674)	(5,134)	4,466,914	(30,000)	29,813	0	(16,974)	(23,294)	(6,881)			
5400	Oldswinford Hospital	374	13,500	1,992,192	83,254	0	1,992,193	92,604	0	(9,350)	0	1,991,275	(30,000)	12,293	109,649	0	(9,605)	(917)			
4119	Redhill	1,177	151,200	5,526,256	0	(8,569)	5,505,401	0	0	(29,424)	(20,855)	5,513,285	(30,000)	38,687	0	0	(30,228)	(12,971)			
4121	Ridgewood High	839	189,900	3,985,856	0	0	3,964,881	0	0	(20,974)	(20,974)	3,961,886	(30,000)	27,578	0	0	(21,548)	(23,970)			
4020	Summerhill	1,011	64,800	5,345,578	0	0	5,320,304	0	0	(25,274)	(25,274)	5,322,844	(30,000)	33,231	0	0	(25,965)	(22,734)			
4018	Thorns C.C.	1,243	304,200	5,869,776	0	(27,889)	5,856,821	0	(9,769)	(31,074)	(12,955)	5,863,319	(30,000)	40,857	0	(13,280)	(31,923)	(6,457)			
5404	Windsor	1,425	287,100	6,779,530	0	(54,544)	6,772,050	0	(26,400)	(35,624)	(7,480)	6,782,850	(30,000)	46,839	0	(31,466)	(36,597)	3,320			
4030	Wordsley	755	247,500	3,782,617	20,058	0	3,782,616	38,932	0	(18,874)	(0)	3,782,011	(30,000)	24,816	44,026	0	(19,390)	(606)			
<b>TOTAL SECONDARY</b>		20	18,254	4,427,100	89,899,510	491,399	(596,517)	89,826,430	593,680	(315,542)	(456,337)	(73,081)	89,839,401	(600,000)	600,000	633,623	(330,043)	(468,807)	(60,109)		
<b>TOTAL PRIMARY &amp; SECONDARY</b>		98	43,036	10,064,520	190,543,278	1,581,510	(1,581,508)	190,543,275	1,782,192	(891,096)	(891,097)	(3)	190,543,277	(600,000)	600,000	1,824,294	(912,147)	(912,146)	(1)		

**Methodology to establish where additional support is required for schools where the number of high needs pupils cannot be reflected adequately in the funding formula**

DFE No	School Name	13/14 Actual	13/14 Actual	Notional SEN excluding Prior attainment	Pupils with statements (fte) 13-14	Funds required (exc. £988 prior attainment funding already received.) Total £5,012 per High Needs pupil	Variance
		Notional SEN Total for 13/14	Prior attainment 13/14	Funds available for all High Needs pupils		(B)	
		£	£	(A)		£	£
<b>PRIMARY SCHOOLS</b>							
5200	Alder Coppice Primary School	87,049	45,051	41,998	4.00	20,047	21,951
2123	Amblecote Primary School	99,249	63,836	35,413	7.60	38,089	(2,677)
2141	Ashwood Park Primary School	133,986	99,053	34,933	9.80	49,115	(14,183)
2151	Belle Vue Primary School	79,152	37,745	41,407	3.80	19,045	22,362
2077	Blanford Mere Primary School	56,611	28,085	28,525	2.00	10,024	18,502
2000	Blowers Green Primary School	115,516	70,997	44,519	3.60	18,042	26,477
2045	Bramford Primary School	177,693	120,523	57,170	7.40	37,087	20,083
2023	Brierley Hill Primary School	80,489	51,154	29,335	1.60	8,019	21,316
2024	Brockmoor Primary School	161,715	97,229	64,486	5.00	25,059	39,427
2142	Bromley Hills Primary School	152,720	109,915	42,805	6.40	32,075	10,730
2029	Brook Primary School	204,370	153,252	51,118	7.80	39,092	12,026
2112	Caslon Primary School	74,885	43,102	31,783	4.40	22,052	9,731
3010	Christ Church Primary School	303,575	241,629	61,946	9.00	45,106	16,840
3007	Church of the Ascension C.E.	69,387	38,709	30,679	2.40	12,028	18,650
2102	Colley Lane Primary School	358,897	285,063	73,834	10.60	53,125	20,709
2130	Cotwall End Primary School	130,148	92,022	38,126	6.00	30,071	8,055
3350	Cradley CE Primary School	56,110	30,150	25,960	3.60	18,042	7,918
2072	Crestwood Park Primary School	56,235	27,311	28,923	3.20	16,038	12,886
2043	Dawley Brook Primary School	55,272	30,735	24,538	2.60	13,031	11,507
2152	Dingle Community Primary School	44,070	24,310	19,760	0.80	4,009	15,750
2005	Dudley Wood Primary School	140,692	75,850	64,842	4.40	22,052	42,790
2063	Fairhaven Primary School	74,820	42,088	32,732	5.00	25,059	7,673
2068	Foxyards Primary School	132,649	89,978	42,672	2.60	13,031	29,641
2145	Gig Mill Primary School	128,252	76,502	51,750	6.20	31,073	20,677
2155	Glynne Primary School	126,473	82,463	44,010	5.80	29,068	14,941
2109	Greenfield Primary School	105,299	76,161	29,138	6.00	30,071	(933)
3352	Halesowen C of E Primary School	97,847	56,000	41,848	5.80	29,068	12,779
2125	Ham Dingle Primary School	96,109	42,800	53,309	4.60	23,054	30,255
3052	Hasbury C.E. (V.C.) Primary School	73,874	46,026	27,848	7.00	35,082	(7,234)
2143	Hawbush Primary School	107,609	73,129	34,480	9.00	45,106	(10,626)
2156	Hob Green Primary School	116,531	79,160	37,371	4.00	20,047	17,324
2137	Howley Grange Primary School	87,753	40,505	47,248	5.40	27,064	20,184
2116	Huntingtree Primary	123,035	75,180	47,855	7.00	35,082	12,772
2124	Hurst Green Primary School	94,793	47,120	47,673	4.60	23,054	24,619
2148	Hurst Hill Primary School	83,802	45,176	38,626	1.20	6,014	32,612
3306	Jesson's CE Primary School	274,476	182,261	92,215	5.80	29,068	63,147
2010	Kates Hill Primary School	247,593	165,901	81,692	7.80	39,092	42,600
2107	Lapal Primary School	106,205	68,339	37,867	3.20	16,038	21,829
2120	Lutley Primary School	101,111	34,588	66,523	0.80	4,009	62,513
2035	Maidensbridge Primary School	42,889	20,852	22,038	2.00	10,024	12,014
2139	Manor Way Primary School	69,402	47,464	21,938	3.80	19,045	2,893
2160	Milking Bank Primary School	116,961	69,591	47,370	2.40	12,028	35,341
2036	Mount Pleasant Primary School	115,104	77,472	37,632	2.40	12,028	25,604
2147	Netherbrook Primary School	199,816	129,471	70,345	7.00	35,082	35,263
3053	Netherton C of E School	161,768	111,996	49,772	6.40	32,075	17,696
2138	Newfield Park Primary School	105,083	58,108	46,975	3.00	15,035	31,940
2012	Northfield Road Primary School	166,585	101,928	64,657	5.00	25,059	39,599
3050	Oldswinford Primary School	110,568	63,399	47,170	3.40	17,040	30,130
2106	Olive Hill Primary School	139,616	92,688	46,928	2.00	10,024	36,905
3357	Our Lady & St Kenelm Primary School	46,777	19,492	27,285	2.20	11,026	16,260
3354	Pedmore CE Primary School	35,864	12,429	23,434	1.00	5,012	18,423
2075	Peters Hill Primary School	150,197	52,931	97,266	13.80	69,162	28,103
2154	Priory Primary School	262,240	166,906	95,333	10.40	52,122	43,211
2153	Quarry Bank Primary School	124,524	90,082	34,441	3.80	19,045	15,397
2149	Queen Victoria Primary School	181,474	119,998	61,476	4.00	20,047	41,429
2052	Red Hall Primary School	105,881	63,749	42,131	0.00	0	42,131
2144	Roberts Primary School	251,423	173,875	77,547	9.20	46,108	31,439
2118	Rufford Primary School	90,580	54,834	35,746	3.20	16,038	19,708
2136	Russells Hall Primary School	134,103	77,789	56,314	8.00	40,094	16,220
2004	Sledmere Primary School	210,969	116,099	94,870	5.80	29,068	65,801
3304	St Chad's Primary School	46,506	22,801	23,705	1.00	5,012	18,694
3359	St Edmund and St John Primary School	260,296	189,230	71,066	3.60	18,042	53,024
3358	St James's C.E Primary School	108,356	61,923	46,433	2.40	12,028	34,405



**Methodology to establish where additional support is required for schools where the number of high needs pupils cannot be reflected adequately in the funding formula**

DFE No	School Name	13/14 Actual	13/14 Actual	Notional SEN excluding Prior attainment	Pupils with statements (fte) 13-14	Funds required (exc. £988 prior attainment funding already received.) Total £5,012 per High Needs pupil	Variance
		Notional SEN Total for 13/14	Prior attainment 13/14	Funds available for all High Needs pupils			
3302	St Joseph's Catholic Primary School (Dudley)	117,785	72,897	44,888	4.40	22,052	22,836
3355	St Josephs RC (Stour) Primary School	52,523	29,579	22,944	2.40	12,028	10,916
3008	St Mark's C of E Primary School	123,156	79,727	43,428	3.00	15,035	28,393
3009	St Mary's C E (VC) Primary School	44,489	24,788	19,700	2.60	13,031	6,670
3303	St Mary's RC Primary School	54,453	24,679	29,774	3.80	19,045	10,729
2150	Straits Primary School	104,462	69,533	34,930	2.60	13,031	21,899
2104	Tenterfields Primary School	66,787	40,277	26,510	2.80	14,033	12,478
2132	The Bromley-Pensnett Primary School	83,686	39,008	44,679	5.60	28,066	16,613
2122	The Ridge Primary School	30,427	8,542	21,885	0.80	4,009	17,875
2067	Thorns Primary School	98,010	72,902	25,107	2.80	14,033	11,074
2048	Wallbrook Primary School	156,023	113,788	42,236	1.80	9,021	33,215
2126	Withymoor Primary School	105,275	52,075	53,200	4.60	23,054	30,146
2111	Wollescote Primary School	206,155	132,127	74,028	9.60	48,113	25,915
2140	Woodside Community School and Childrens Centre	171,684	114,994	56,690	6.80	34,080	22,610
2146	Wrens Nest Primary School	261,662	144,630	117,032	6.40	32,075	84,957
	<b>TOTAL PRIMARY</b>	<b>9,729,608</b>	<b>6,103,750</b>	<b>3,625,858</b>	<b>363.60</b>	<b>1,822,279</b>	<b>1,803,578</b>
<b>SECONDARY SCHOOLS</b>							
4800	Bishop Milner Catholic School	157,642	38,184	119,458	10.80	54,127	65,331
4612	Castle High School and Visual Arts College	348,117	165,834	182,283	8.60	43,101	139,182
4117	Hillcrest School & Community College	386,372	159,700	226,672	19.82	99,333	127,339
4110	Leasowes Community College	316,358	132,468	183,890	10.00	50,118	133,772
5400	Old Swinford Hospital	59,692	2,076	57,616	0.00	0	57,616
4105	Pedmore Tech' College & Community School	199,228	75,602	123,626	3.80	19,045	104,581
4119	Redhill Foundation School	272,093	78,819	193,273	29.86	149,651	43,622
4121	Ridgewood High School	207,959	72,149	135,810	24.80	124,292	11,518
4020	Summerhill Secondary School	193,363	47,576	145,787	26.40	132,311	13,476
4027	The Coseley School and Sports College	283,467	109,912	173,555	9.60	48,113	125,442
4025	The Crestwood School	251,213	105,633	145,579	14.68	73,573	72,006
4023	The Dormston School	250,339	59,264	191,075	5.60	28,066	163,009
4106	The Earls High School	271,088	62,385	208,704	12.64	63,349	145,355
5401	The Ellowes Hall Sports College	265,946	100,640	165,305	12.60	63,148	102,157
5403	The High Arcal School	377,130	145,495	231,635	15.96	79,988	151,647
5405	The Holly Hall Academy	249,661	85,423	164,239	13.40	67,158	97,081
5402	The Kingswinford School	210,428	65,056	145,372	15.50	77,682	67,689
4030	The Wordsley School	224,256	76,584	147,672	13.48	67,559	80,113
4018	Thorns Community College	328,150	120,191	207,959	24.70	123,791	84,169
5404	Windsor High School and Sixth Form	350,392	105,311	245,081	20.90	104,746	140,335
	<b>TOTAL SECONDARY</b>	<b>5,202,894</b>	<b>1,808,303</b>	<b>3,394,591</b>	<b>293.14</b>	<b>1,469,150</b>	<b>1,925,441</b>
	<b>TOTAL PRIMARY &amp; SECONDARY</b>	<b>14,932,503</b>	<b>7,912,054</b>	<b>7,020,449</b>	<b>656.74</b>	<b>3,291,430</b>	<b>3,729,019</b>

Number of Schools where additional support is required	5
Total schools where additional support required	(35,652)

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**Schools Forum 17<sup>th</sup> September 2013**

**Report of the Director of Children's Services**

**Final Allocations of Dedicated Schools Grant (DSG) 2013/14 Financial Year**

**Purpose of Report**

1. To advise Schools Forum of the Department for Education correspondence to local authorities dated 23 July 2013 regarding the final allocation of Dedicated Schools Grant (DSG) for the 2013/14 financial year.

**Budget Working Group Discussed**

2. No.

**Schools Forum Role and Responsibilities**

3. From 1<sup>st</sup> April 2006, the Schools Budget has been funded by a direct Department for Education (DfE) grant: the Dedicated School Grant (DSG).
4. Schools Forum is the 'guardian' of the local Schools Budget, and its distribution among schools and other bodies, and therefore must be closely involved throughout the development process.
5. It is a Department for Education (DfE) requirement that the final budgeted allocation of the Dedicated School Grant (DSG) is discussed with Schools Forum. The discussion should take place following notification of final Dedicated School Grant (DSG) allocation which was confirmed by the (DfE) on 23 July 2013.

**Action for Schools Forum**

6. For Forum to note the 2013/14 financial year final DSG allocation, which is a DfE requirement.

**Attachments to Report**

7. None.

Laura Jones-Moore  
Principal Accountant  
3 September 2013

**Schools Forum 17 September 2013**

**Report of the Director of Children's Services**

**Final Allocations of Dedicated Schools Grant (DSG) 2013/14 Financial Year**  
**Purpose of Report**

1. To advise Schools Forum of the Department for Education correspondence to local authorities dated 23 July 2013 regarding the final allocation of Dedicated Schools Grant (DSG) for the 2013/14 financial year.

**Background**

2. The DSG funds the Schools Budget. The Schools Budget is a combination of centrally retained budgets covering early years and special educational needs together with the ISB (Individual Schools Budget).
3. The DfE has now confirmed the 2013/14 DSG allocation for Dudley at £234.323m. This compares to an estimate of £234.301m, which generates a DSG positive variance of £22k. This variance relates to the January 2013 Early Years Census data and indicates a small increase in nursery pupils (6 FTE), which are funded at £3,650 per FTE. The additional DSG of £22,000 will be added to the small early years contingency budget of £0.081m.
4. For the purposes of calculating the DSG the pupil numbers include Academy Schools in the Borough as it is the Local Authority's responsibility, in the first instance, to calculate the Academy School's budget share by replicating the Dudley local formula funding allocation. This data is then submitted to the Education Funding Agency (EFA) who is responsible for the academy budgets.
5. Therefore as the DSG of £234.323m relates to the total allocation in respect of Dudley and this is prior to the funding recoupment for Dudley's converter academies, there will be a deduction of £27.490m in respect of Windsor High, Holly Hall, High Arcal, Earls, Kingswinford and Bramford Academy Schools. The final amount to be deducted from Dudley's 2013/14 DSG will increase as future maintained schools convert to Academy status in due course; these include Redhill, Bishop Milner, St Chads, St Marys RC and St Josephs.

## **Finance**

6. The funding of schools is prescribed by the Department for Education (DfE) through the Schools and Early Years Finance (England) Regulations 2012.
7. Schools Forums are currently regulated by the Schools Forums (England) Regulations 2012.
8. From 1<sup>st</sup> April 2006, the Schools Budget has been funded by a direct grant: Dedicated School Grant (DSG).

## **Law**

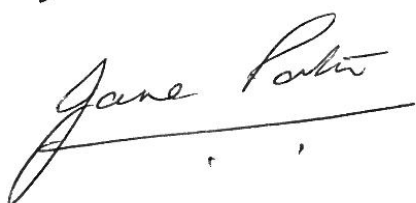
9. Councils' LMS Schemes are made under Section 48 of the School Standards and Framework Act 1998. The Education Acts 1996 and 2002 also have provisions relating to school funding.

## **Equality Impact**

10. The Council's Equal Opportunities Policy is taken into account when considering the allocation of resources.

## **Recommendation**

11. Schools Forum to note that the final Dedicated Schools Grant (DSG) allocation for 2013/14 is £234.323m, which is £0.022m higher than the March 2013 estimate. The additional funds of £0.022m will be added to the Early Years contingency to increase this budget available to £102,702.

A handwritten signature in black ink that reads "Jane Porter". The signature is written in a cursive style and is positioned above a horizontal line.

**Jane Porter**  
**Director of Children's Services**

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